

# Nuclear Physics in Four Quadrants

# Long Range Plan 2007

QCD

**1**  
**Hadron Structure**

**2**  
**Heavy Ions**

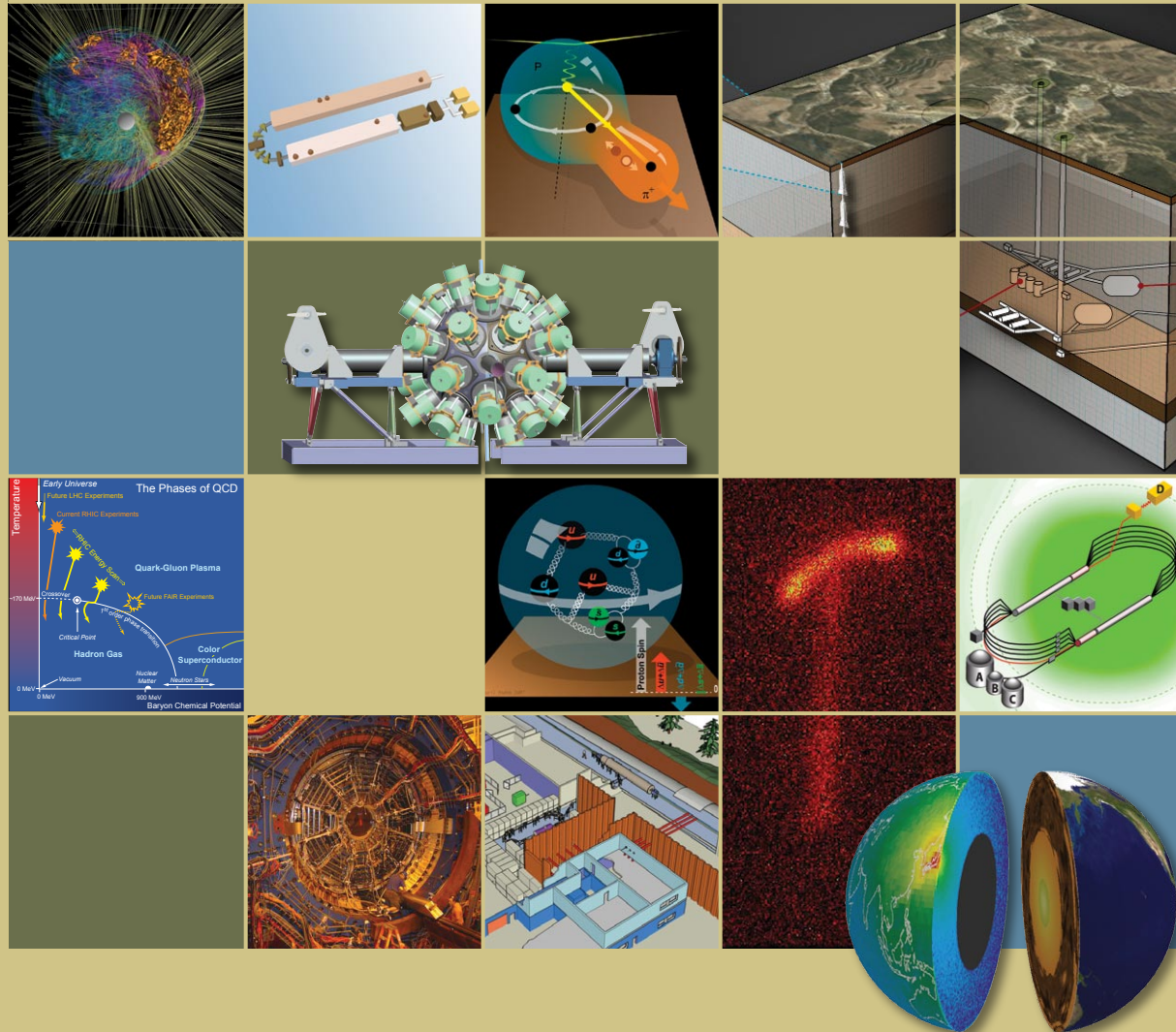
**4**  
**Fundamental Symmetries**  
*incl. neutrinos*

**3**  
**Nuclear Structure**

High Energy

Astro

The Frontiers of Nuclear Science



The Frontiers of Nuclear Science

A LONG RANGE PLAN

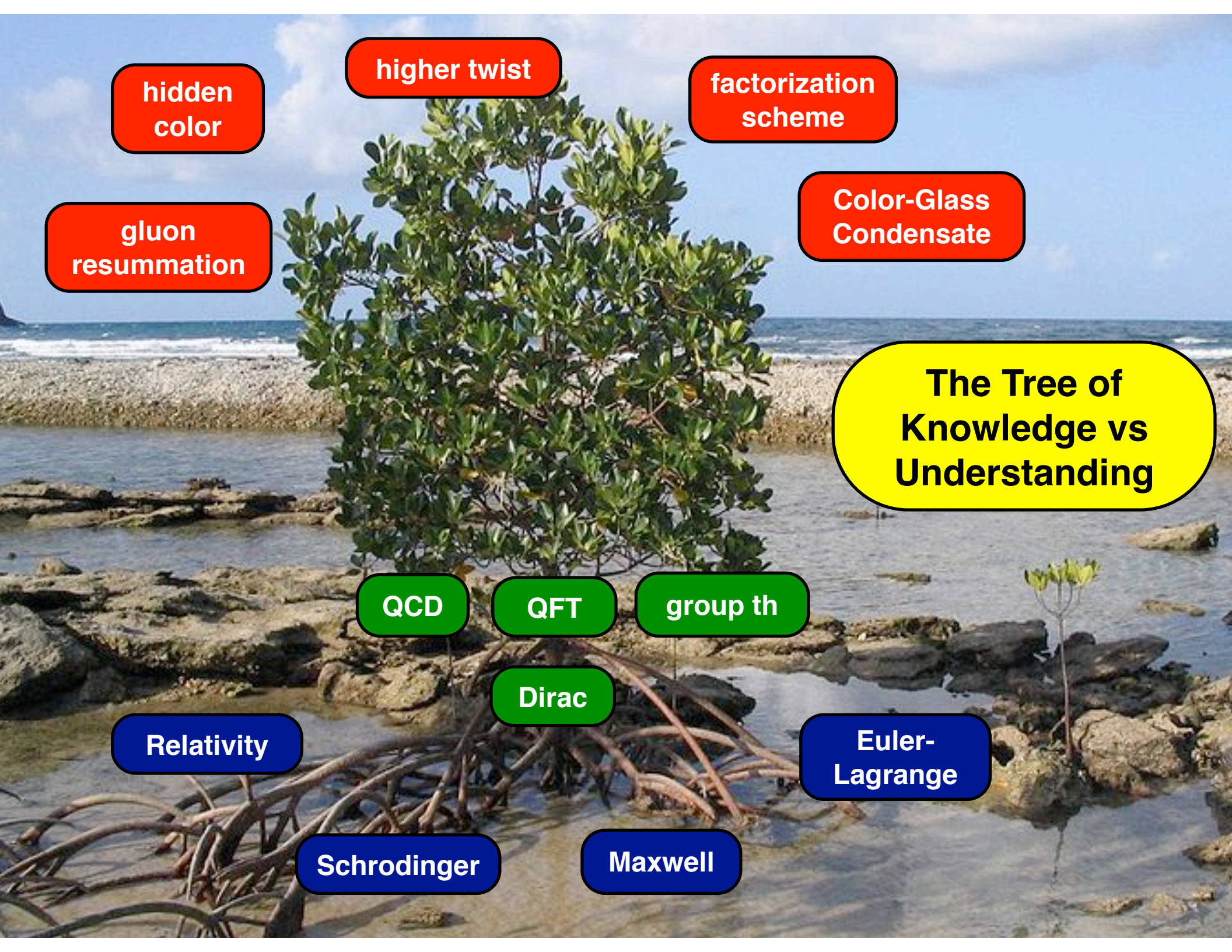
December 2007



December 2007



The DOE/NSF Nuclear Science Advisory Committee  
U.S. Department of Energy • Office of Science • Office of Nuclear Physics  
National Science Foundation • Division of Physics • Nuclear Physics Program



hidden  
color

higher twist

factorization  
scheme

gluon  
resummation

Color-Glass  
Condensate

The Tree of  
Knowledge vs  
Understanding

QCD

QFT

group th

Dirac

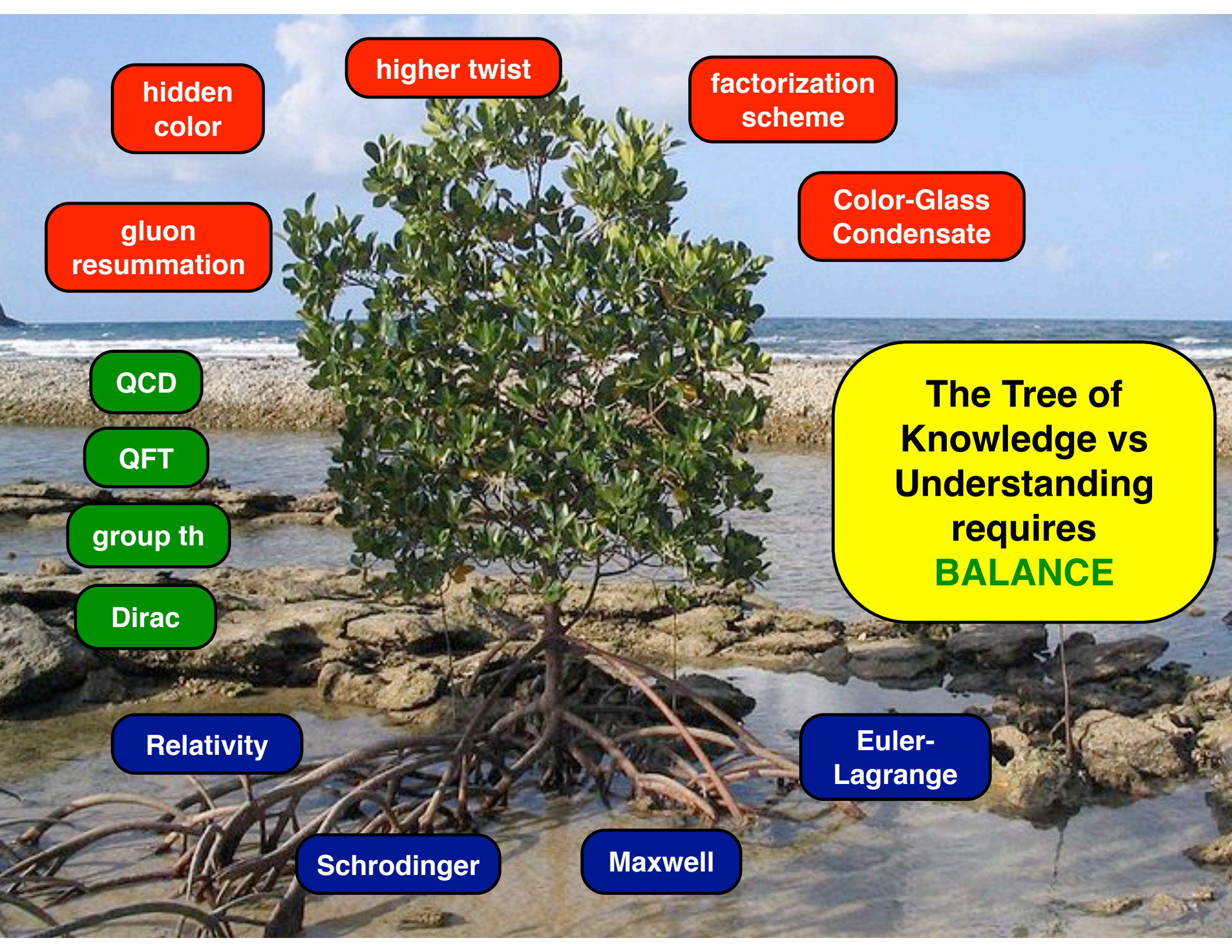
Relativity

Euler-  
Lagrange

Schrodinger

Maxwell





hidden  
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Relativity

Euler-  
Lagrange

Schrodinger

Maxwell

The Tree of  
Knowledge vs  
Understanding  
requires  
**BALANCE**

# “Classification” Categories to be Used for the Assignment of Scientific Priority to the 12 GeV Experiments → *a la Larry*

*Cardman, JLab PAC*

\* Fragmentation

- 1. The Hadron spectra as probes of QCD**  
(GluEx and heavy baryon and meson spectroscopy)
- 2. The transverse structure of the hadrons**  
(Elastic and transition Form Factors)
- 3. The longitudinal structure of the hadrons**  
(Unpolarized and polarized parton distribution functions)
- 4. The 3D structure of the hadrons**  
(Generalized Parton and Transverse Momentum Distributions)
- 5. Hadrons and cold nuclear matter**  
(Medium modification of the nucleons, quark hadronization, N-N correlations, hypernuclear spectroscopy, few-body expts)
- 6. Low-energy tests of the Standard Model and Fundamental Symmetries**  
(Møller, PVDIS, PRIMEX, .....)

Spectroscopy

Form  
Factors

PDFs

GPDs &  
TMDs

Nuclear  
Effects

SM / FS

# Crash Intro to Hadron Structure

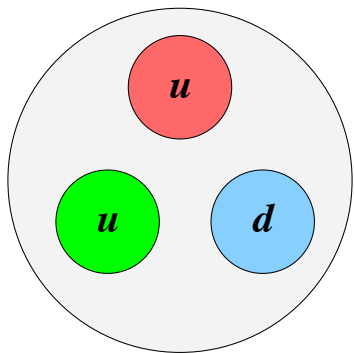
# The Quark Model

**Hadrons** are composed of **quarks** with :

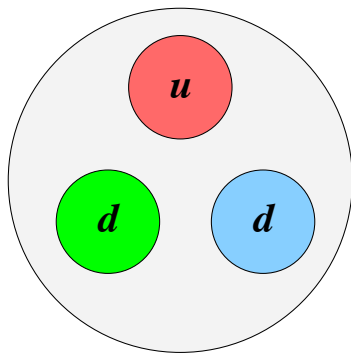
- ❶ **flavor**: u,c,t (charge +2/3) d,s,b (charge -1/3)    ❷ **color**: R,G,B    ❸ **spin**: 1/2

Each hadron observed in nature is **white** ("color singlet")

- **Baryons** 3-quark systems, with colors RGB
- **Mesons** quark + antiquark with colors CC



proton



neutron

The **spectrum** of observed hadrons is (roughly) explained:

## Mesons: Spin 0

$\pi^+$   $u\bar{d}$   
 $\pi^-$   $d\bar{u}$   
 $\pi^0$   $u\bar{u} \oplus d\bar{d}$   
 $K^+$   $u\bar{s}$   
 $K^-$   $s\bar{u}$   
 $K^0$   $d\bar{s}$   
 $\bar{K}^0$   $s\bar{d}$   
 $\eta$   $u\bar{u} \oplus d\bar{d} \oplus s\bar{s}$   
 $\eta'$   $u\bar{u} \oplus d\bar{d} \oplus s\bar{s}$

## Mesons: Spin 1

$\rho^+$   $u\bar{d}$   
 $\rho^-$   $d\bar{u}$   
 $\rho^0$   $u\bar{u} \oplus d\bar{d}$   
 $K^{*+}$   $u\bar{s}$   
 $K^{*-}$   $s\bar{u}$   
 $K^{*0}$   $d\bar{s}$   
 $\bar{K}^{*0}$   $s\bar{d}$   
 $\phi$   $s\bar{s}$   
 $\omega$   $u\bar{u} \oplus d\bar{d} \oplus s\bar{s}$

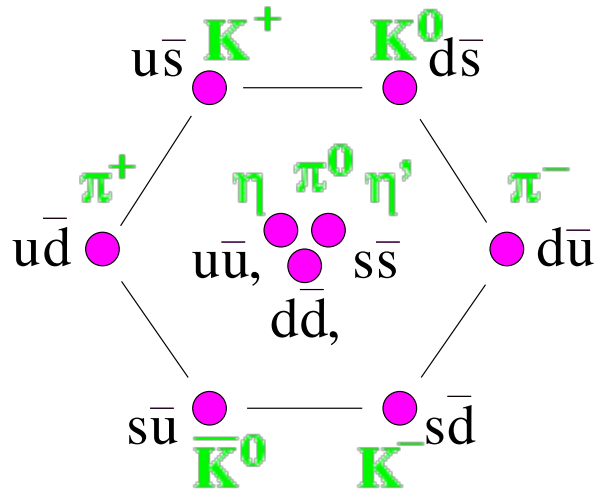
## Baryons: Spin 1/2

$p$   $uud$   
 $n$   $udd$   
 $\Sigma^+$   $uus$   
 $\Sigma^0$   $uds$   
 $\Sigma^-$   $dds$   
 $\Lambda$   $uds$   
 $\Xi^0$   $uss$   
 $\Xi^-$   $dss$

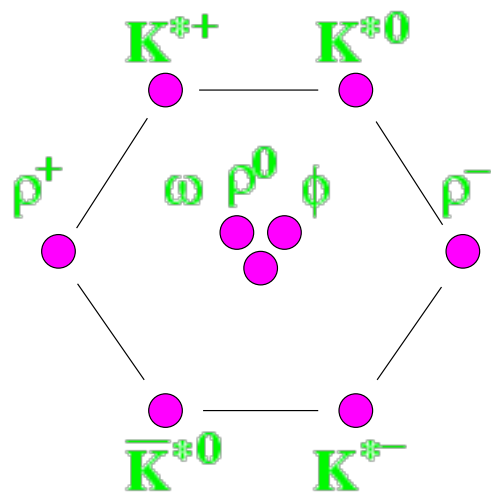
# Hadronic Multiplets

• MESONS =  $q\bar{q}$

SPIN 0 ( $\uparrow\downarrow$ )

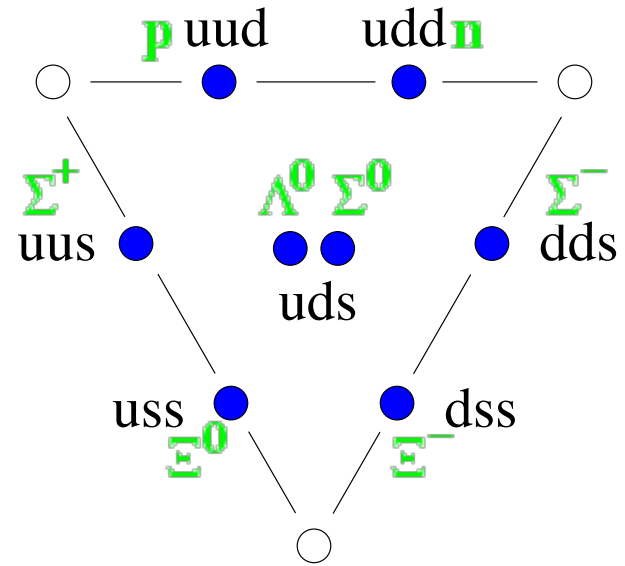


SPIN 1 ( $\uparrow\uparrow$ )

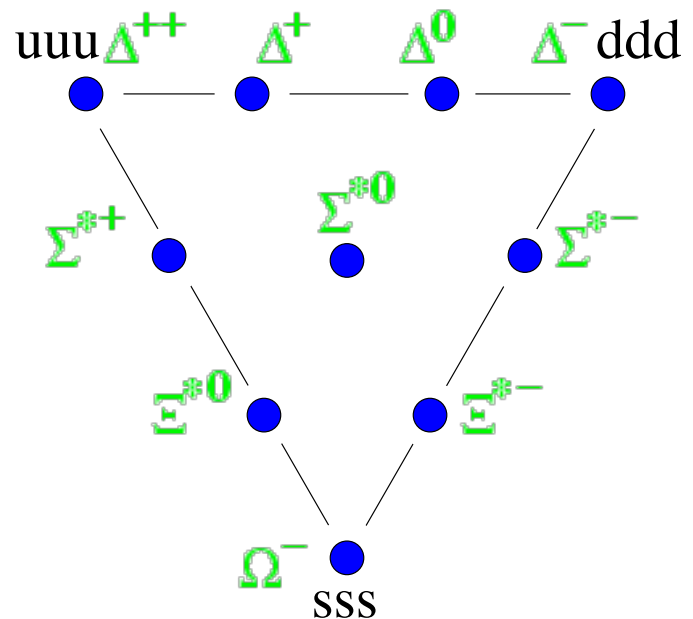


• BARYONS =  $qqq$  or  $\overline{qqq}$

SPIN 1/2 ( $\uparrow\downarrow\uparrow$ )



SPIN 3/2 ( $\uparrow\uparrow\uparrow$ )



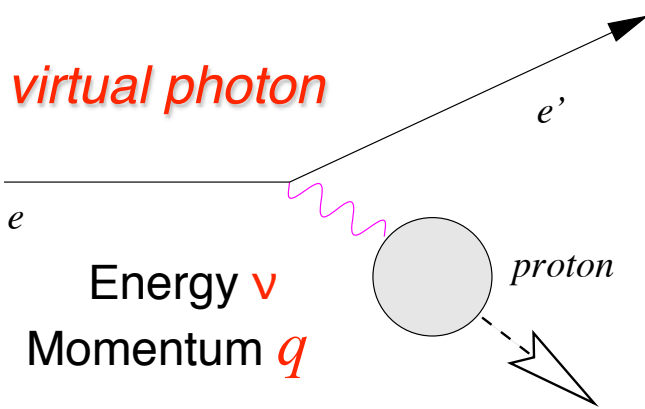


Murray Gell-Mann, 1964:

“A search for stable quarks ... at the highest energy accelerators would help to reassure us of the non-existence of real quarks.”

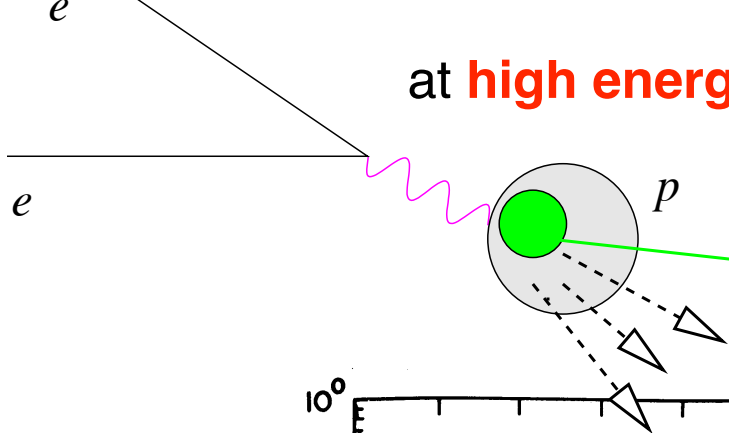
# Electron Scattering and Scaling

**Elastic scattering** from the proton:



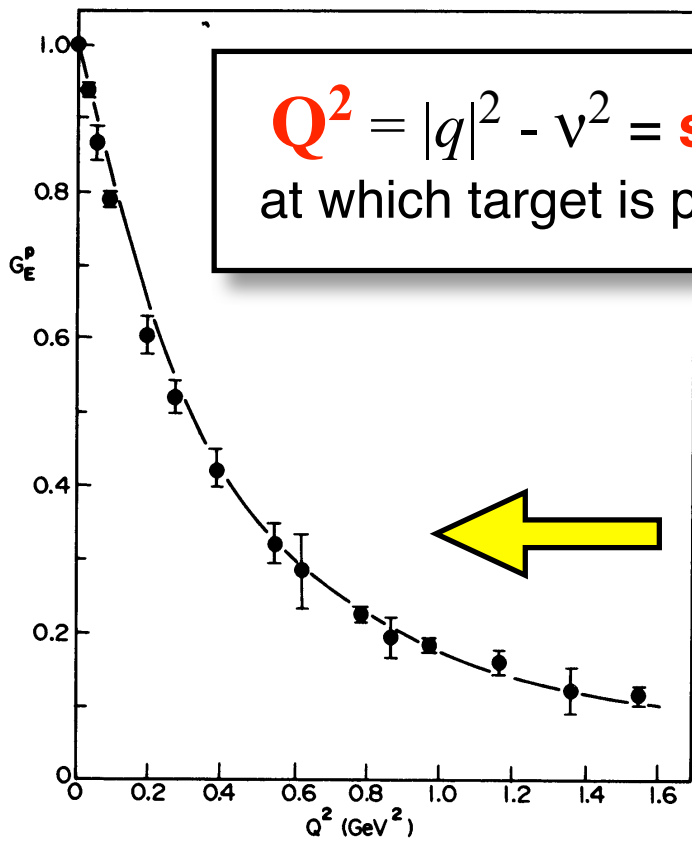
**Deep-Inelastic scattering (DIS):**

at **high energies** you see ...  
*hard, pointlike constituents!*

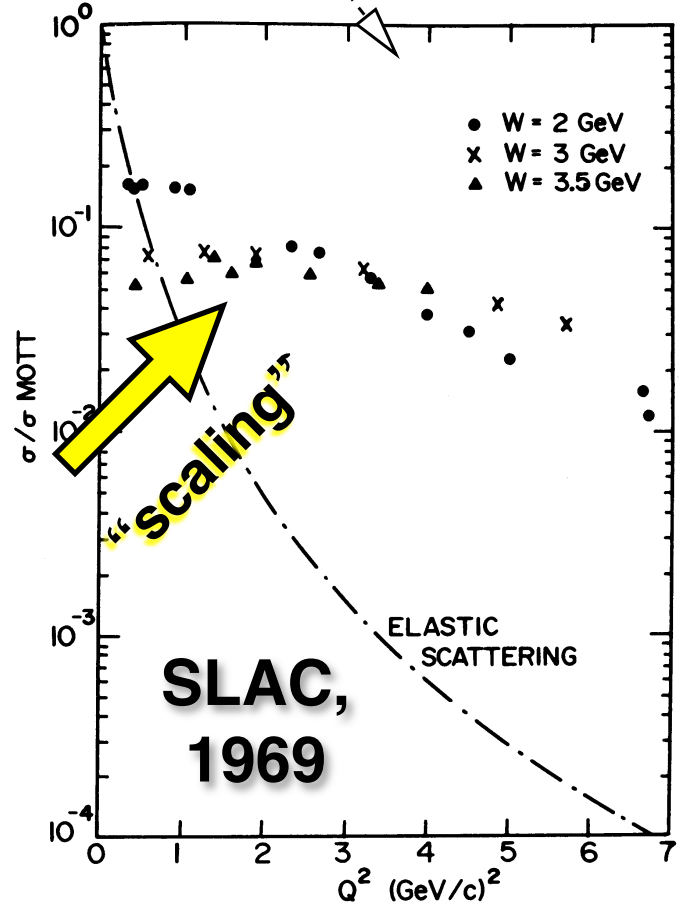


$Q^2 = |q|^2 - v^2 = \text{scale}$

at which target is probed

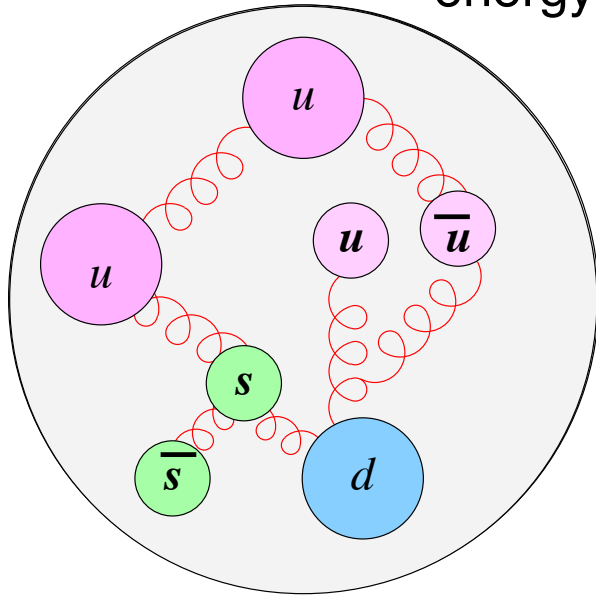


$$\frac{\sigma(Q^2)}{\sigma_{\text{point}}(Q^2)}$$



# Parton Distribution Functions

Let's look *inside* the proton: **Deep-Inelastic Scattering** (DIS) with high energy beams  $\Rightarrow$  a rich substructure is revealed!



3 **constituent quarks**  
of mass  $\approx 350$  MeV



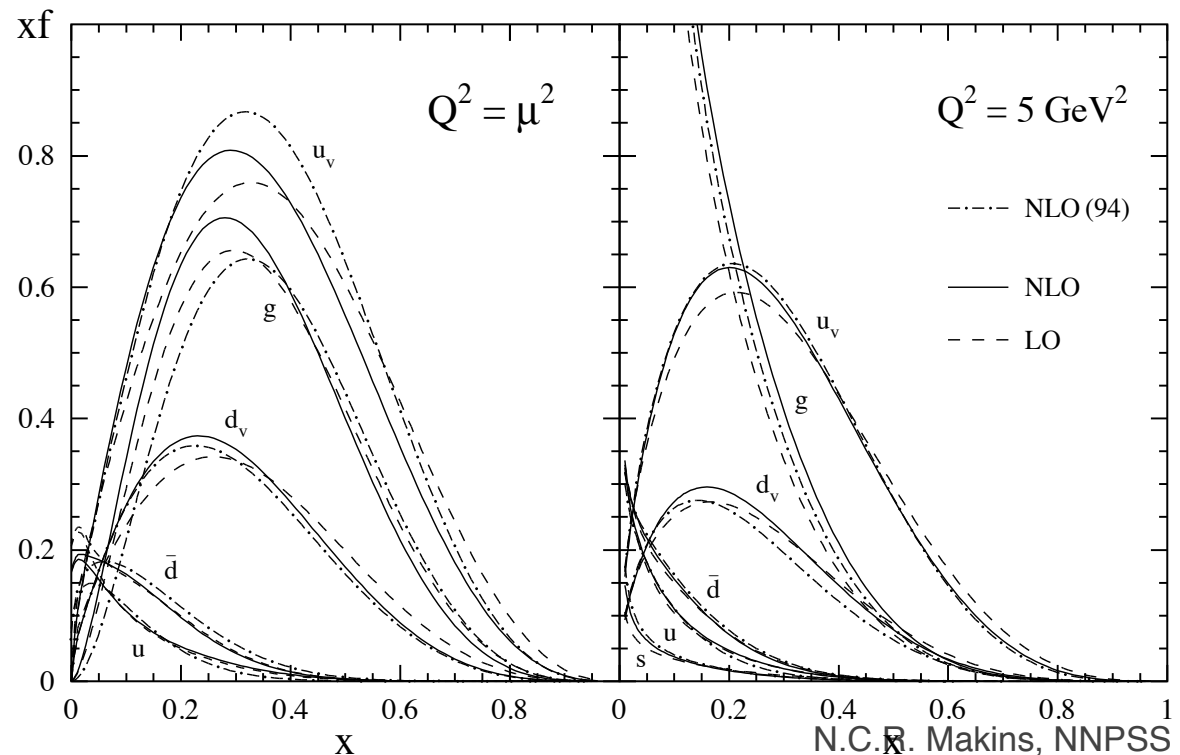
$\infty$  many **current quarks**  
with bare masses  $\approx 5$  MeV

**sea quarks** : virtual quark-antiquark pairs that fluctuate in and out of the vacuum!

**gluons** : carriers of the strong force

$x$  fraction of proton momentum carried by struck quark

$q(x)$  parton distribution func<sup>n</sup>  
(number density for quark flavor  $q$ )



# Quantum Chromodynamics

## The Theory of the Strong Interaction

$$\mathcal{L}_{\text{QCD}} = -\bar{\Psi} \left\{ \gamma_{\mu} [\partial_{\mu} - \frac{i}{2} g \lambda^a A_{\mu}^a(x)] + M \right\} \Psi - \frac{1}{4} \mathcal{F}_{\mu\nu}^a \mathcal{F}_{\mu\nu}^a$$

The End.

# Bound States in QED and QCD

## QED

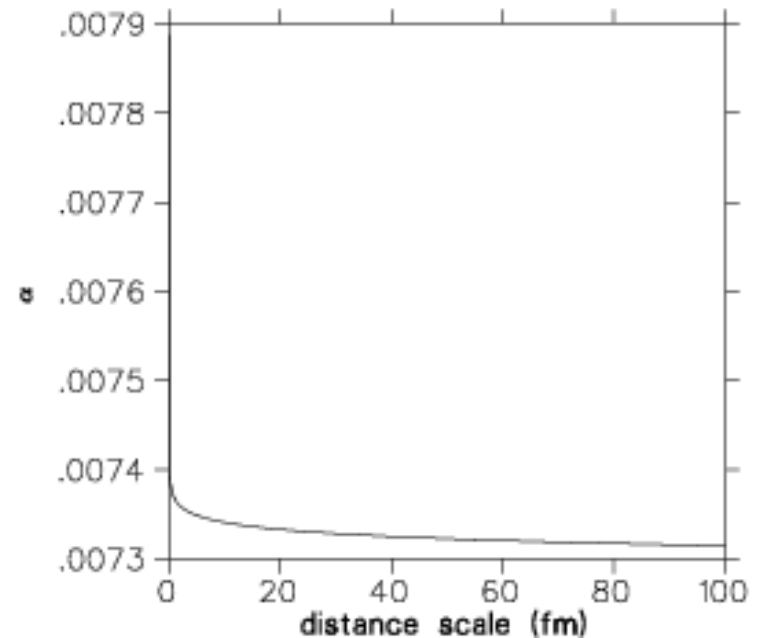
Coupling  $\alpha = 1/137$  is weak at relevant scales



✓ **Perturbation theory** works very well

✓ **Non-relativistic** quantum mechanics ok

e.g. Hydrogen: binding  $E = 13.6 \text{ eV} \ll M_{\text{elec}} = 511 \text{ keV}$



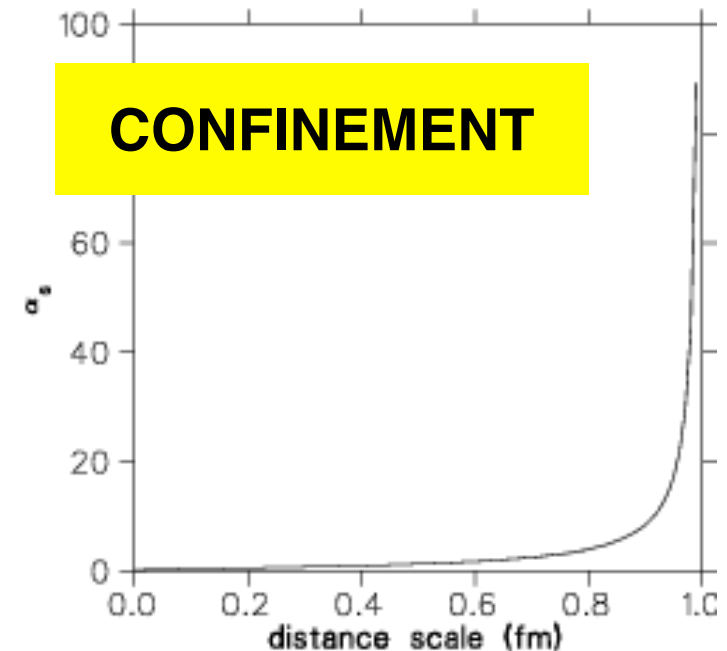
## QCD

Coupling  $\alpha_s$  ***blows up*** at relevant scales !

✗ **Perturbation** theory **impossible**

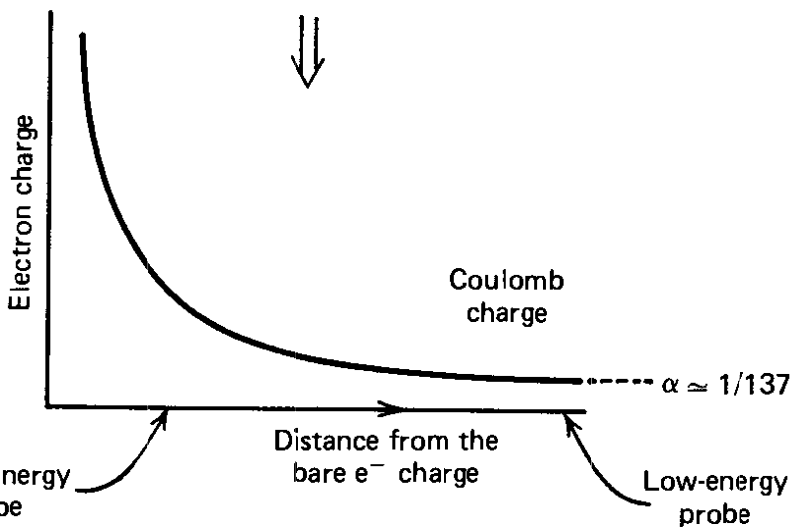
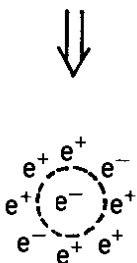
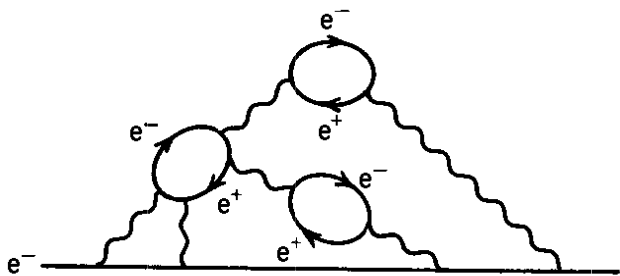
✗ Bound systems inherently **relativistic**

e.g. Proton: Mass = 938 MeV  $\gg$   
bare  $m_{\text{quark}} = 5 \text{ MeV}$  !



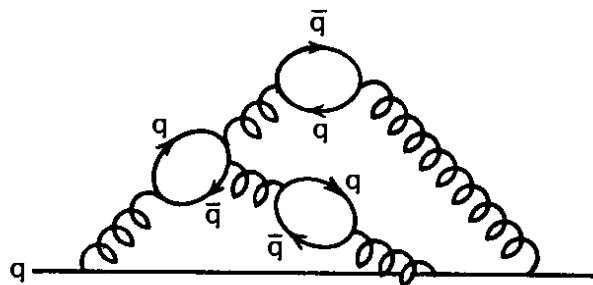
# Color Anti-Screening

Quantum electrodynamics (QED)

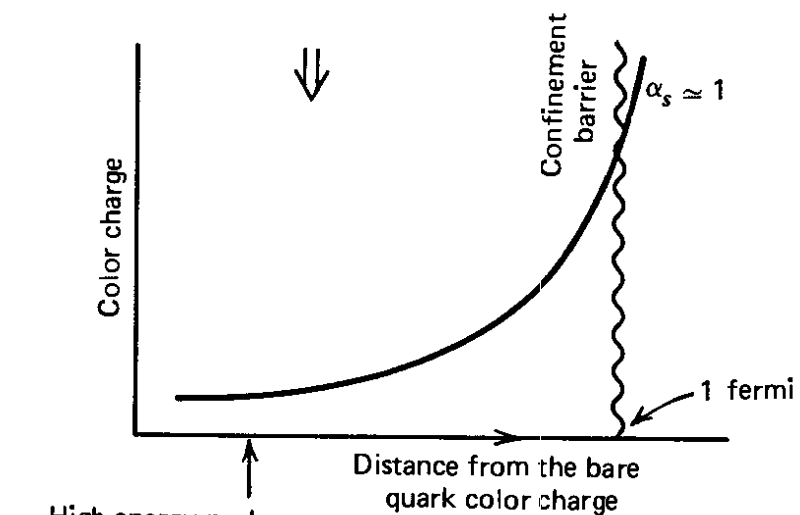
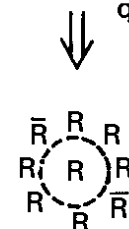
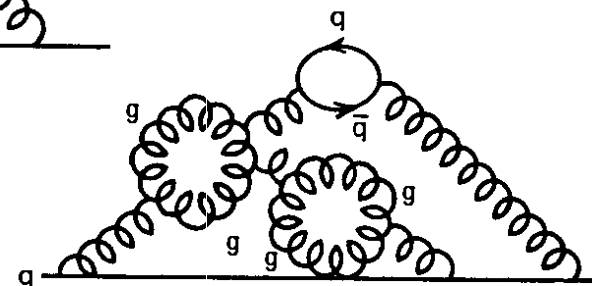


(a)

Quantum chromodynamics (QCD)



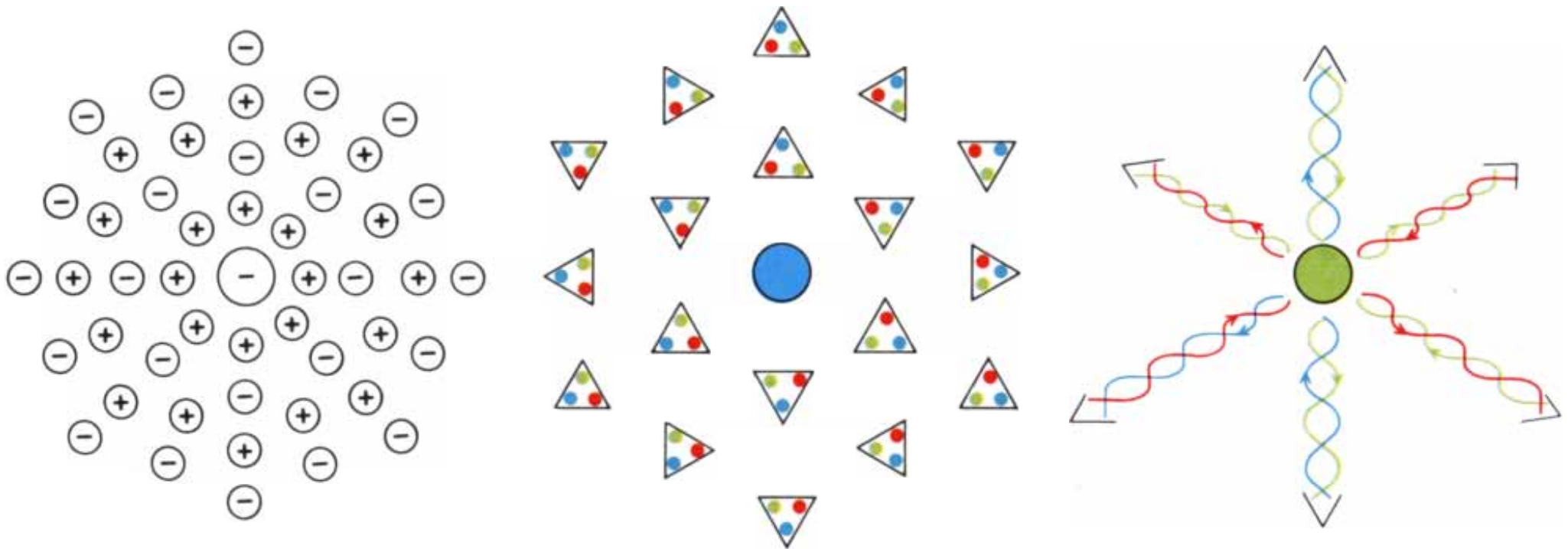
but also



(b)

# Color Anti-Screening: C.Quigg, Sci. Am. April 1985

*found in a footnote from Griffiths, "Elementary Particles"*



**SCREENING AND CAMOUFLAGE EFFECTS** modify the behavior of fundamental forces over distance. The left panel shows an electron in a vacuum; it is surrounded by short-lived pairs of virtual electrons and positrons, which in quantum theory populate the vacuum. The electron attracts the virtual positrons and repels the virtual electrons, thereby screening itself in positive charge. The farther from the electron a real charge is, the thicker the intervening screen of virtual positive charges is and the smaller the electron's effective charge will be. The color force is subject to the same screening effect (*center*). Virtual color charges (mostly quark-antiquark pairs) fill the vacuum; a colored quark attracts contrasting colors,

thereby surrounding itself with a screen that acts to reduce its effective charge at increasing distances. An effect called camouflage counteracts screening, however. A quark continuously radiates and reabsorbs gluons that carry its color charge to considerable distances and change its color, in this case from blue to green (*right*). A charge's full magnitude can be felt only outside the space it occupies. Therefore camouflage acts to increase the force felt by an actual quark as it moves away from the first quark, toward the edge of the color-charged region. The net result of screening and camouflage is that at close range the strong interaction, which is based on the color charge, is weaker, whereas at longer ranges it is stronger.

# Flavor Structure of the Proton

## Constituent Quark Model

Pure valence description: proton =  $2u + d$

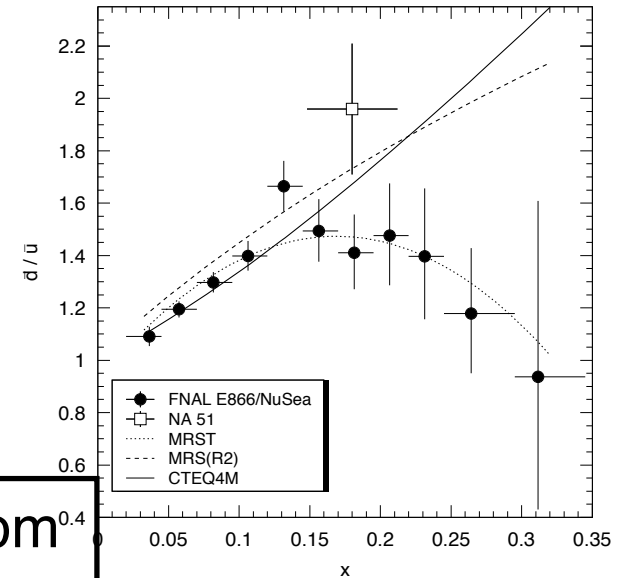
**Perturbative Sea** Sea quark pairs from  $g \rightarrow q\bar{q}$  should be flavor symmetric:

$$\bar{u} = \bar{d}$$

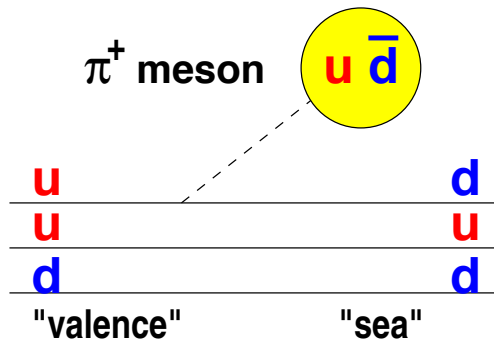
**Non-perturbative models:** alternate deg's of freedom

E866:

$$\bar{d}/\bar{u} > 1$$



## Meson Cloud Models

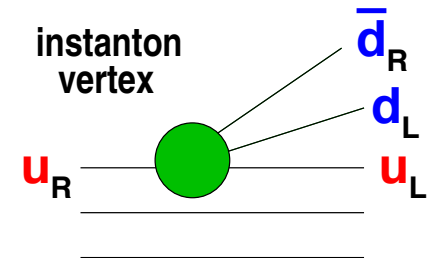


Quark sea from cloud of  $0^-$  mesons:

$$\bar{d} > \bar{u}$$

## Chiral-Quark Soliton Model

- quark degrees of freedom in a pion mean-field
- nucleon = chiral soliton
- one parameter: dynamically-generated quark mass
- expand in  $1/N_c$



'tHooft instanton vertex

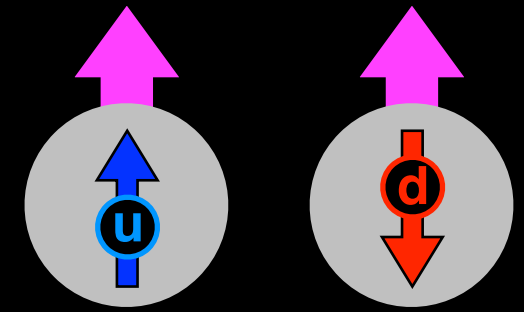
$$\sim \bar{u}_R u_L \bar{d}_R d_L$$

$$\bar{d} > \bar{u}$$



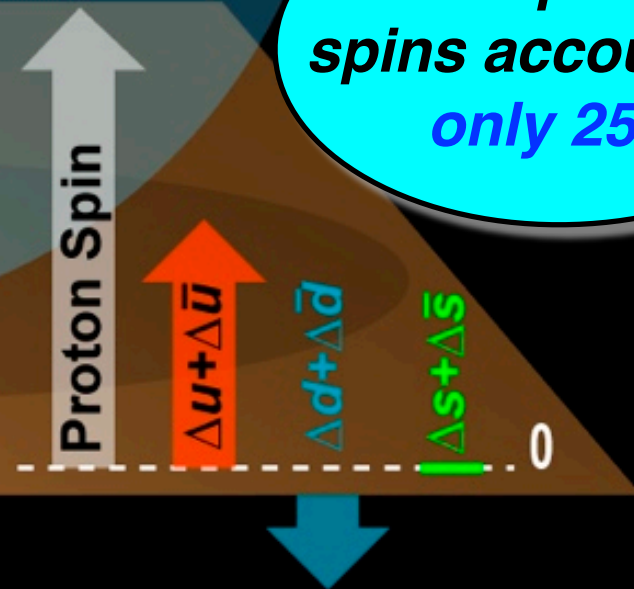
# The Puzzle of Proton Spin

The proton:  
spin 1/2

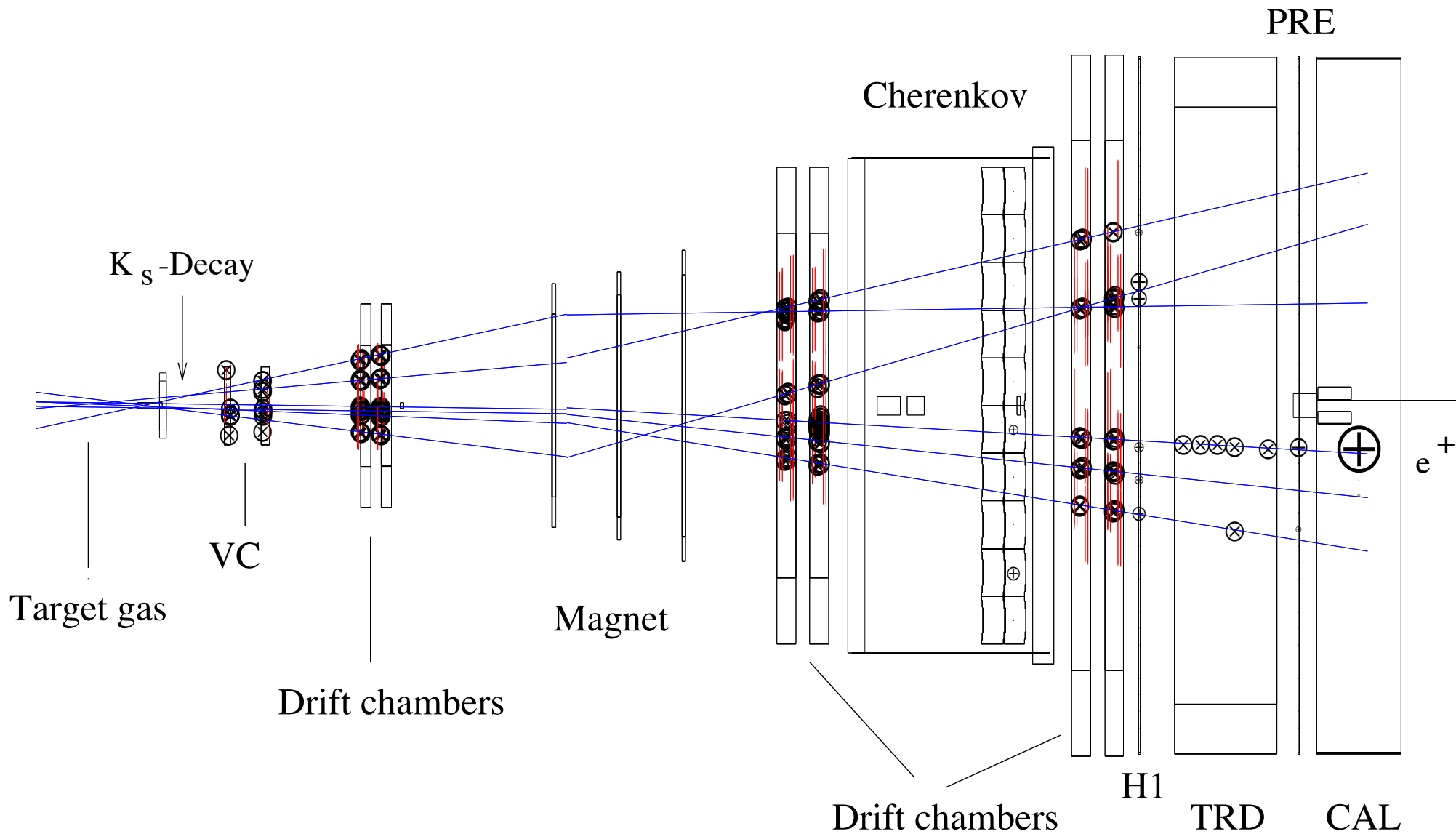


The quarks'  
spins account for  
only 25%

Where's the rest?  
Gluon Spin?  
L?

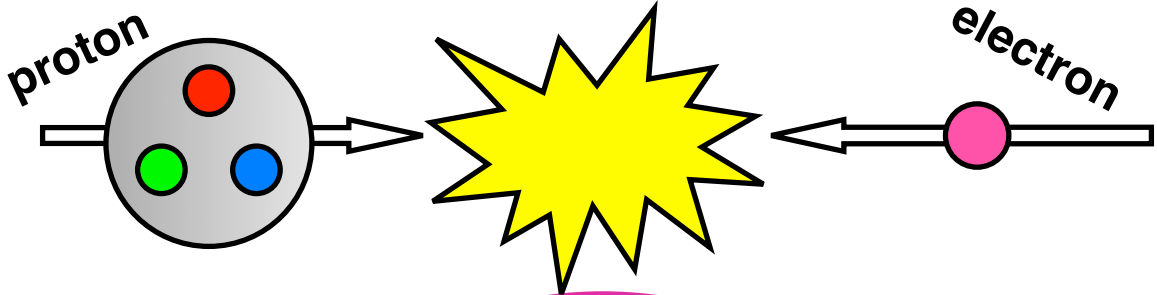


# What the Detector Sees in a High-Energy Collision ...

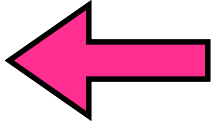


# What Happens in a High Energy Collision

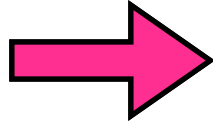
Lund String Model



$n$     $\pi^+$   
 $\omega$     $K^-$     $\Delta^+$     $K^+$   
            $\phi$          $+$          $\eta$



HADRONS  
are formed, in  
"JETS"



$K^0$     $\pi^0$     $\rho^0$     $p$   
            $\Lambda^0$     $\pi^-$     $\Sigma^-$

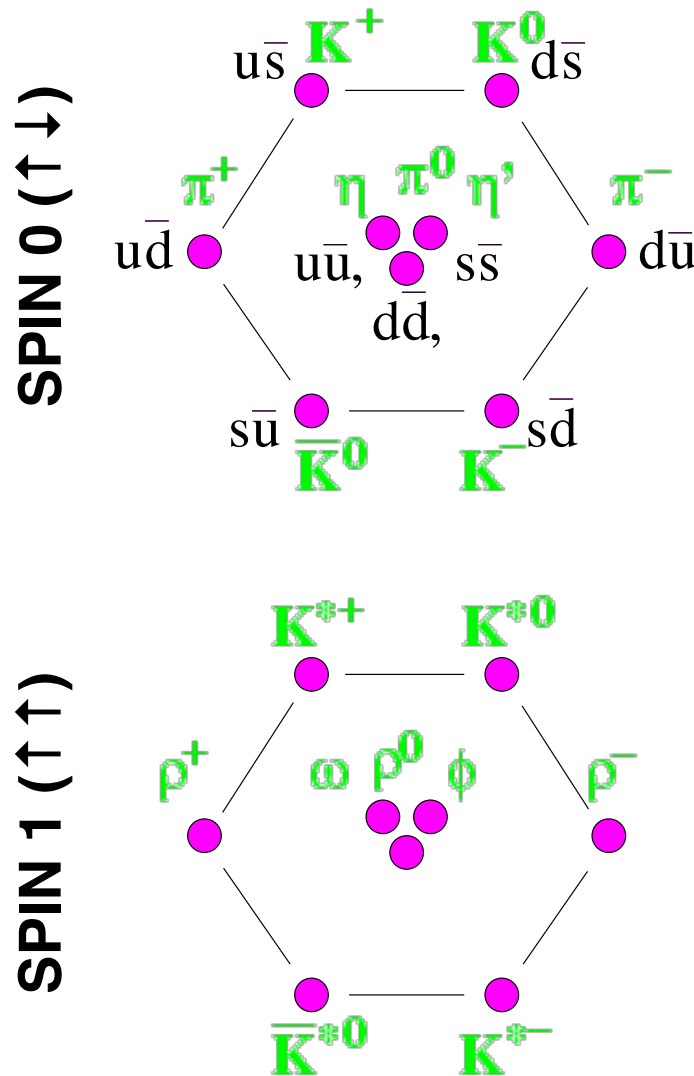
*Confinement at Work !*

Creation of hadrons from struck quark: Fragmentation

# Our Friends, the Hadrons

## Particles you need to know!

The **only** particles that can **make tracks** in typical detectors : must be **charged** and must **live long enough**



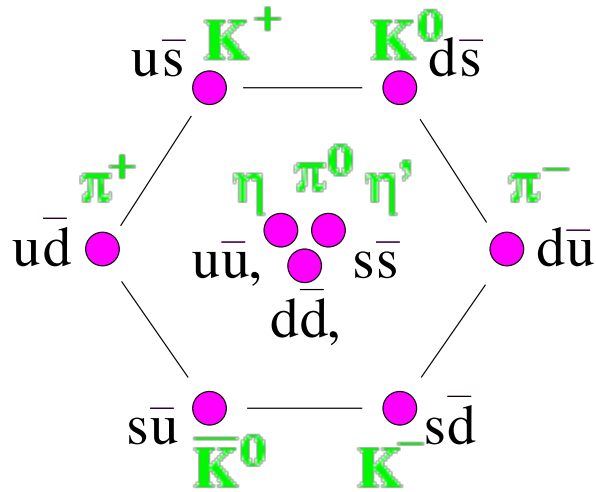
- **Pions:**  $\pi^+ = u\bar{d}$ ,  $\pi^- = d\bar{u}$ ,  $m_{\pi^\pm} = 140$  MeV  
lightest and most common of **mesons**
- **Kaons:**  $K^+ = u\bar{s}$ ,  $K^- = s\bar{u}$ ,  $m_{K^\pm} = 494$  MeV  
lightest mesons with **strange quarks**
- **Protons** and **antiprotons:**  
 $p = uud$ ,  $\bar{p} = \bar{u}\bar{u}\bar{d}$ ,  $m_p = 938$  MeV  
the **only** truly **stable hadrons** in nature
- **Electrons** and **positrons:**  $e^\pm$ ,  $m_e = 0.5$  MeV  
lightest charged leptons, also stable
- **Muons:**  $\mu^\pm$ ,  $m_\mu = 107$  MeV  
heavy electrons → don't radiate much,  
∴ easily pass through materials

Other hadrons are observed via their **decays**, e.g.  $\rho^0 \rightarrow \pi^+\pi^-$

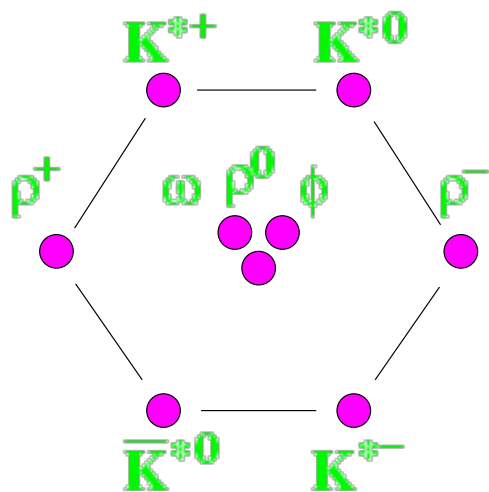
# Hadronic Multiplets

• MESONS =  $q\bar{q}$

SPIN 0 ( $\uparrow\downarrow$ )

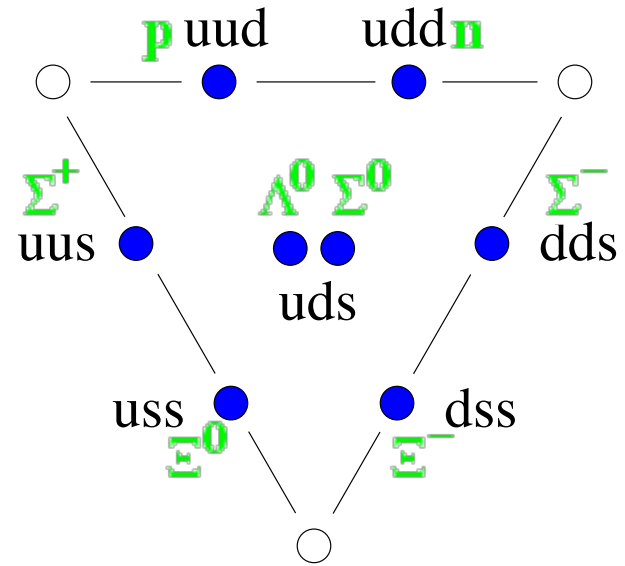


SPIN 1 ( $\uparrow\uparrow$ )

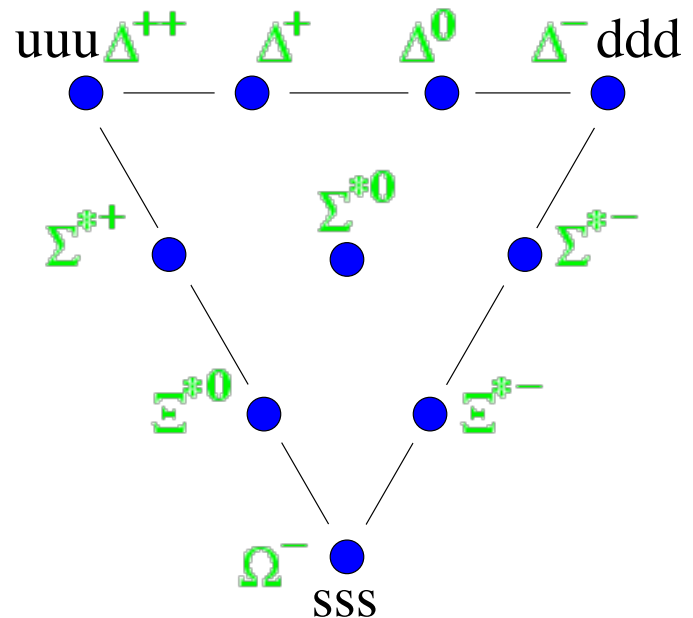


• BARYONS =  $qqq$  or  $\bar{q}\bar{q}\bar{q}$

SPIN 1/2 ( $\uparrow\downarrow\uparrow$ )



SPIN 3/2 ( $\uparrow\uparrow\uparrow$ )





## A Wee Bit O' Jargon-Busting

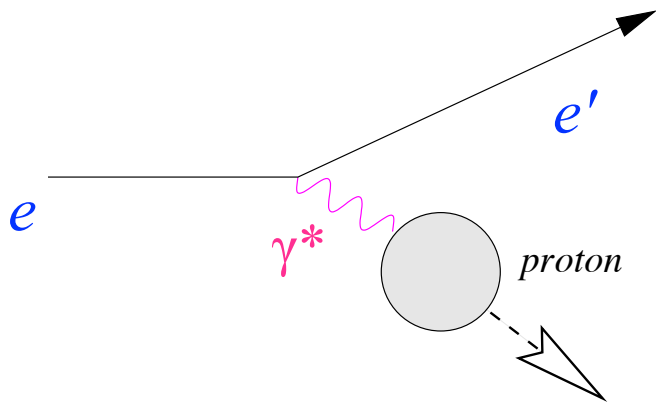


- baryon jargon: **N\*s**, **hyperons**, and **cascades**
- meson classes: **pseudoscalar**, **vector**, **scalar**, ...
  - quantum numbers  $\mathbf{J^P} = \mathbf{0^-}$  ( $\pi$ ),  $\mathbf{1^-}$  ( $\rho$ ),  $\mathbf{0^+}$  ( $f_0$ )
  - why do pions have negative parity? ( $S=0$ ,  $L=0$ )  
∴ quarks & antiquarks have **opposite intrinsic parity**
- **isovector** vs **isoscalar**: mesons and PDF combinations
  - isovector ( $l=1$ ):  $\pi$ ,  $\rho$  ...  $u(x) - d(x)$
  - isoscalar ( $l=0$ ):  $\eta$ ,  $\omega$  ...  $u(x) + d(x)$

Deep-Inelastic Scattering  
& friends :  
Key Processes



# The virtual photon and $Q^2$



In relativistic quantum mechanics = **quantum field theory**, scattering due to a force between particles (e.g. E&M) is treated as if a **virtual particle** were **exchanged** between beam and target

force	carrier
E & M	photon $\gamma$
strong	gluon $g$
weak	$W, Z$

The **virtual photon  $\gamma^*$**  is just a combination of E and B fields ... “**virtual**” → *short-lived*

## Kinematic variables of electron scattering

electron beam  $e$        $k = [E, \vec{k}] = [E, 0, 0, k]$

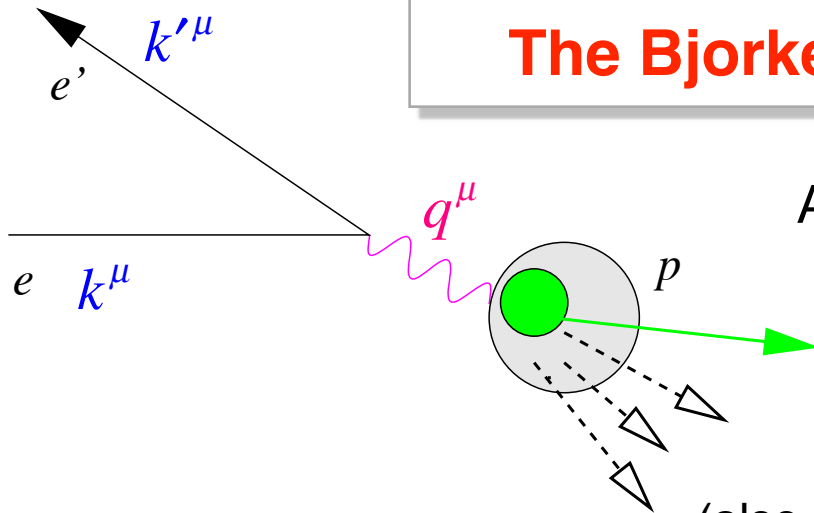
scattered electron  $e'$        $k' = [E', \vec{k}']$        $m_e^2 = k \cdot k = k' \cdot k'$

virtual photon  $\gamma^*$        $q = [v, \vec{q}] \equiv k - k' = [E - E', \vec{k} - \vec{k}']$

$Q^2 \equiv -q \cdot q = |\vec{q}|^2 - v^2 > 0!$

Virtual photon has **imaginary mass**, unlike a real photon

## The Bjorken scaling variable $x$



At fixed beam energy, electron scattering xsecs depend on **two variables**:  $Q^2$  and  $\nu$  of the  $\gamma^*$

... or  $E'$  and  $\theta$  of the scattered beam:

$$Q^2 = 4EE'\sin^2(\theta/2)$$

$$\nu = E - E'$$

(also define  $y \equiv \nu/E$  = fractional energy of  $\gamma^*$ , range  $0 \rightarrow 1$ )

At high enough  $Q^2$  and  $W^2$  we scatter not from the whole proton, but from a collection of **pointlike, nearly-massless quarks**

**Elastic electron-quark scattering:**

$$k + p_q = k' + p'_q \quad \rightarrow \quad p'_q = q + p_q$$

$$(p'_q)^2 = m_q^2 = (q+p_q)^2 = q^2 + \cancel{p_q^2} + 2q \cdot p_q \quad \rightarrow \quad 2q \cdot p_q = -q^2 = Q^2$$

Suppose the **struck quark** carries a **fraction  $x$**  of the target **proton's 4-momentum  $P$**

$$p_q = xP \quad \rightarrow \quad p_q = xP = [xM_p, 0] \text{ in lab frame}$$

$$\rightarrow Q^2 = 2q \cdot p_q = 2q \cdot P x = 2\nu M_p x$$

$$x = \frac{-q \cdot q}{2P \cdot q} = \frac{Q^2}{2M_p \nu}$$

*DIS experiments measure this for every event*

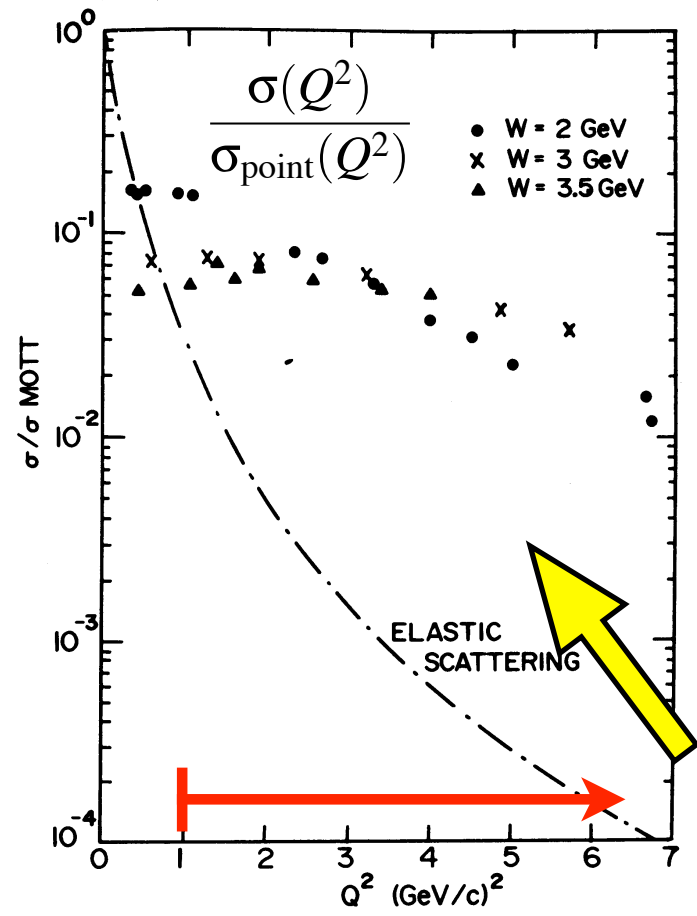
# Deep-inelastic scattering : PDFs and $Q^2$

$$p_q = xP$$

$$x = \frac{Q^2}{2M_p \nu}$$

When we are scattering from individual pointlike quarks within the target, we are in the regime of **deep-inelastic scattering**

$$\frac{d\sigma}{dx dQ^2} = \left( \frac{d\sigma}{dx dQ^2} \right)_{\text{point}(eq \rightarrow eq)} \cdot \sum_{q=u,d,s,\bar{u},\bar{d},\bar{s}} e_q^2 q(x, Q^2)$$



**DIS regime:  $Q^2 > 1 \text{ GeV}^2$**

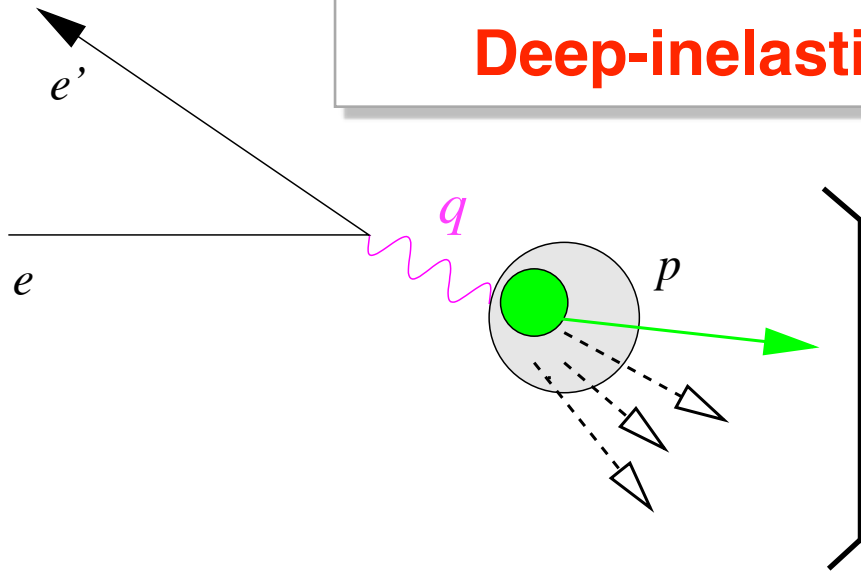
**“scaling”**

$$q(x, Q^2)$$

The interesting, **proton substructure** part of the xsec is described by **parton distribution functions  $q(x)$**

- PDFs describe **number density** of quarks at different momentum-fractions  $x$
- one PDF per **quark flavour**  
 $\{q(x)\} = u(x), d(x), s(x), \bar{u}(x), \bar{d}(x), \bar{s}(x)$
- PDFs depend only very **weakly on  $Q^2$**

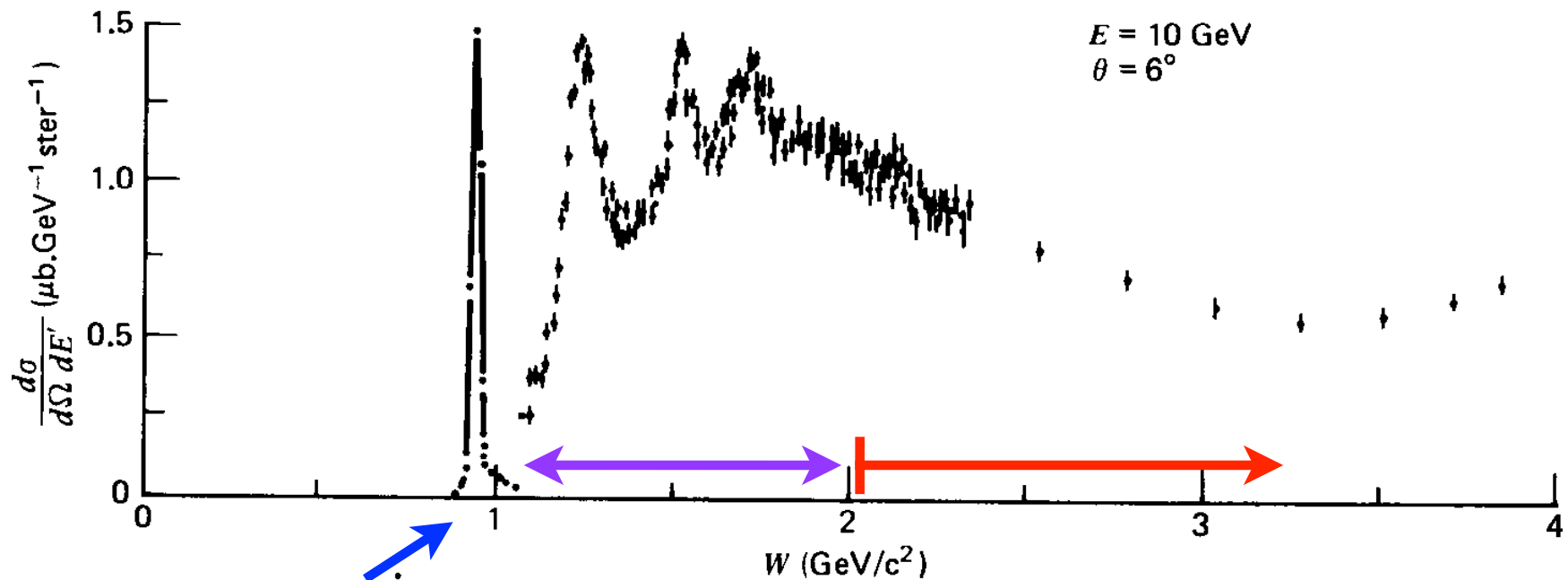
# Deep-inelastic scattering and $W^2$



In DIS, the proton **breaks up** into many hadrons  $\rightarrow$  fragmentation

**hadronic final state:** total invariant-mass  $W$

$$W^2 = (q+P)^2 = (v+M_p)^2 - |q|^2 = M_p^2 - Q^2 + 2 M_p v$$

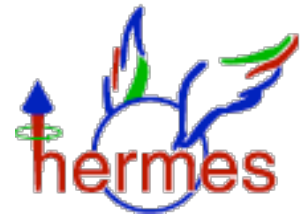


**elastic scattering**  
ep  $\rightarrow$  ep

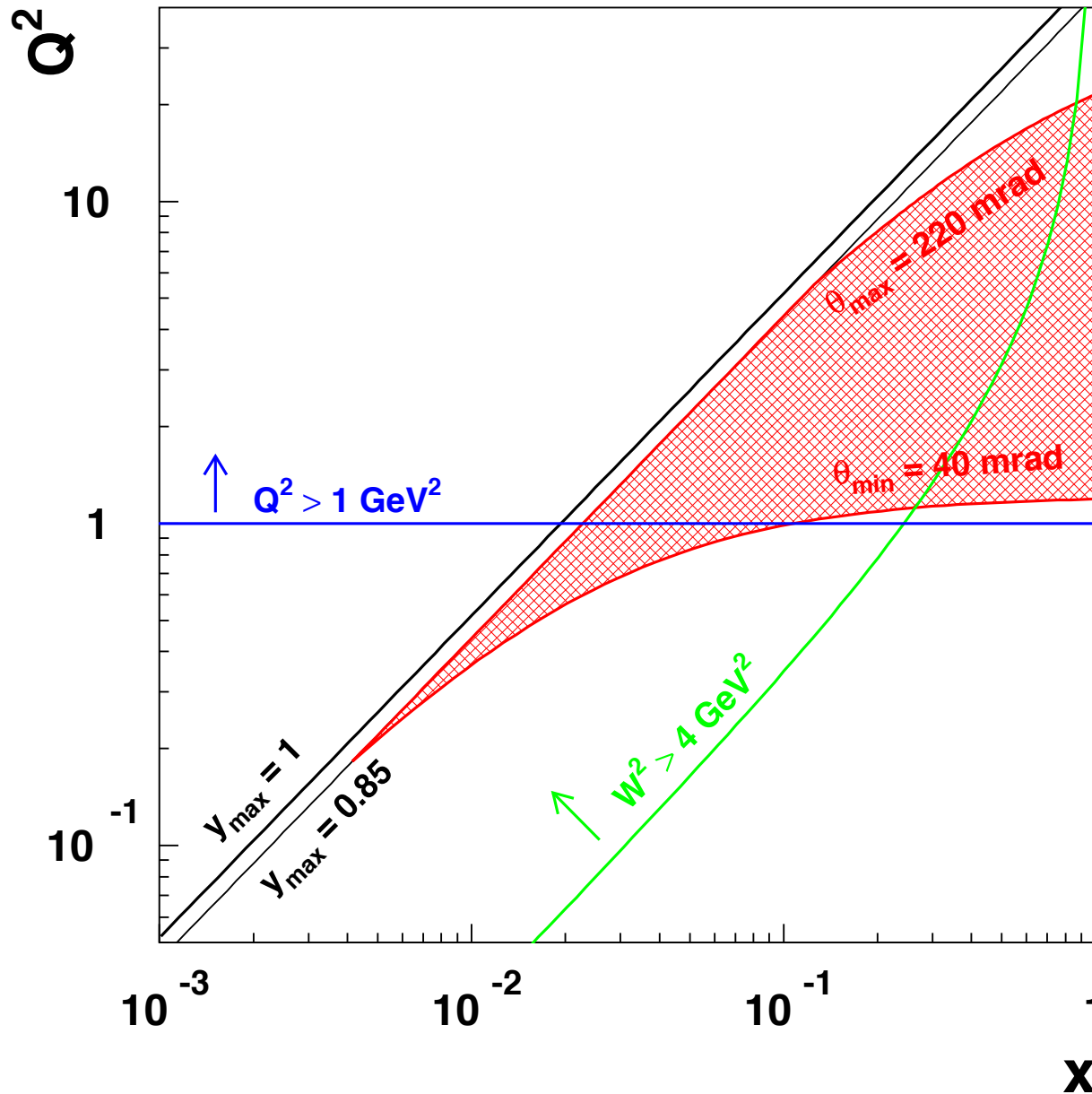
**resonance region**  
ep  $\rightarrow$  e $\Delta$ , eN\*, ...

**DIS regime:  $W > 2$  GeV**  
ep  $\rightarrow$  e(X = many hadrons)

# Example kinematics : HERMES



$e^+/e^-$  beam of energy 27.6 GeV –on– fixed targets



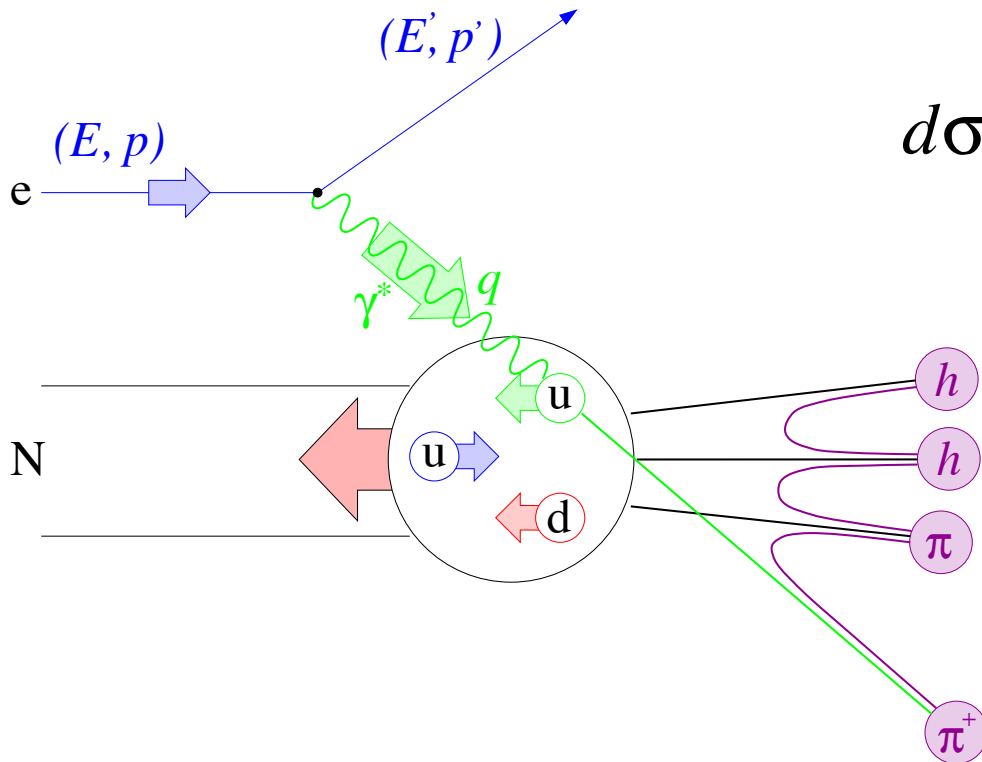
**X**

# Semi-Inclusive Deep-Inelastic Scattering (SIDIS)

In SIDIS, a **hadron  $h$**  is detected in **coincidence** with the scattered lepton:

**Factorization** of the cross-section:

$$d\sigma^h \sim \sum_q e_q^2 \underbrace{q(x)}_{\text{green}} \cdot \underbrace{\hat{\sigma}}_{\text{blue}} \cdot \underbrace{D^{q \rightarrow h}(z)}_{\text{pink}}$$



**The perturbative part**

Cross-section for elementary photon-quark **subprocess**

Large energies  $\Rightarrow$  asymptotic freedom  
 $\Rightarrow$  can calculate!

**The Distribution Function**

momentum **distribution of quarks  $q$**   
 within their proton bound state

$\Rightarrow$  **lattice QCD** progressing steadily

**The Fragmentation Function**

momentum **distribution of hadrons  $h$**   
 formed from quark  $q$

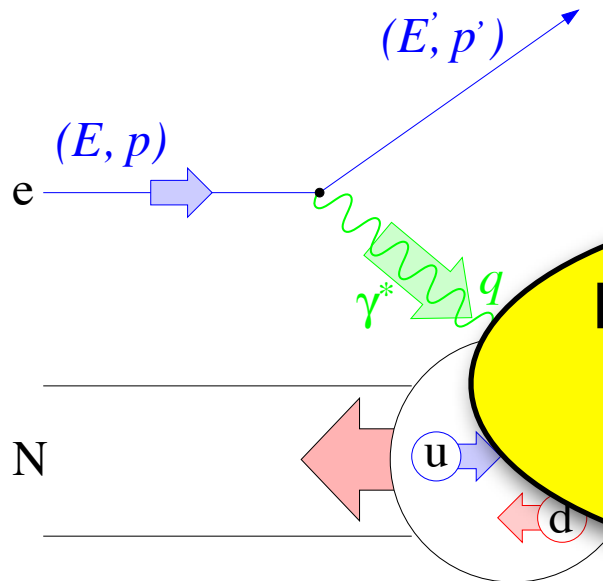
$\Rightarrow$  not even lattice can help ...

# Semi-Inclusive Deep-Inelastic Scattering (SIDIS)

In SIDIS, a **hadron  $h$**  is detected in **coincidence** with the scattered lepton:

**Factorization** of the cross-section:

$$d\sigma^h \sim \sum_a e_q^2 q(x) \cdot \hat{\sigma} \cdot D^{q \rightarrow h}(z)$$



**Many distribution and fragmentation functions to explore!**

**perturbative part**  
 cross-section for elementary  
 photon-quark **subprocess**

Large energies  $\Rightarrow$  asymptotic freedom  
 $\Rightarrow$  can calculate!

$\pi^+$

## The Distribution Function

momentum **distribution of quarks  $q$**   
 within their proton bound state

$\Rightarrow$  **lattice QCD** progressing steadily

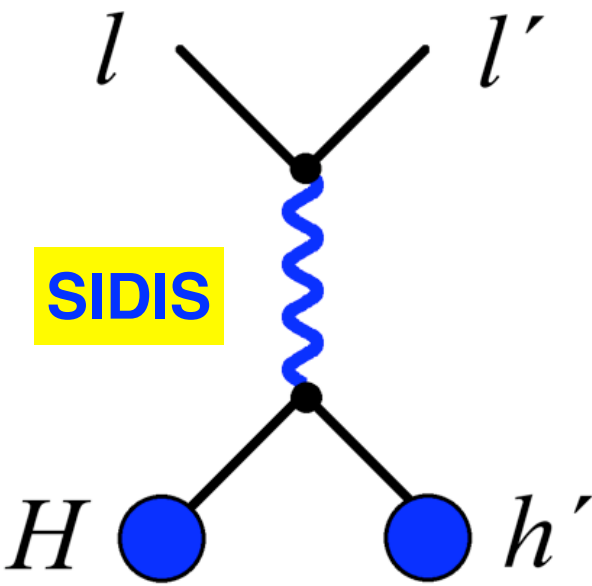
## The Fragmentation Function

momentum **distribution of hadrons  $h$**   
 formed from quark  $q$

$\Rightarrow$  not even lattice can help ...

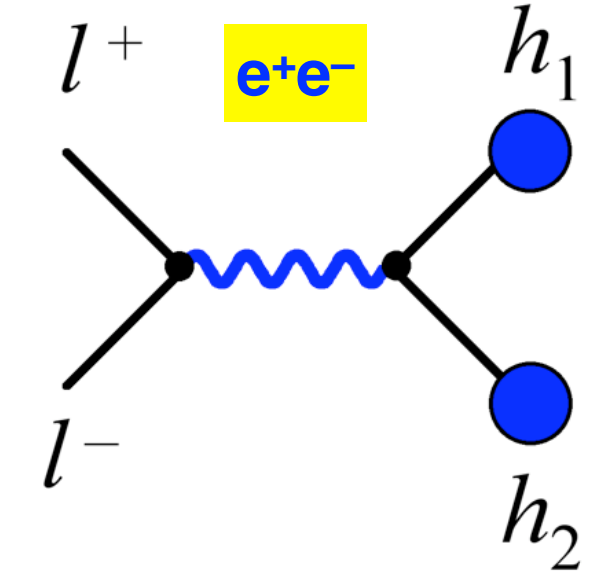
The Big Three

Leptons: clean, surgical tools



SIDIS

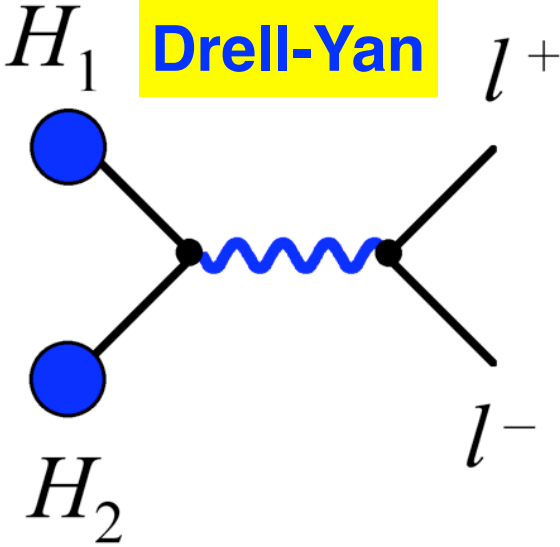
$$\sum_q e_q^2 f_q^{(H)}(x) D_q^{h'}(z)$$



e+e-

$$\sum_q e_q^2 D_q^{h_1}(z_1) D_q^{h_2}(z_2)$$

Disentangle **distribution** (f) and **fragmentation** (D) functions → ideally measure **all processes**



Drell-Yan


$$\sum_q e_q^2 f_q^{(H_1)}(x_1) f_{\bar{q}}^{(H_2)}(x_2)$$

These are the **only** processes where TMD factorization is proven



# Hadron-Hadron $\rightarrow$ Leptons

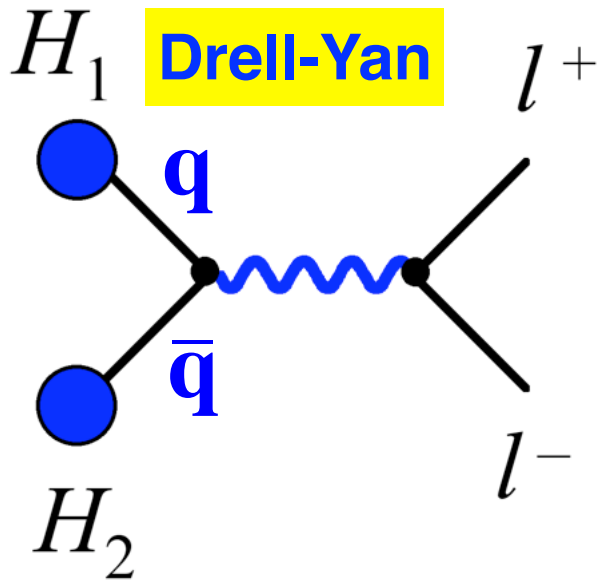
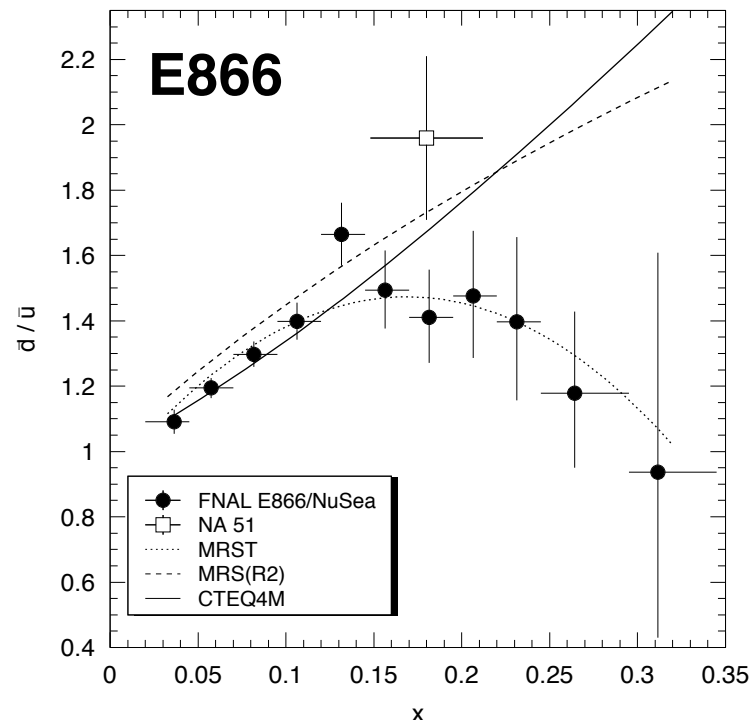
$$\sum_q e_q^2 f_q^{(H_1)}(x_1) f_{\bar{q}}^{(H_2)}(x_2)$$



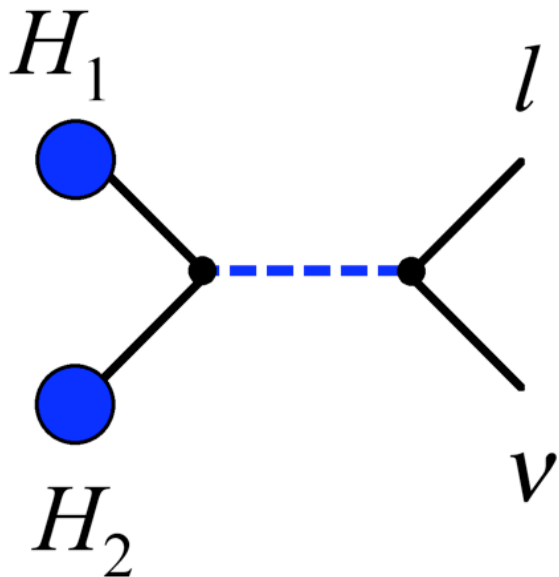
- Cleanest access to **sea quarks**

e.g.  $\bar{d}(x)/\bar{u}(x)$  @ Fermilab

e.g.  $\Delta\bar{u}(x), \Delta\bar{d}(x)$  @ RHIC



**W production**  
**“Drell-Yan 2.0”**





# Hadron-Hadron $\rightarrow$ Hadrons

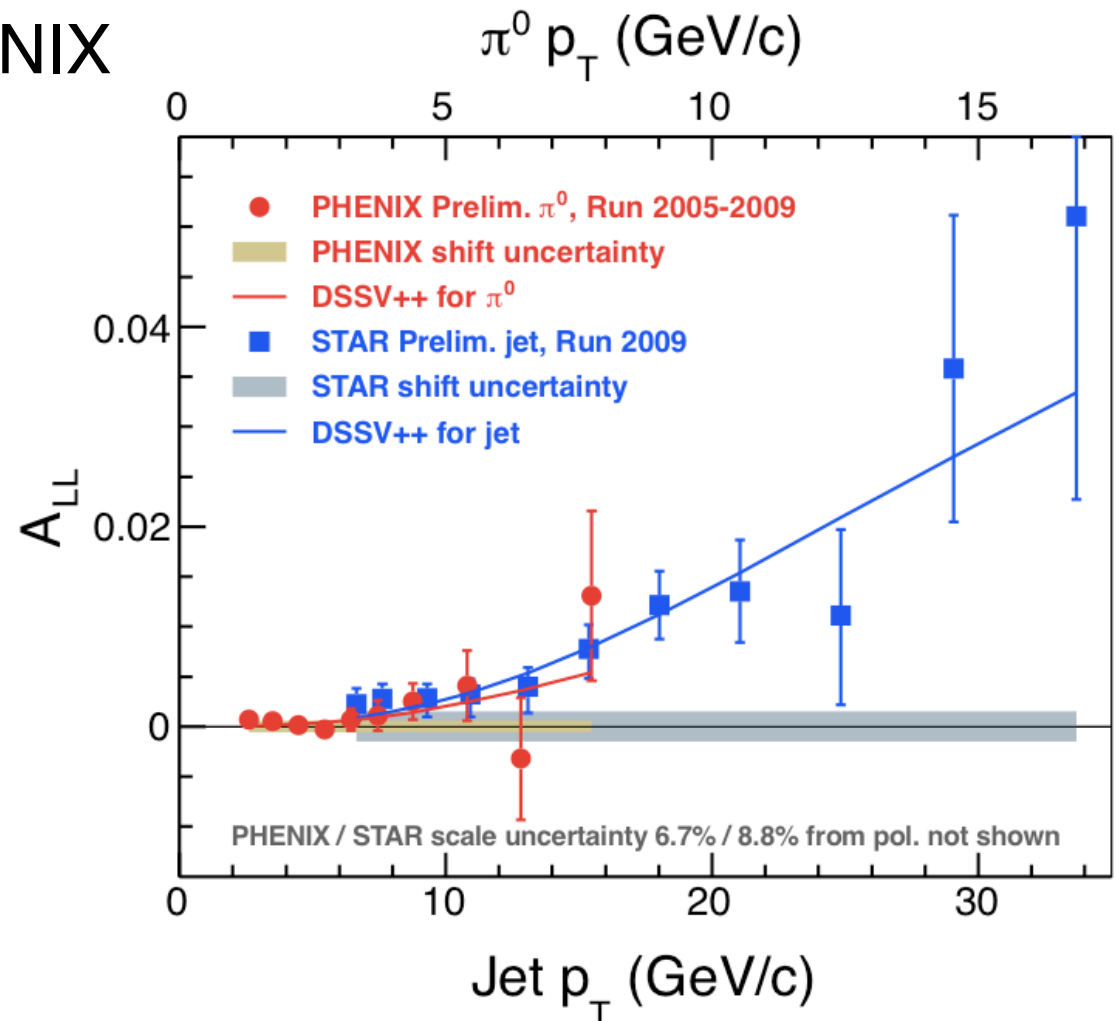
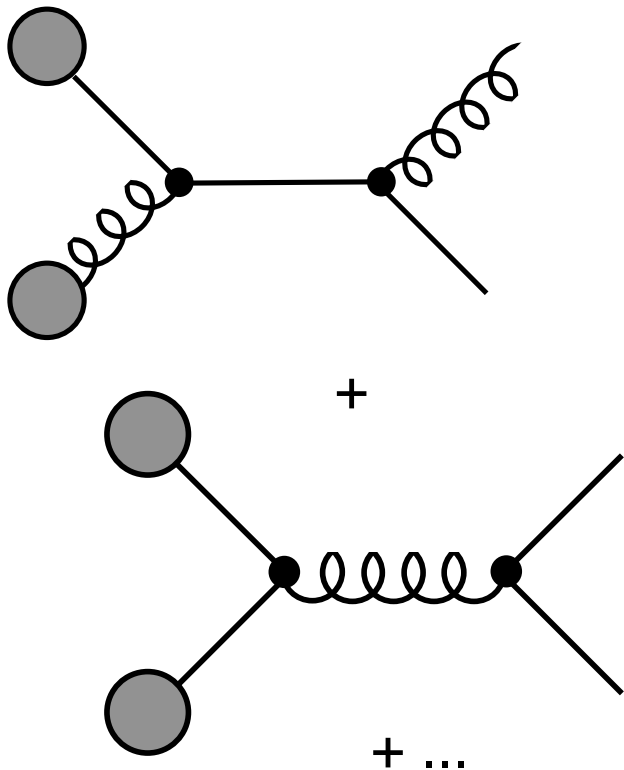


- Powerful + large cross-sections but more complex

e.g.  $\Delta g$  “workhorse” processes at RHIC :

$$A_{LL} \rightarrow \pi^0 + X @ \text{PHENIX}$$

$$A_{LL} \rightarrow \text{jet} + X @ \text{STAR}$$





## More Jargon-Busting



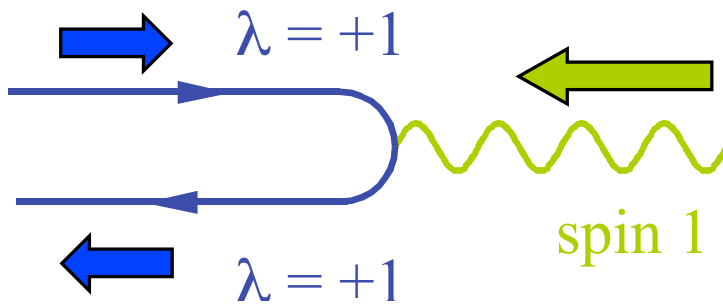
- **u-quark dominance**
- **off-shell vs on-shell** and **poles** in xsecs/amplitudes
- **longitudinal vs transverse photons**
- **helicity conservation**
- **Vector Meson Dominance (VMD)** ... any more ?

# Helicity Conservation & L,T Photons

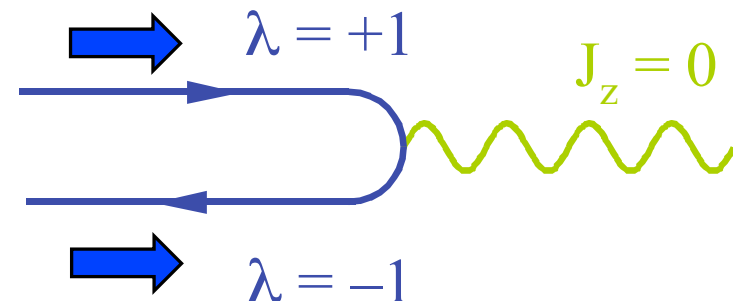
Write DIS xsec to reveal contributions from L and T photons:

$$\frac{d\sigma}{dE'd\Omega} \sim \sigma_L + \sigma_T \left( 1 + \frac{2|\vec{q}|^2}{Q^2} \tan^2 \frac{\theta}{2} \right) \quad \begin{aligned} F_1 &\sim \sigma_T \\ F_2 &\sim (\sigma_L + \sigma_T) 2x / (1 + \frac{Q^2}{v^2}) \end{aligned}$$

Fact : **Fermions with  $E \gg m$  conserve helicity** in any EM interaction, which requires Transv = Spin 1 photons ... *unless transv momentum significant*



**TRANSVERSE PHOTON**



**LONGITUDINAL PHOTON**

- $R = \frac{\sigma_L}{\sigma_T} \rightarrow 0$  as  $Q^2 \rightarrow \infty$  = key evidence that quark is spin 1/2 !

- $R \approx 0 \rightarrow$  Callan-Gross relation:  $\sum_q e_q^2 x q(x) = F_2(x) \approx 2x F_1(x)$   
(only one structure function)

The Hadron Physics Landscape :  
Next 10 Years

# The Facilities : Today

- 12 GeV polarized e : first beam 2013, commission<sup>g</sup> 2014, produc<sup>n</sup> 2015
- Complementary capabilities in 4 Halls  
→ **broad physics program**



- Transv (T) & Longit (L) polarized p beams colliding at  $\sqrt{s} = 200$  GeV or 500 GeV
- L core :  $A_{LL}^{\pi^0}$  (PHENIX) &  $A_{LL}^{jet}$  (STAR) →  $\Delta g(x)$   
:  $A_L^{W^\pm}$  at  $\sqrt{s} = 500$  GeV →  $\Delta q_{bar}(x)$
- T core :  $A_N^{\pi^0, \eta, jet, \dots}$  → Sivers/Collins/Twist-3 mix

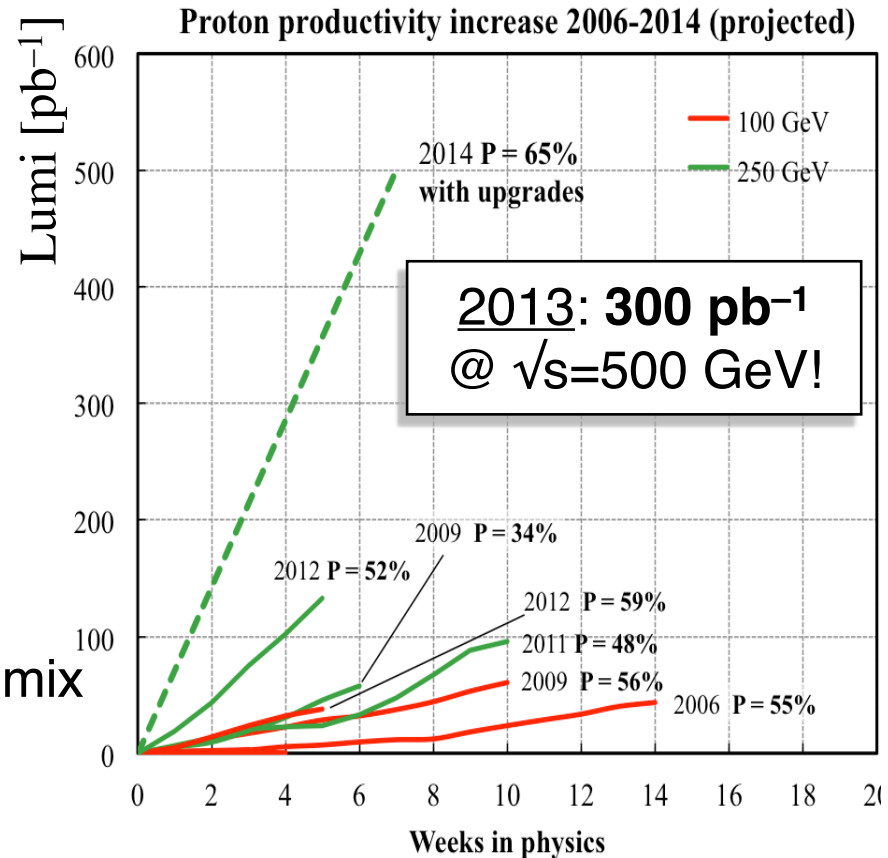


- 120 GeV p from Main Injector on p,d,A targets → **high-x Drell-Yan**
- Production running declared Mar'14



## COMPASS-II

- 190 GeV  $\pi^-$  beam on T-polarized H target → **polarized Drell-Yan**
- First beam expected end of 2014

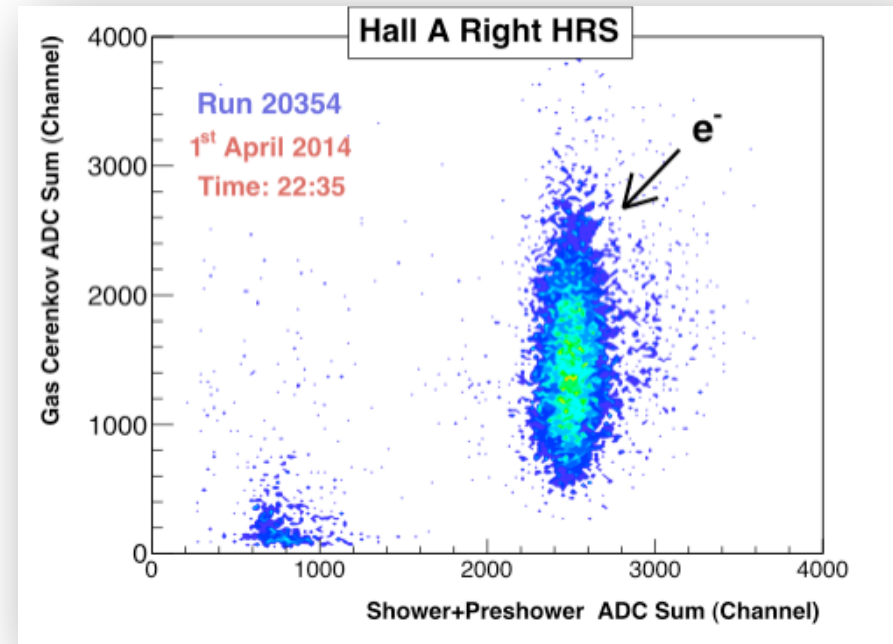
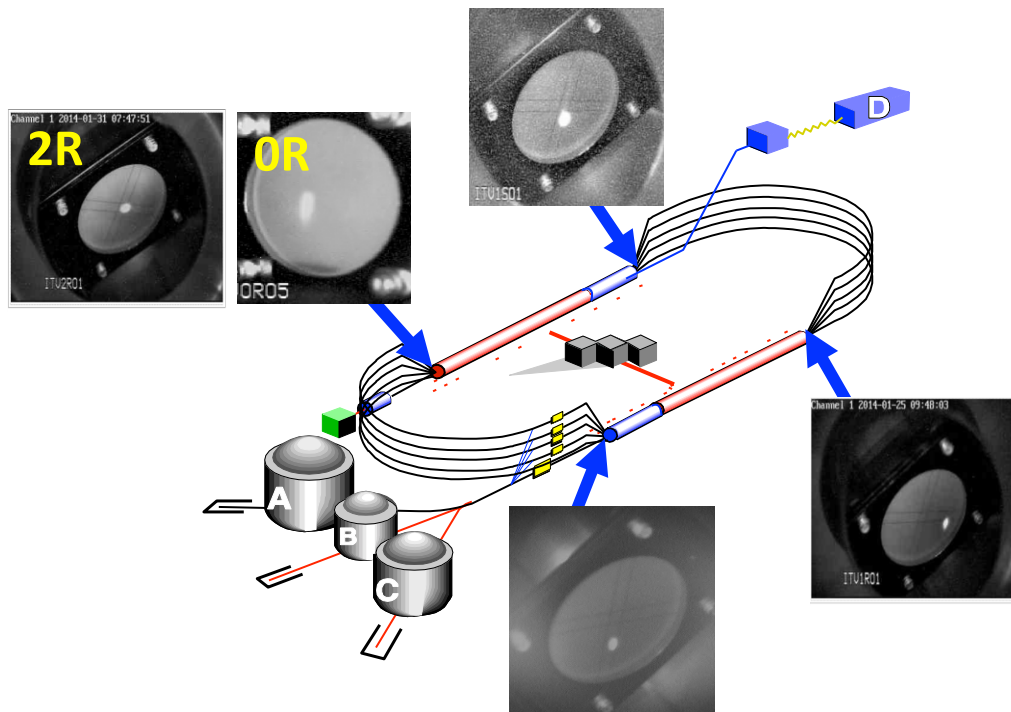


# Beam Commissioning to Hall A

Jefferson Lab in Newport News hits major milestone in accelerator upgrade

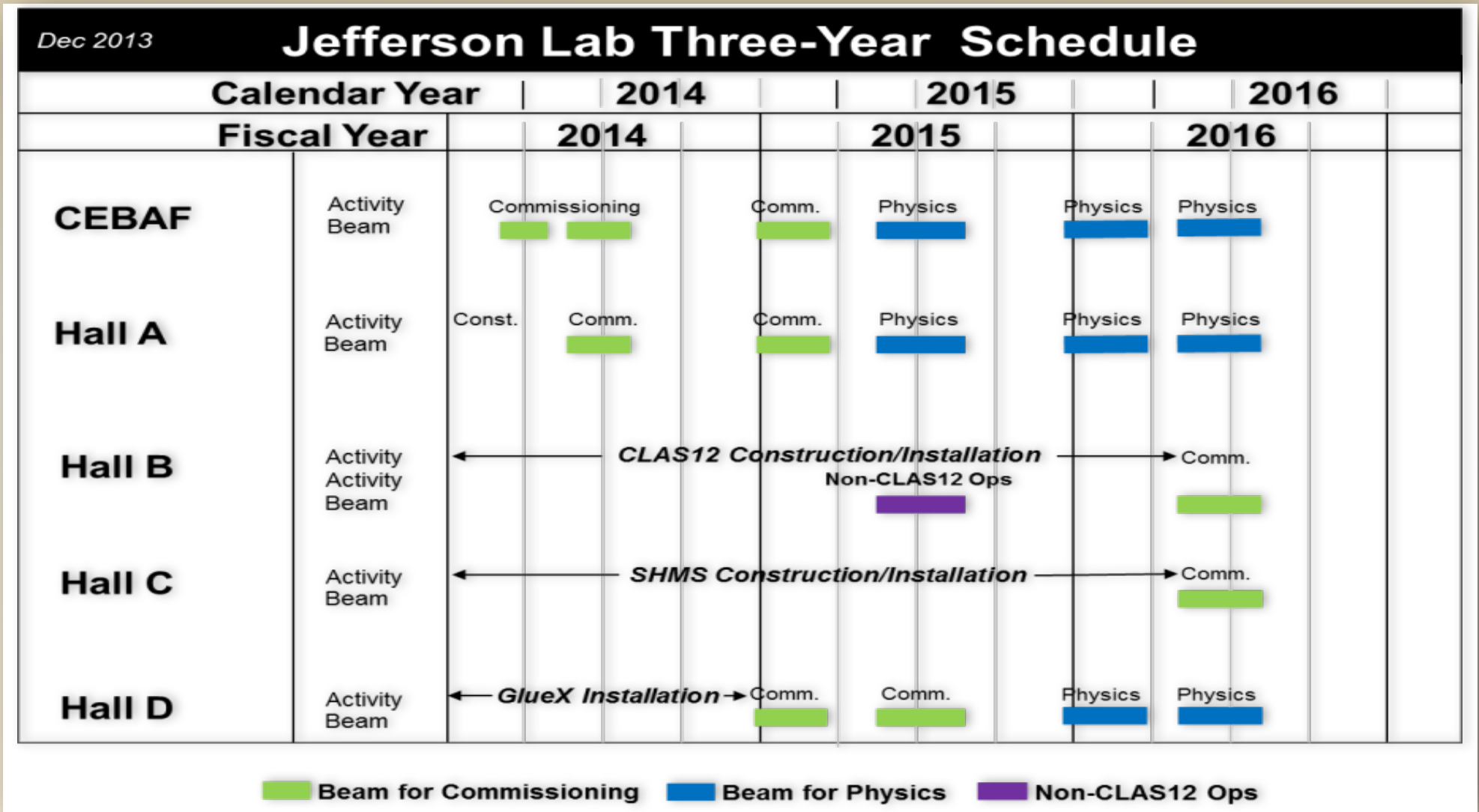
April 30, 2014 | By Tamara Dietrich, [tdietrich@dailypress.com](mailto:tdietrich@dailypress.com) | Daily Press

Jefferson Lab in Newport News has reached a "major milestone" in its drive to double the energy of its electron accelerator and become the only facility in the world capable of answering key questions about quarks, the building blocks of matter.



Beam on carbon target in Hall A ;  $E_{\text{beam}} = 6.1 \text{ GeV}$

# 12 GeV CEBAF: Three Year Schedule



Pushing to Physics



- + **SOLID** detector in Hall A → large acceptance & high rate for **parity violation (PVDIS)** & **polarized SIDIS** programs



**Forward! Forward!** → *higher  $\eta$  = higher  $x_{beam}$ , lower  $x_{target}$*

- + **STAR Forward Calorimeter System** = EMCAL + HCal  
→ forward **jets** & e/h separation for **Drell-Yan**
- + **fsPHENIX** = forward spectrom w EMCAL, HCal, RICH, tracking  
→ forward **jets** + **identified hadrons** and **Drell-Yan**



**Polarized Beam and/or Target** w SeaQuest detector

*A high-luminosity facility for polarized Drell-Yan*

- + **E-1027** MI  $p\uparrow$  beam w polarized source + 1 Siberian Snake
- + **E-1039** SeaQuest with polarized  $p\uparrow$  target

# The Physics Landscape

Highlights

Exotics

EMC Effect

Color Glass Condensate

$x \rightarrow 0$

$x \rightarrow 1$

$\Delta q(\text{sea})$

$\Delta g$

Form Factors

PDFs

Spectroscopy

TMDs

Sivers:  
sign change

Sivers:  
behavior

Medium Modific<sup>n</sup>s

GPDs

DVCS:  
imaging

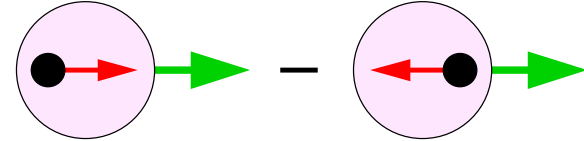
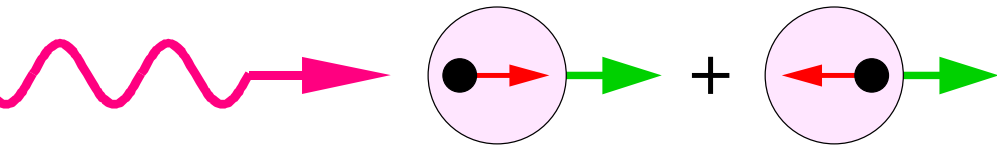
DVCS:  
 $J_q$

The Proton Spin Puzzle:  
Quark and Gluon Polarization

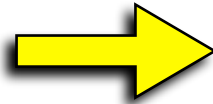
# The Pieces of the Spin Puzzle

$$q(x) = \vec{q}(x) + \overleftarrow{q}(x)$$

$$\Delta q(x) = \vec{q}(x) - \overleftarrow{q}(x)$$



*only three possibilities*



$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_g$$

## 1 Quark polarization

$$\Delta\Sigma \equiv \int dx (\Delta u(x) + \Delta d(x) + \Delta s(x) + \Delta \bar{u}(x) + \Delta \bar{d}(x) + \Delta \bar{s}(x)) \approx 25\% \text{ only}$$

## 2 Gluon polarization

$$\Delta G \equiv \int dx \Delta g(x) \text{ small...?}$$

## 3 Orbital angular momentum

$$L_z \equiv L_q + L_g$$

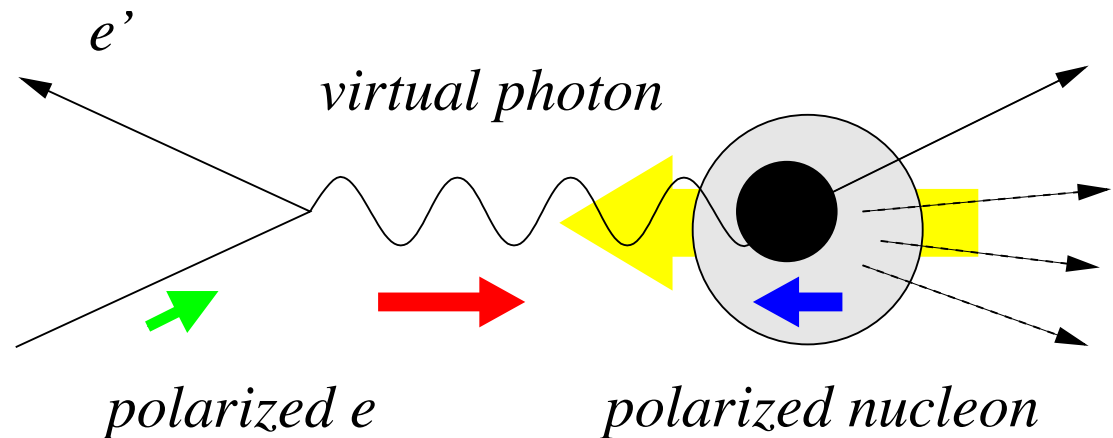
## State of the art: DSSV global fit to $\Delta q$ and $\Delta G$

full next-to-leading order QCD

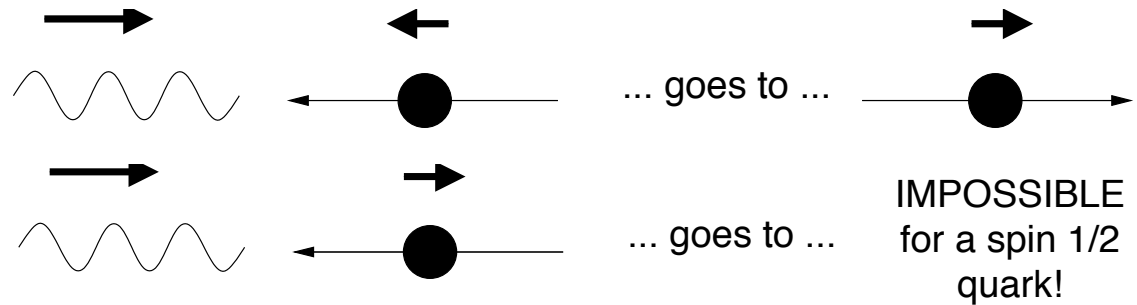
DeFlorian, Sassot, Stratmann, Vogelsang, PRL 101 (2008) and PRD 80 (2009)

**World Data: polarized eN and pp scattering**

# Spin-Dependent Deep Inelastic Scattering (DIS)



The polarized photon selects certain quark polarizations :



**Double spin asymmetries** are measured :

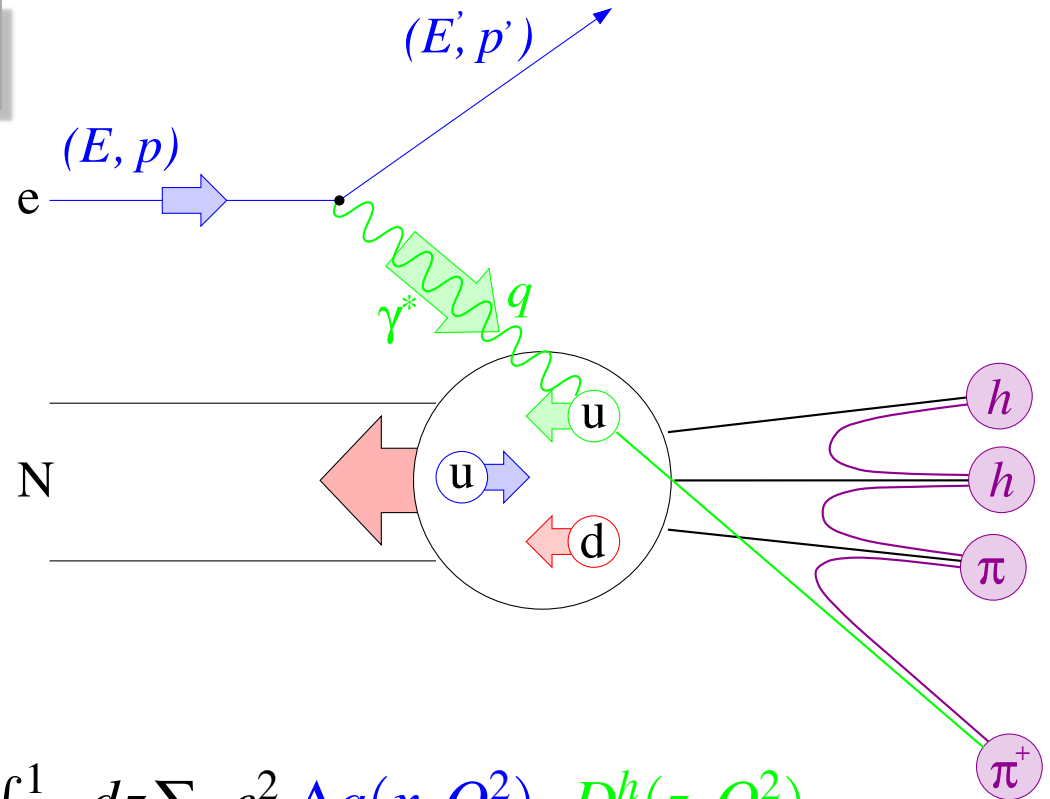
$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}} \simeq \frac{g_1}{F_1} = \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

**The story so far ...** from inclusive measurements of  $g_1(x, Q^2)$

- $\Delta\Sigma$  is around 20-30 %
- some indication that  $\Delta s$  may be negative ... (-10% ??)
- some indication that  $\Delta G$  may be positive ... ?

# Semi-Inclusive DIS (SIDIS)

In SIDIS, a **hadron**  $h$  is detected in **coincidence** with the scattered lepton



**Flavor Tagging**  
in LO QCD:

$$A_1^h(x, Q^2) = \frac{\int_{z_{min}}^1 dz \sum_q e_q^2 \Delta q(x, Q^2) \cdot D_q^h(z, Q^2)}{\int_{z_{min}}^1 dz \sum_q e_q^2 q(x, Q^2) \cdot D_q^h(z, Q^2)}$$

$D_q^h(z, Q^2)$  : **Fragmentation function**

Measures probability for struck quark  $q$  to produce a hadron  $h$  with

Energy fraction

$$z \equiv \frac{E_h}{\nu}$$

The Proton Spin Puzzle:  
What results might we expect?

## Spin from the SU(6) Proton Wave Function

The 3 quarks are **identical fermions**  $\Rightarrow \psi$  **antisymmetric** under exchange

$$\psi = \psi(\text{color}) * \psi(\text{space}) * \psi(\text{spin}) * \psi(\text{flavor})$$

**1 Color:** All hadrons are color singlets = **antisymmetric**

$$\psi(\text{color}) = 1/\sqrt{6} (\text{RGB} - \text{RBG} + \text{BRG} - \text{BGR} + \text{GBR} - \text{GRB})$$

**2 Space:** proton has  $l = l' = 0 \rightarrow \psi(\text{space}) = \mathbf{symmetric}$

**3 Spin:**  $2 \otimes 2 \otimes 2 = (3_S \oplus 1_A) \otimes 2 = 4_S \oplus 2_{MS} \oplus 2_{MA}$

- $4_S$  symmetric states have spin 3/2, e.g.  $\left| \frac{3}{2}, +\frac{3}{2} \right\rangle = \uparrow\uparrow\uparrow$
- $2_{MS}$  and  $2_{MA}$  have spin 1/2 and **mixed symmetry:** S or A under exchange of first 2 quarks only. For proton:

$$\left| \frac{1}{2}, +\frac{1}{2} \right\rangle_{MS} = (\uparrow\downarrow\uparrow + \downarrow\uparrow\uparrow - 2\uparrow\uparrow\downarrow)/\sqrt{6}$$

$$\left| \frac{1}{2}, +\frac{1}{2} \right\rangle_{MA} = (\uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)/\sqrt{2}$$



④ **Flavor**: symmetry groups SU(2)-spin and SU(3)-color are exact ...

- strong force is **flavor blind**
- constituent  $q$  masses **similar**:  $m_u, m_d \approx 350$  MeV,  $m_s \approx 500$  MeV

→ SU(3)-flavor is **approximate** for  $u, d, s$

$$\text{SU(3)-flavor gives } 3 \otimes 3 \otimes 3 = 10_S \oplus 8_{MS} \oplus 8_{MA} \oplus 1_A$$

➤ **Proton**:  $\psi(s=1/2)$  from spin  $2_{MS}, 2_{MA}$   $\otimes$   $\psi(uud)$  from flavor  $8_{MS}, 8_{MA}$

$$|p^\uparrow\rangle = (u^\uparrow u^\downarrow d^\uparrow + u^\downarrow u^\uparrow d^\uparrow - 2u^\uparrow u^\uparrow d^\downarrow + 2 \text{ permutations})/\sqrt{18}$$

➤ Count the number of quarks with spin up and spin down:



➤ Quark contributions to proton spin are:

$$\Delta u = N(u^\uparrow) - N(u^\downarrow) = +\frac{4}{3} \quad \Delta d = N(d^\uparrow) - N(d^\downarrow) = -\frac{1}{3}$$

$$\Rightarrow \Delta\Sigma = \Delta u + \Delta d + \Delta s = 1$$

**All spin present & accounted for!**

# Proton Spin Structure: the Sea

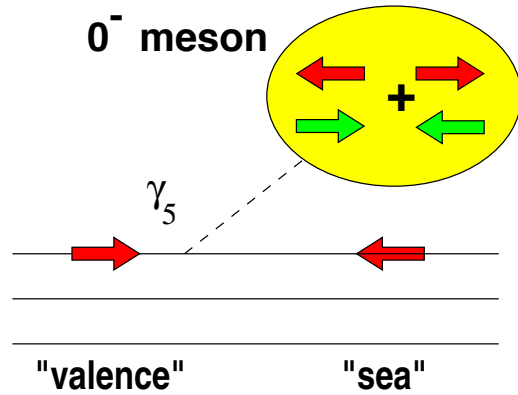
## Constituent Quark Model

$$\Delta u = +\frac{4}{3}, \quad \Delta d = -\frac{1}{3}$$

$$\Delta q \equiv N^\uparrow - N^\downarrow$$

## Meson Cloud Models

*Li, Cheng, hep-ph/9709293*



→  $\Delta q_{\text{valence}} > 0$

→  $\Delta q_{\text{sea}} < 0$

→  $\Delta \bar{q} = 0$

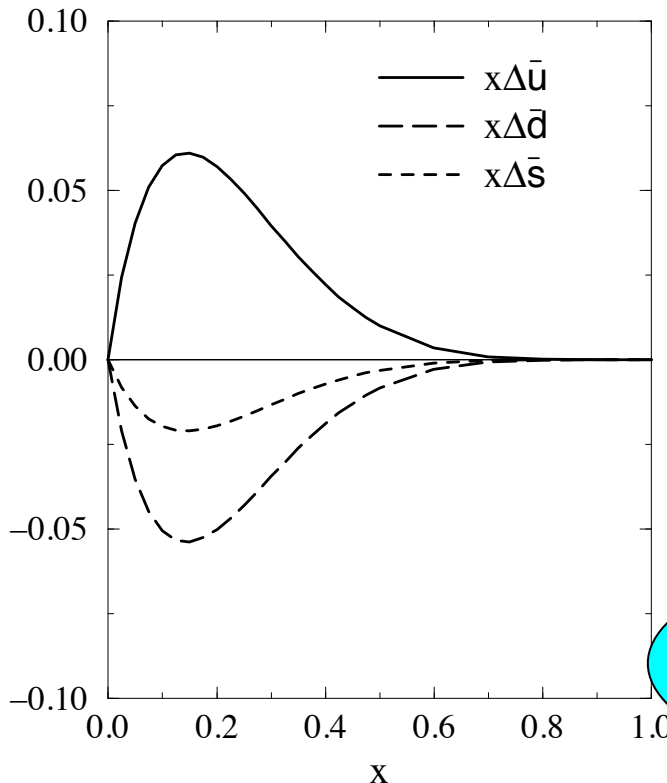
“Higher-order” cloud of vector mesons can generate a small polarization.

## Chiral-Quark Soliton Model

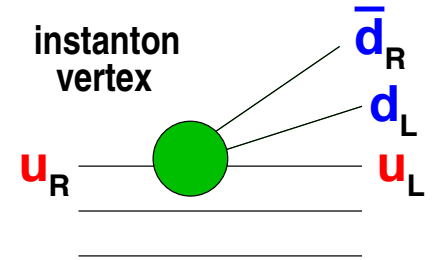
Light sea quarks polarized:

$$\Delta \bar{u} \simeq -\Delta \bar{d} > 0$$

*Goeke et al, hep-ph/0003324*



## Instanton Mechanism



‘tHooft instanton vertex  
 $\sim \bar{u}_R u_L \bar{d}_R d_L$  transfers helicity from valence  $u$  quarks to  $d\bar{d}$  pairs

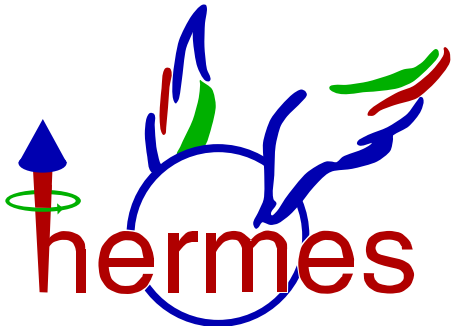
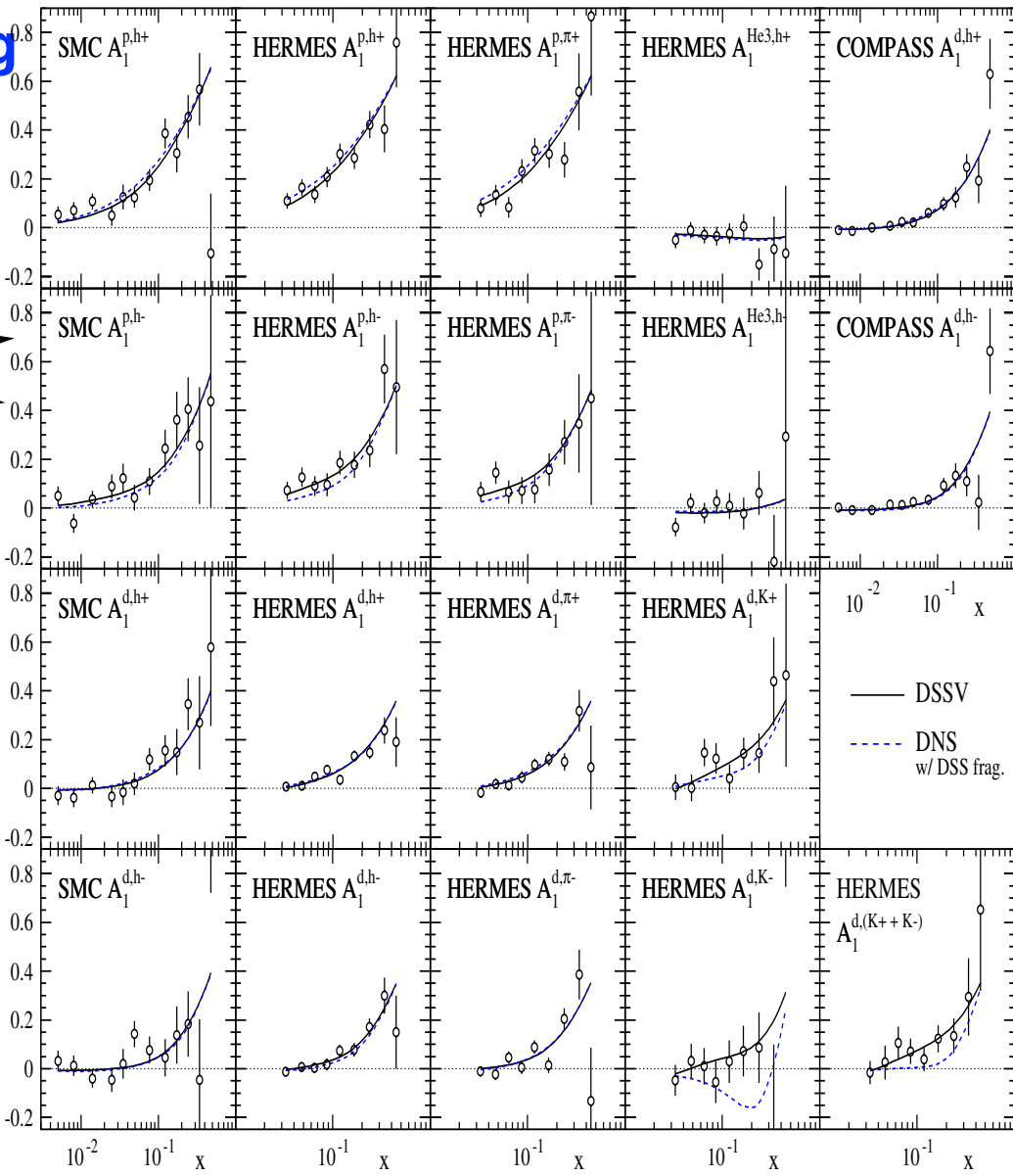
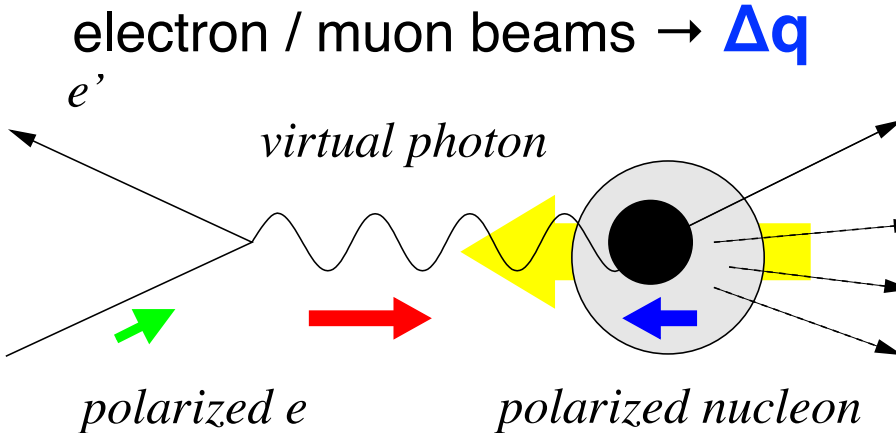
**No gluons  
in these models**

What results do we get?

# A Wealth of Spin Data

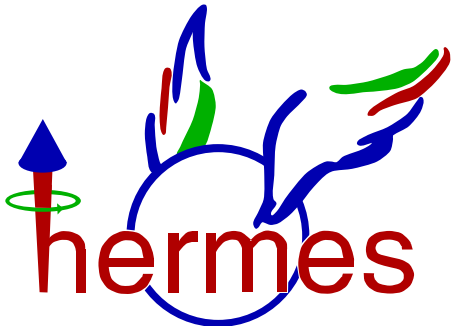
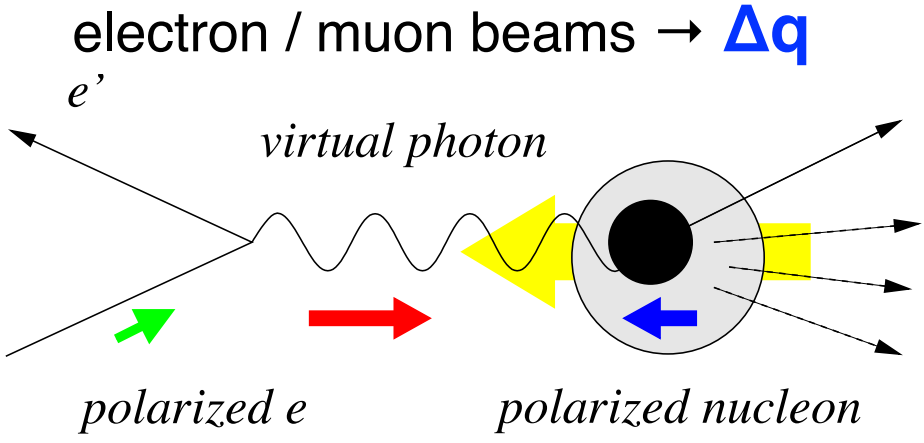
*a sample ...*

## Polarized Deep-Inelastic Scattering



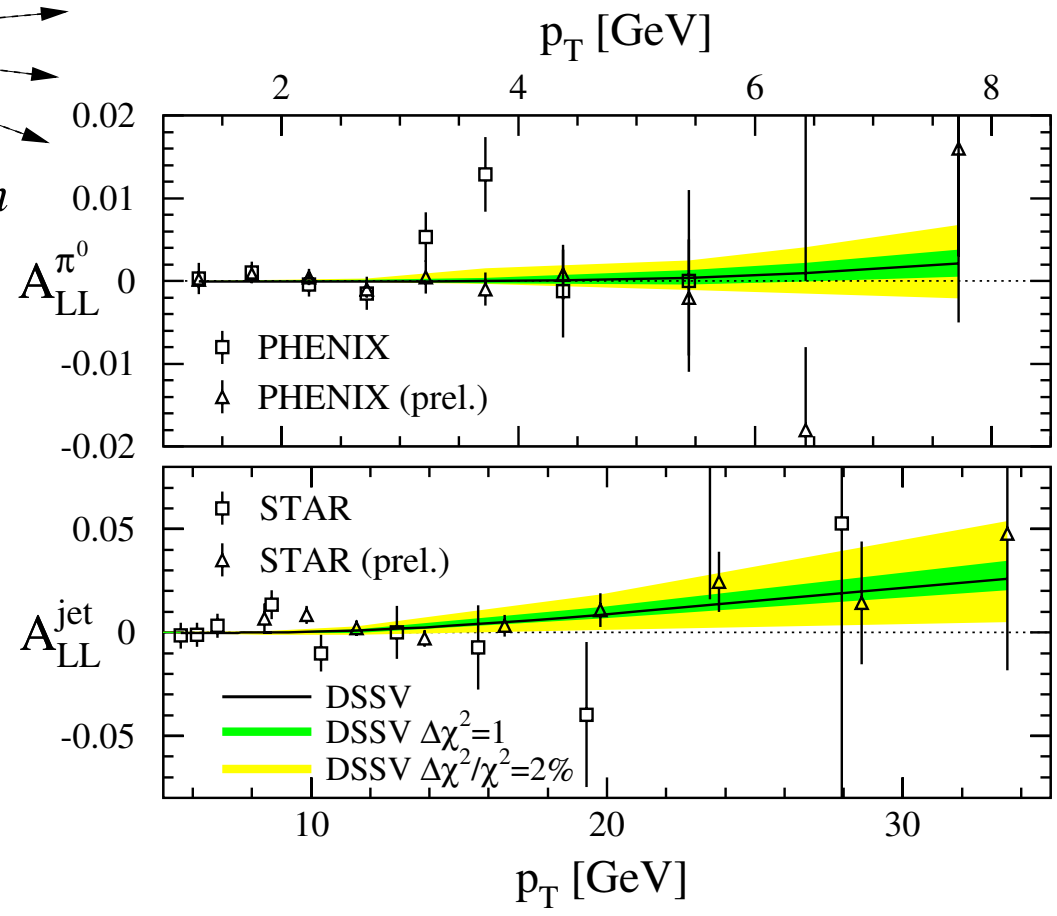
# A Wealth of Spin Data

## Polarized Deep-Inelastic Scattering



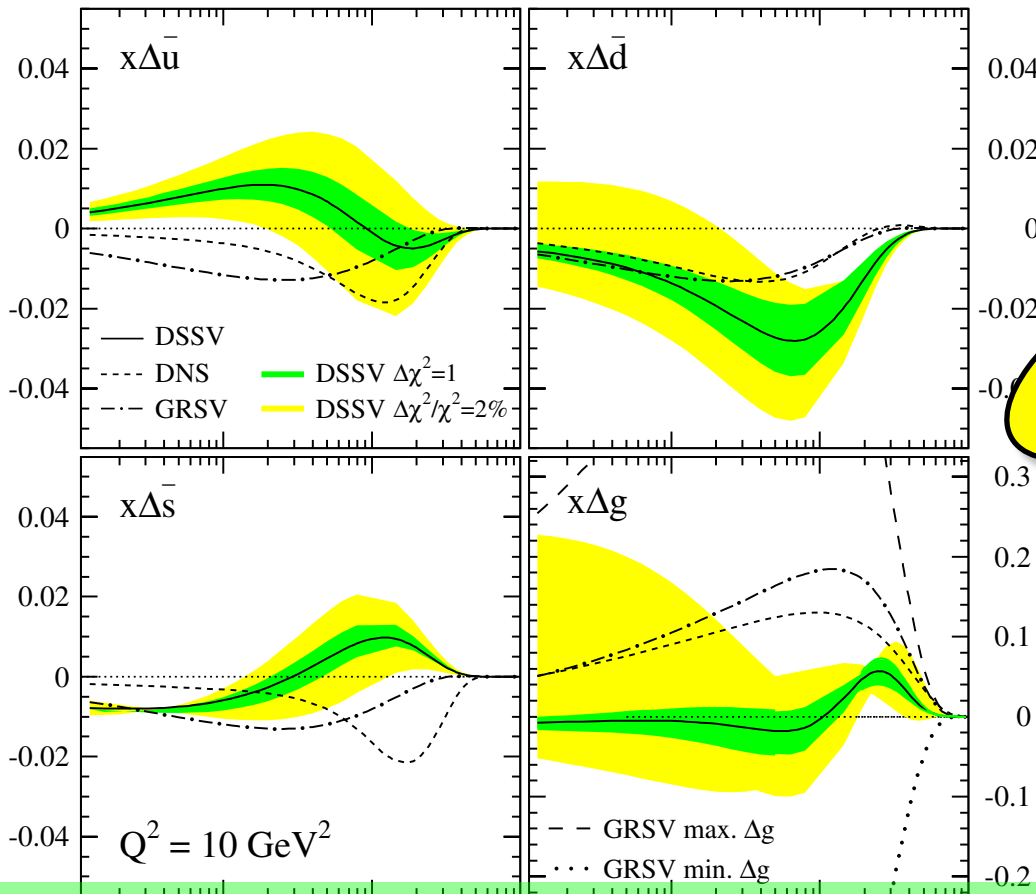
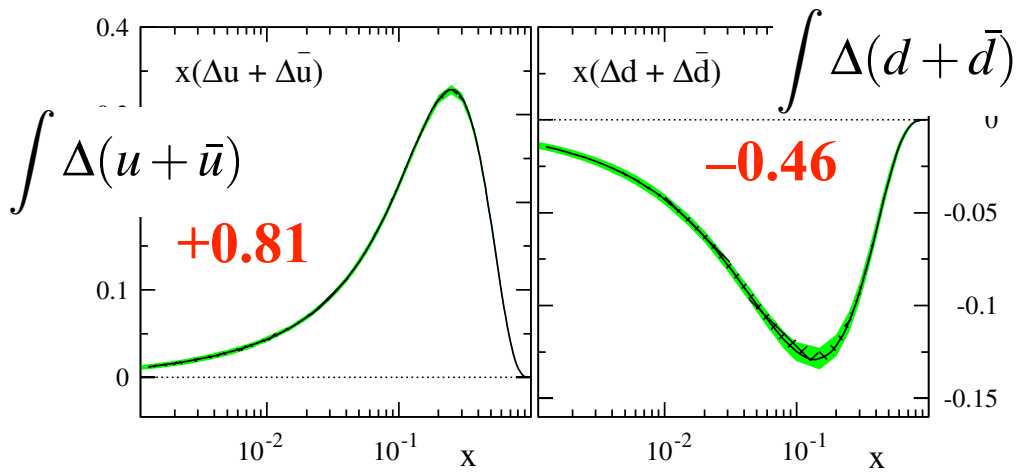
## Polarized p-p Scattering

at RHIC →  $\Delta G$

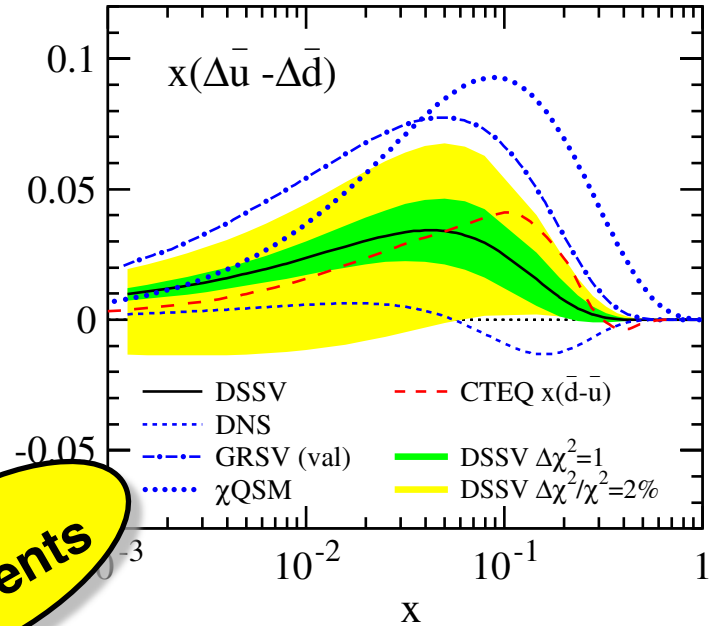


# DSSV NLO global fit: $\Delta q$ & $\Delta g$

DeFlorian, Sassot, Stratmann, Vogelsang,  
PRL 101 (2008) 071001, PRD 80 (2009) 034030



**gluons & sea: spin-polarizations  
all negative-ish zeros**

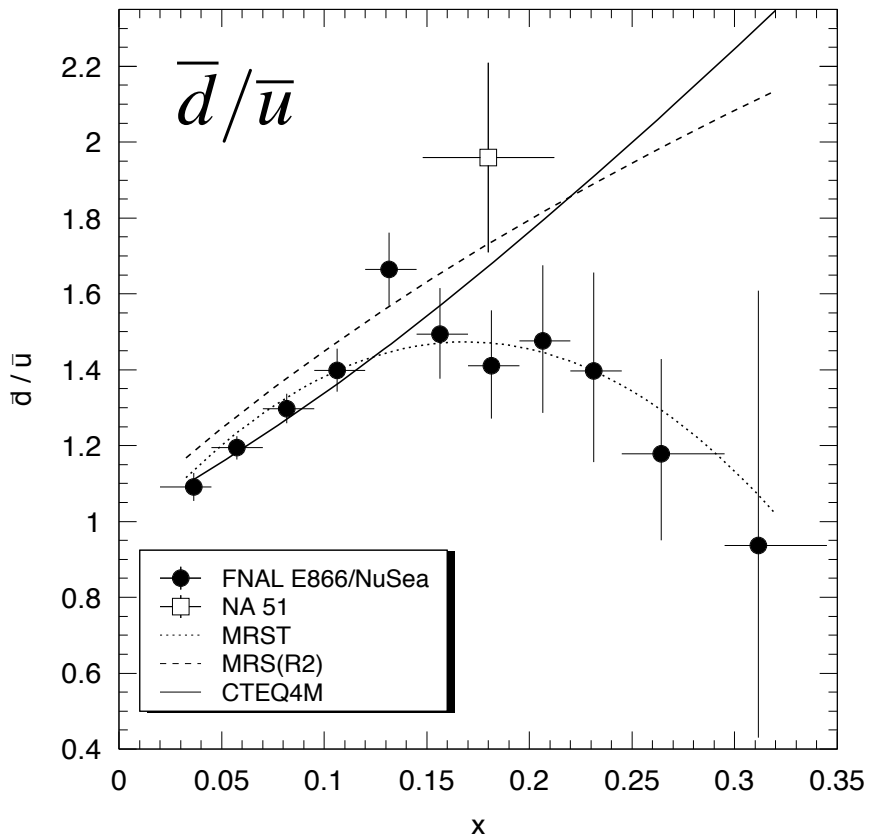


**1st moments**

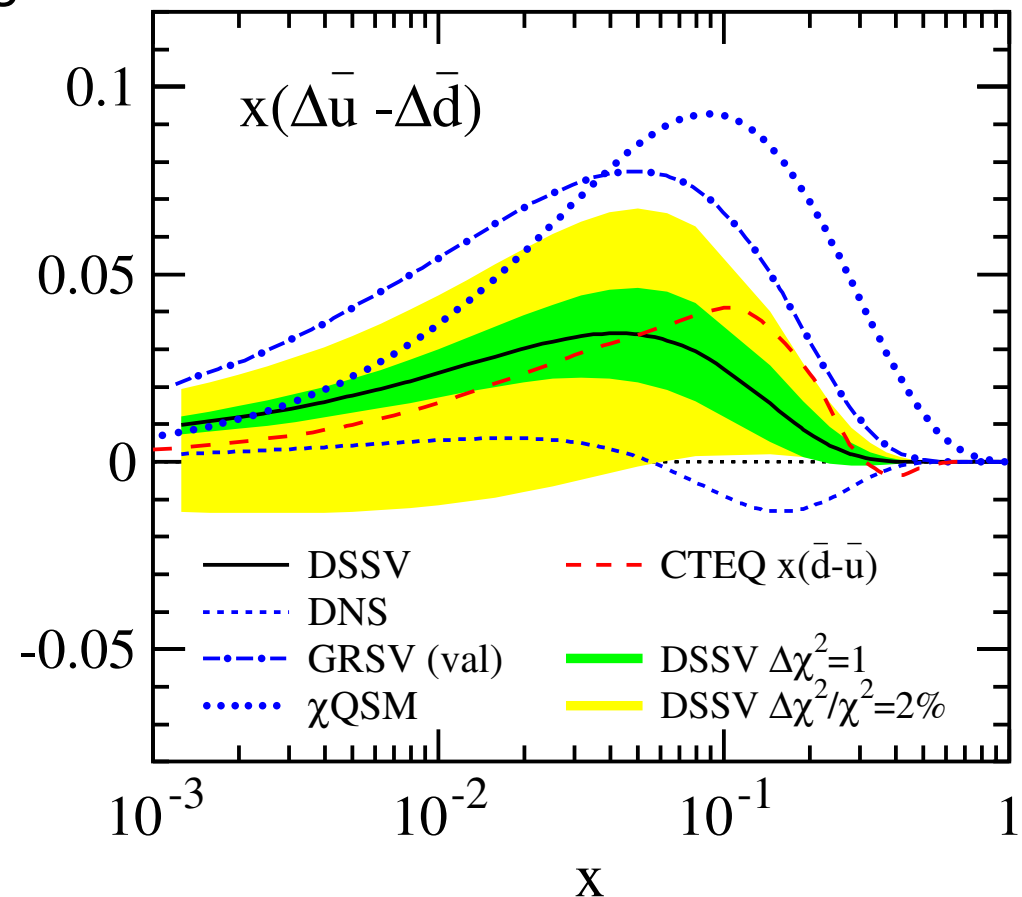
	meas: $x > .001$	extrap: all x	error
$\Delta\Sigma$	0.37	<b>0.24</b>	+0.04 -0.06
$\Delta\bar{u}$	0.03	0.04	$\pm 0.06$
$\Delta\bar{d}$	-0.09	-0.12	$\pm 0.09$
$\Delta s$	-0.01	-0.06	$\pm 0.03$
$\Delta G$	0.01	-0.08	+0.7 -0.3

# Flavour Symmetry of the Light Sea

**Unpolarized** PDF's for  $\bar{u}$  and  $\bar{d}$ :  
**Strong** isospin-symmetry breaking



**Polarized** PDF's for  $\bar{u}$  and  $\bar{d}$  ...



**Weak** isospin-asymmetry observed in the light sea polarization



results between **meson cloud** & **chiral-quark soliton models**

... more data coming from RHIC

# Longitudinal Data

	$\sqrt{s}$	$L^*$ ( $\text{pb}^{-1}$ )
2006	200	7
2009	200	<b>25</b>
"	500	10
2011	500	12
2012	500	82
2013	500	300

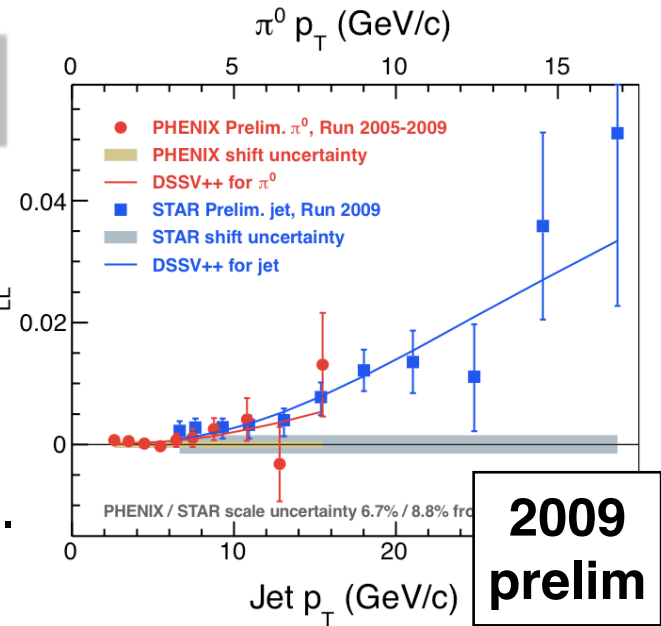
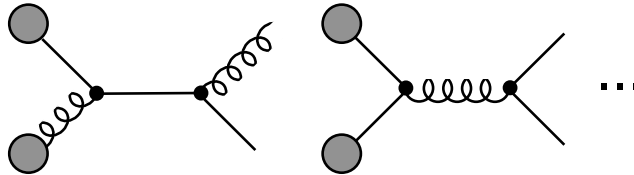
$L^*$  recorded at STAR

## $\Delta g$ at RHIC $\rightarrow$ 2020

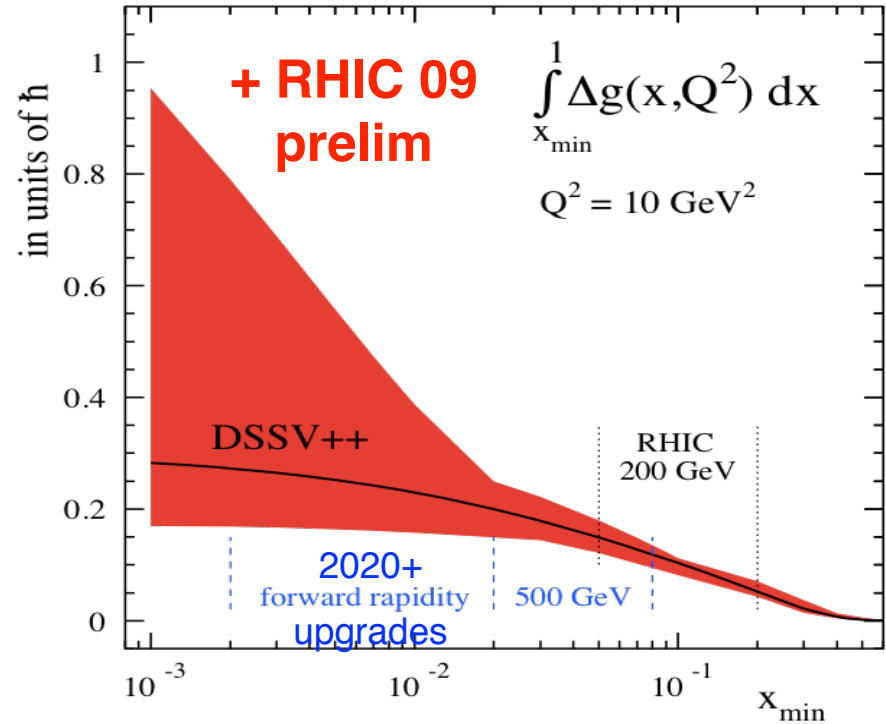
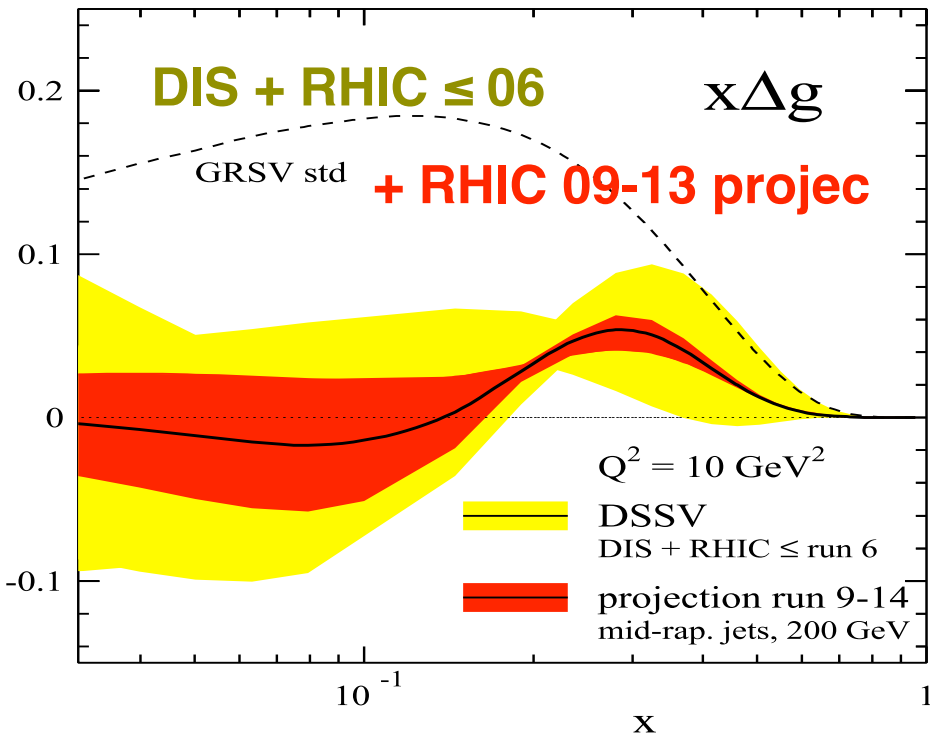
(1)  $\Delta g$  workhorses:

$A_{LL} \rightarrow \pi^0 + X$  @ PHENIX  $A_{LL}$

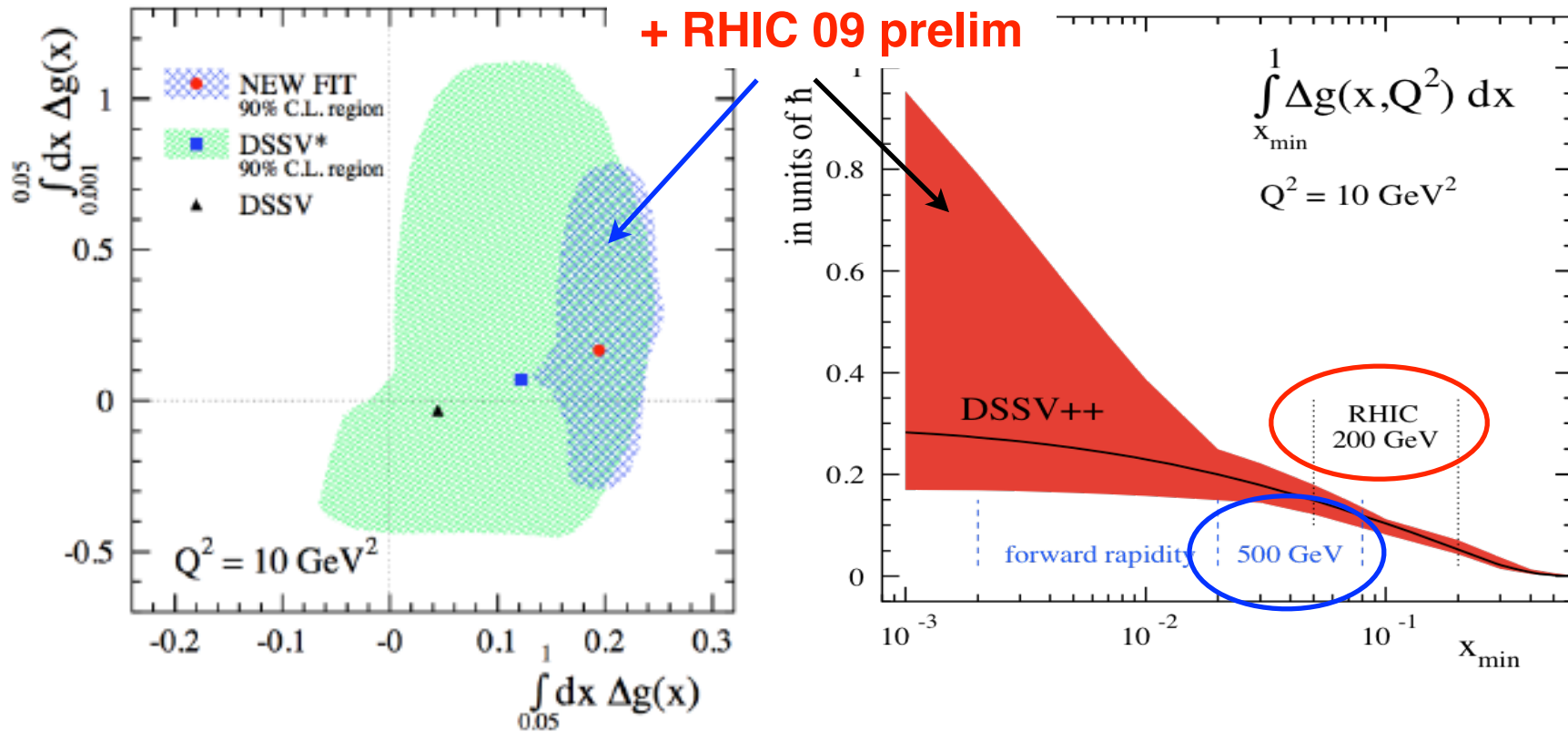
$A_{LL} \rightarrow \text{jet} + X$  @ STAR



## pQCD Fits :





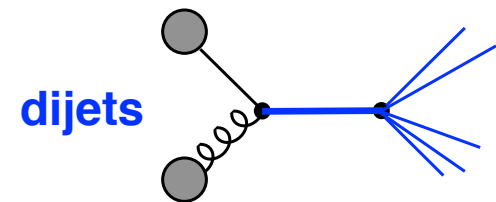


(2) **reduce  $x_{\min}$**  from **0.05**  $\rightarrow$  **0.02** via  $\sqrt{s} = 500 \text{ GeV}$  & new/near-term forward detectors (e.g. PHENIX MPC)

(3) constrain  **$x$ -dependence** of  $\Delta g(x)$  via  $\approx$ **exclusive final states**

$\rightarrow$  **dijets** at STAR & **di- $\pi^0$**  at PHENIX

$\rightarrow$  reconstruct initial-state **parton kinematics**



**$\Delta g$  2020+**

(4) forward upgrades : **reduce  $x_{\min}$**   $\rightarrow$  **0.001**

What's left?

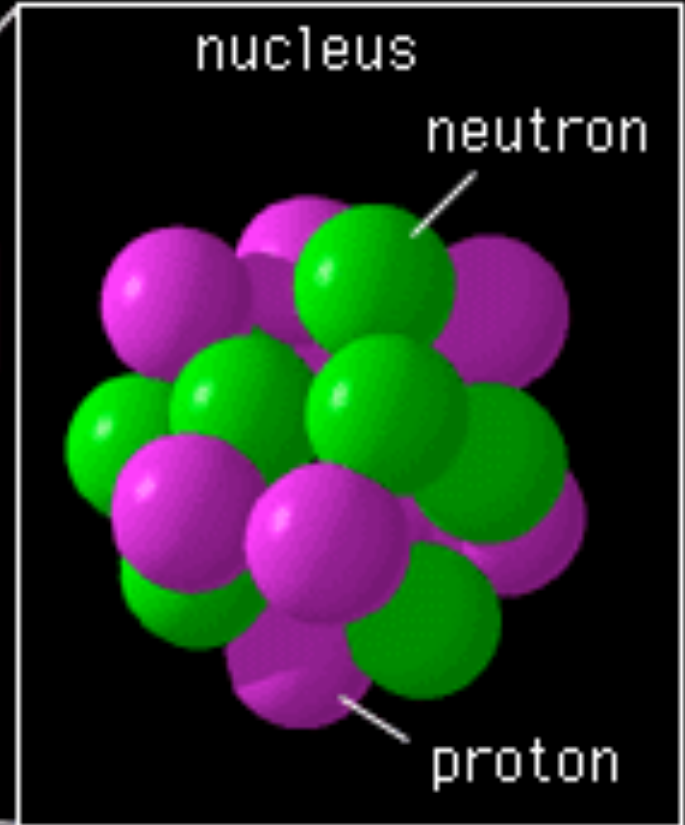
$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + \mathbf{L}_q + \mathbf{L}_g$$

L + Relativity = Weirdness

**Orbital Shells  
of definite L**

electrons

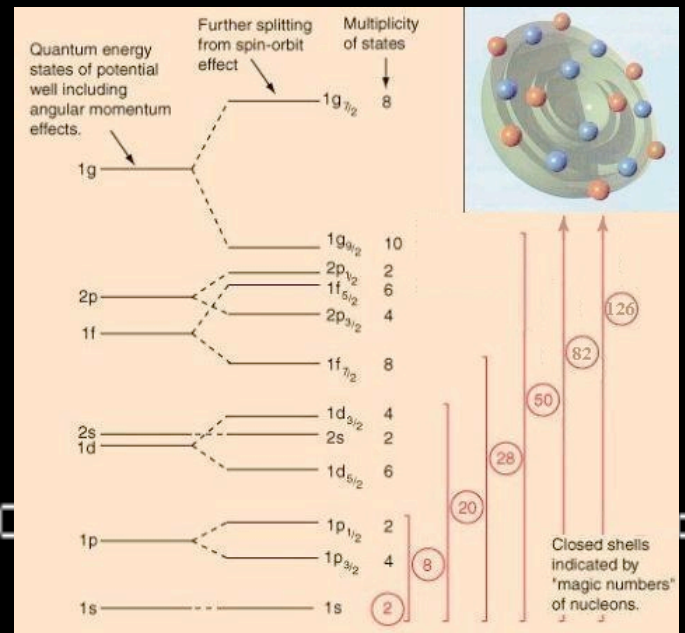
**in atoms ...**



**in nuclei ...**

K shell  
(2 electrons)

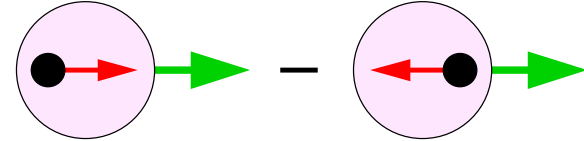
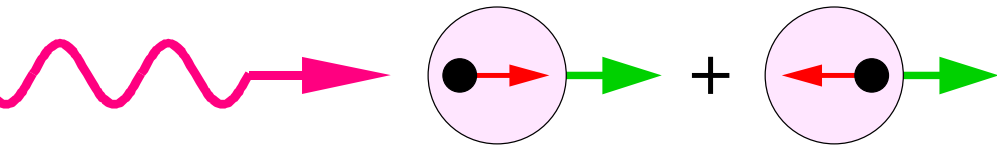
**... and within the proton? ...**



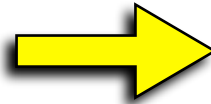
# The Pieces of the Spin Puzzle

$$q(x) = \overrightarrow{q}(x) + \overleftarrow{q}(x)$$

$$\Delta q(x) = \overrightarrow{q}(x) - \overleftarrow{q}(x)$$



only three possibilities



$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_g$$

## 1 Quark polarization

$$\Delta\Sigma \equiv \int dx (\Delta u(x) + \Delta d(x) + \Delta s(x) + \Delta \bar{u}(x) + \Delta \bar{d}(x) + \Delta \bar{s}(x)) \approx 30\% \text{ only}$$

## 2 Gluon polarization

$$\Delta G \equiv \int dx \Delta g(x) \text{ small...?}$$

In friendly, **non-relativistic** bound states like atoms & nuclei (& constituent quark model), particles are in **eigenstates of  $L$**

## 3 Orbital angular momentum

$$L_z \equiv L_q + L_g$$



Not so for bound, **relativistic Dirac particles ...**  
Noble  $L$  is **not a good quantum number**

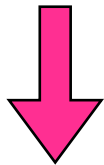
Dirac free plane-wave particle with spin  $S_z = +1$

## Boosting a Dirac Spinor

and  $\Sigma$  isn't a 4-vector, oy

at rest  $\vec{p} = 0$

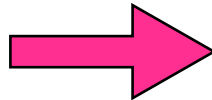
$$\psi = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} e^{-imt}$$



$$\frac{\psi^\dagger \vec{\Sigma} \psi}{\psi^\dagger \psi} = \hat{z}$$

### BOOST

in  $-\mathbf{x}$  direc<sup>n</sup> with



$$\beta = p'/E' = \tanh \phi$$

$$\hat{B}(\hat{x}, \phi) = e^{\frac{\phi}{2} \vec{\alpha} \cdot \hat{x}} = \cosh \frac{\phi}{2} \mathbf{1} + \sinh \frac{\phi}{2} \alpha_x$$

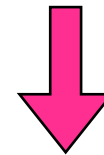
What's its spin?

$$\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$$

$$\vec{\sigma} = \begin{pmatrix} \hat{z} & \hat{x} - i\hat{y} \\ \hat{x} + i\hat{y} & -\hat{z} \end{pmatrix}$$

$\vec{p}' = p' \hat{x}$

$$\psi' = N \begin{pmatrix} 1 \\ 0 \\ 0 \\ \frac{p'}{E' + m} \end{pmatrix} e^{i(p'x' - E't')}$$



$$\frac{\psi'^\dagger \vec{\Sigma} \psi'}{\psi'^\dagger \psi'} = \hat{z} \left[ 1 - \left( \frac{p'}{E' + m} \right)^2 \right] \approx \hat{z} \frac{1}{\gamma^2} \text{ for } \gamma \gg 1$$

Why there are no transversely polarized electron machines

# Spin, L, and the free Dirac Hamiltonian

$$\mathbf{H} = \boldsymbol{\alpha} \cdot \vec{p} + \beta m = \begin{pmatrix} m\mathbf{1} & -i\vec{\sigma} \cdot \vec{\nabla} \\ -i\vec{\sigma} \cdot \vec{\nabla} & m\mathbf{1} \end{pmatrix}$$

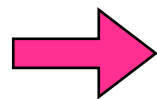
$$\begin{aligned} \vec{\mathbf{L}}(\vec{x}) &= \mathbf{1} \vec{x} \times \vec{p} \\ &= -i \vec{x} \times \vec{\nabla} \end{aligned} \quad \rightarrow \quad \mathbf{L} \text{ position-dependent, doesn't commute w } \partial_i \text{ in } \mathbf{H}$$

$$[\mathbf{H}, \vec{\mathbf{L}}(x_i)] = -\vec{\alpha} \times \vec{\nabla}$$

**L NOT CONSERVED**

no shells!

$$\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$$



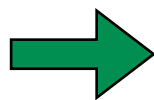
Pauli matrices in  $\Sigma$  and  $\mathbf{H}$  don't commute

$$[\mathbf{H}, \vec{\Sigma}] = 2\vec{\alpha} \times \vec{\nabla}$$

**SPIN NOT CONSERVED**

intuition?

$$[\sigma_i, \sigma_j] = 2i \epsilon_{ijk} \sigma_k$$



$$[\mathbf{H}, \vec{\mathbf{L}} + \frac{1}{2} \vec{\Sigma}] = [\mathbf{H}, \vec{\mathbf{J}}] = 0$$

**J CONSERVED**

## Dirac particle in a central potential

We denote the solution of the above-mentioned equation by the Dirac four-spinor  $\psi$  and/or its upper- and lower-component, the corresponding two-spinors  $\varphi$  and  $\chi$ . The stationary states are characterized by the following set of quantum numbers  $\varepsilon$ ,  $j$ ,  $m$  and  $P$  which are respectively the eigenvalues of the operators  $\hat{H}$  (the Hamiltonian),  $\hat{\mathbf{j}}^2$ ,  $\hat{j}_z$  (total angular momentum and its  $z$ -component) and  $\hat{P}$  (the parity). Since every eigenstate of the valence quark characterized by  $\varepsilon$ ,  $j$ ,  $m$  and  $P$  corresponds to two different orbital angular momenta  $l$  and  $l' = l \pm 1$ , (see Appendix A), it is clear that *orbital motion is involved in every stationary state*. This is true also when the valence quark is in its ground state ( $\psi_{\varepsilon j m P}$  where  $\varepsilon = \varepsilon_0$ ,  $j = 1/2$ ,  $m = \pm 1/2$ ,  $P = +^2$ ). This state can be expressed as follows:

$$\psi_{\varepsilon_0 1/2 m+}(r, \theta, \phi) = \begin{pmatrix} f_0(r) \Omega_0^{1/2 m}(\theta, \phi) \\ g_1(r) \Omega_1^{1/2 m}(\theta, \phi) \end{pmatrix}. \quad (2.1)$$

The angular part of the two-spinors can be written in terms of spherical functions  $Y_{ll_z}(\theta, \phi)$  and (non-relativistic) spin-eigenfunctions which are nothing else but the Pauli-spinors  $\xi(\pm 1/2)$ :

$$\Omega_0^{1/2 m}(\theta, \phi) = Y_{00}(\theta, \phi) \xi(m),$$

The spherical solutions of a Dirac particle in a central potential are discussed in some of the text books (see, for example, Landau, L.D., Lifshitz, E.M.: Course of theoretical physics. Vol. 4: Relativistic quantum theory. New York: Pergamon 1971). The notations and conventions we use here are slightly different. In order to avoid possible misunderstanding, we list the general form of some of the key formulae in the following:

In terms of spherical variables, a state with given  $\varepsilon$ ,  $j$ ,  $m$  and  $P$  can be written as:

$$\psi_{\varepsilon j m P}(r, \theta, \phi) = \begin{pmatrix} f_{\varepsilon l}(r) \Omega_l^{j m}(\theta, \phi) \\ (-1)^{(l-l'+1)/2} g_{\varepsilon l'}(r) \Omega_{l'}^{j m}(\theta, \phi) \end{pmatrix}. \quad (A1)$$

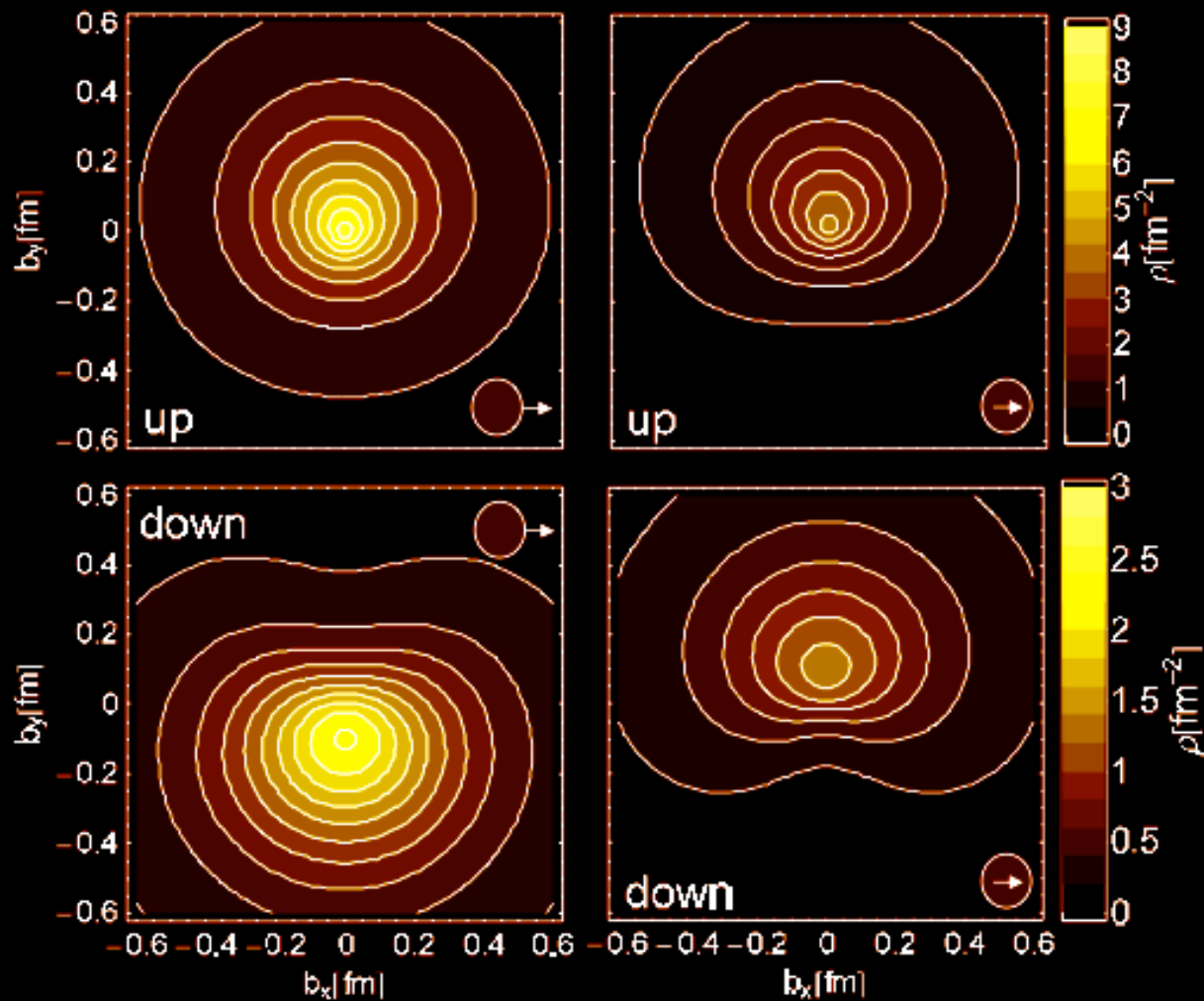
Here  $l = j \pm 1/2$ ,  $l' = 2j - l$  and  $P = (-1)^l$ ;  $\Omega_l^{j m}$  and  $\Omega_{l'}^{j m}$  are two-spinors which, for the possible values of  $l$ , are given by:

$$\begin{aligned} \Omega_{l=j-1/2}^{j m}(\theta, \phi) &= \sqrt{\frac{j+m}{2j}} Y_{ll_z=m-1/2}(\theta, \phi) \xi(1/2) \\ &+ \sqrt{\frac{j-m}{2j}} Y_{ll_z=m+1/2}(\theta, \phi) \xi(-1/2), \end{aligned} \quad (A2)$$

$$\begin{aligned} \Omega_{l=j+1/2}^{j m}(\theta, \phi) &= -\sqrt{\frac{j-m+1}{2j+2}} Y_{ll_z=m-1/2}(\theta, \phi) \xi(1/2) \\ &+ \sqrt{\frac{j+m+1}{2j+2}} Y_{ll_z=m+1/2}(\theta, \phi) \xi(-1/2). \end{aligned} \quad (A3)$$

Here,  $\xi(\pm 1/2)$  stand for the eigenfunctions for the spin-operator  $\hat{\sigma}_z$  with eigenvalues  $\pm 1$ , and  $Y_{ll_z}(\theta, \phi)$  for the spherical harmonics which form a standard basis for the orbital angular momentum operators  $(\hat{\mathbf{l}}^2, \hat{l}_z)$ . The function  $f_{\varepsilon l}(r)$  and  $g_{\varepsilon l'}(r)$  are solutions of the coupled differential equations:

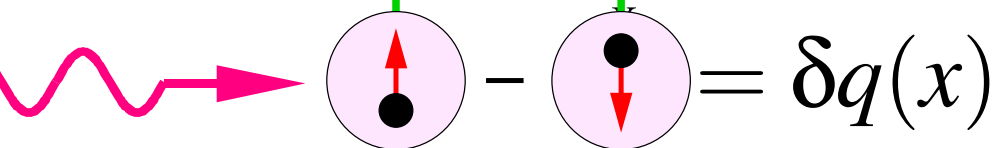
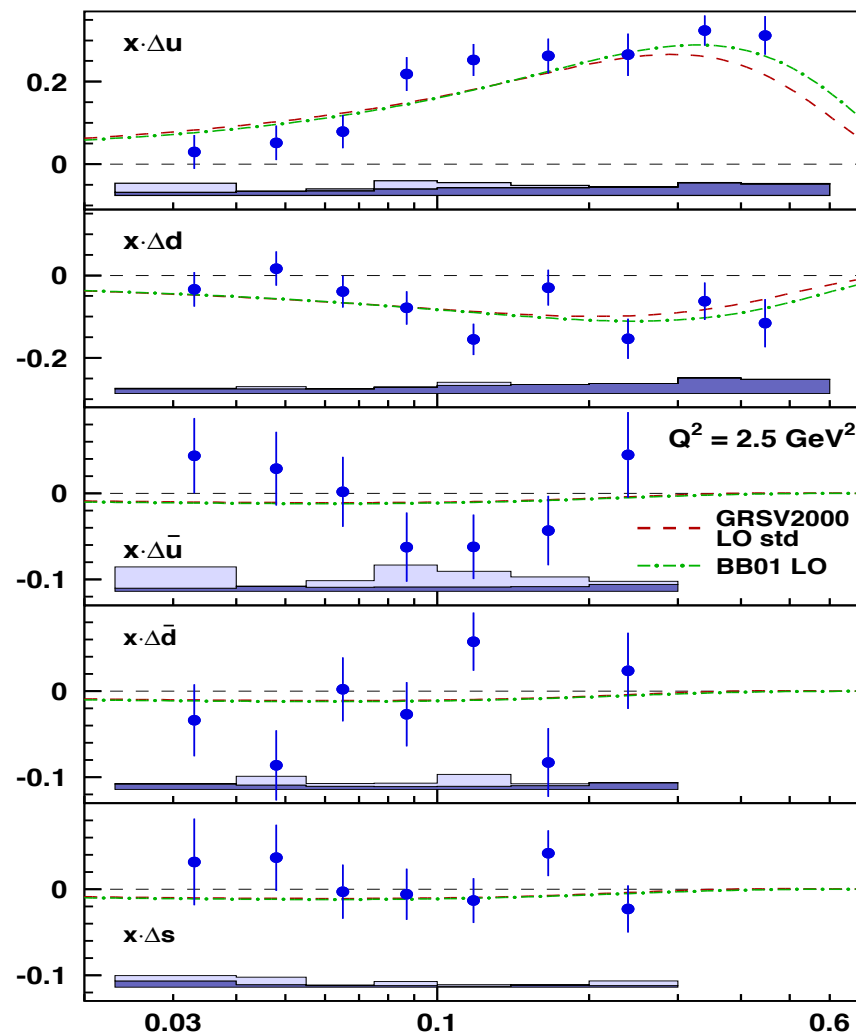
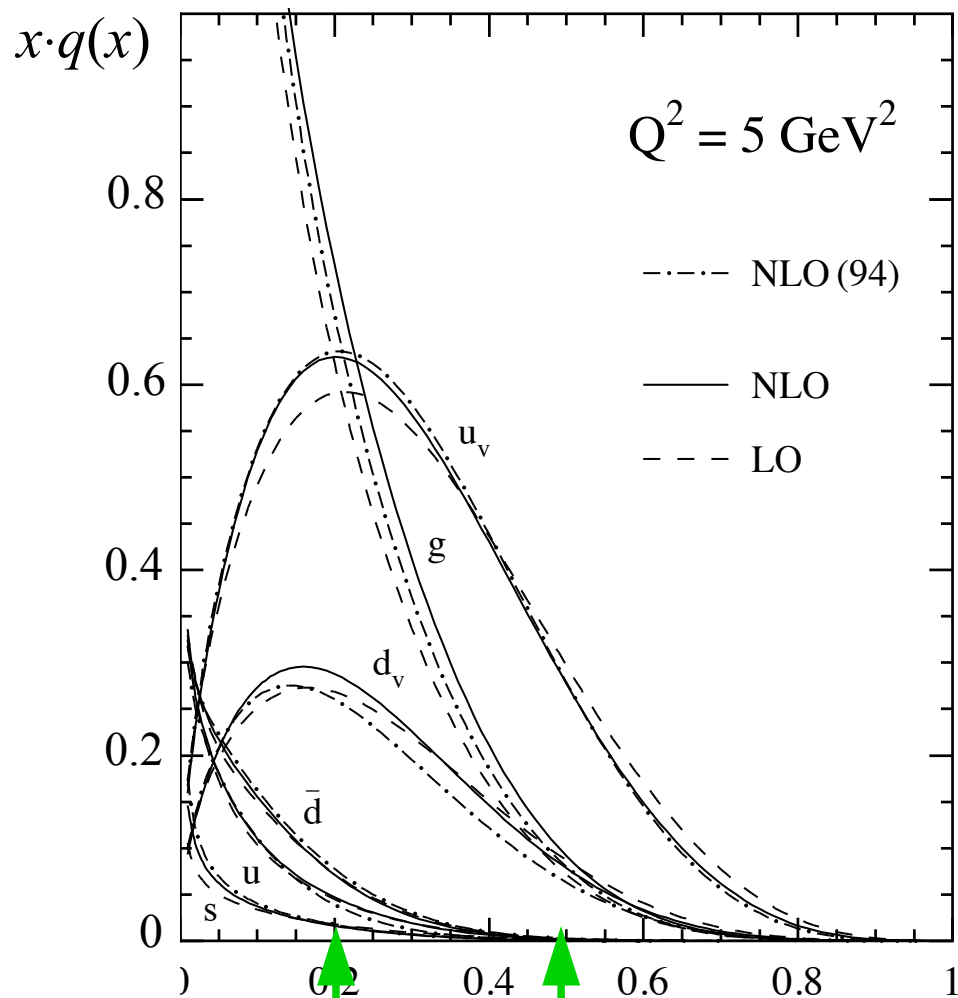
# TMDs, GPDs, and the Meaning of Life





# Unpolarized PDF

# Polarized PDF

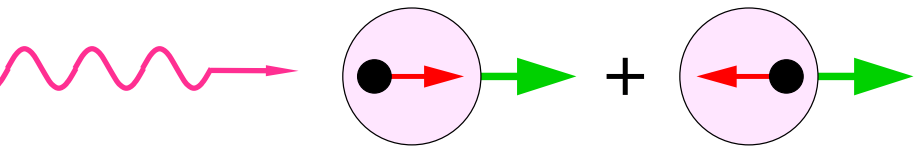


**PDF #3 "Transversity"**

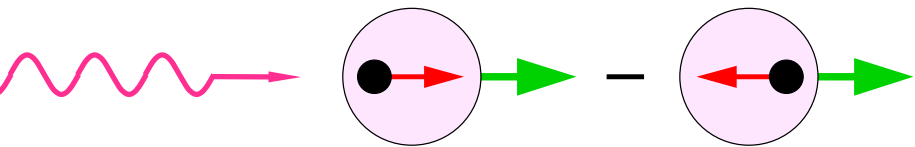
*under study .....*

# 3 Classes of Parton Distribution Functions

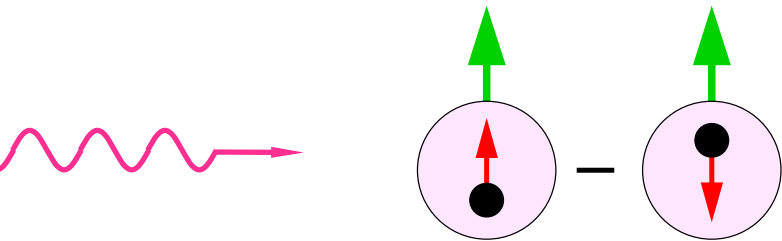
## 1 Traditional PDFs



$$f_{1,q}(x) = \overrightarrow{q}(x) + \overleftarrow{q}(x)$$



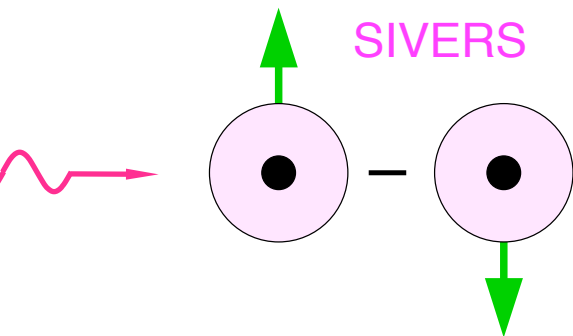
$$g_{1,q}(x) = \overrightarrow{q}(x) - \overleftarrow{q}(x)$$



$$h_{1,q}(x) = q^\uparrow(x) - q^\downarrow(x)$$

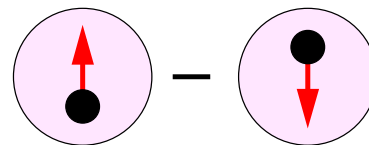
TRANSVERSITY

## 2 TMDs: Transverse Momentum Dependent PDFs

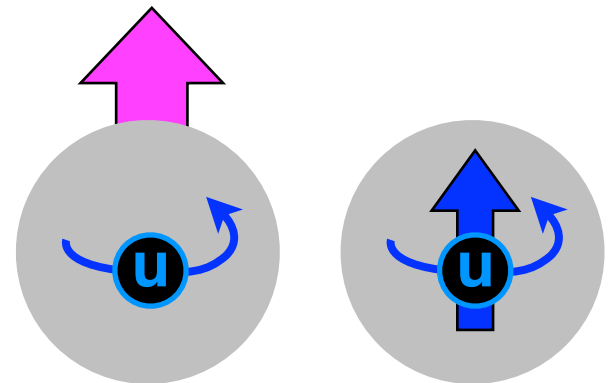


$$f_{1T,q}^\perp(x, k_T) \sim \vec{L}_q \cdot \vec{S}_p$$

BOER-MULDERS



$$h_{1,q}^\perp(x, k_T) \sim \vec{L}_q \cdot \vec{S}_q$$

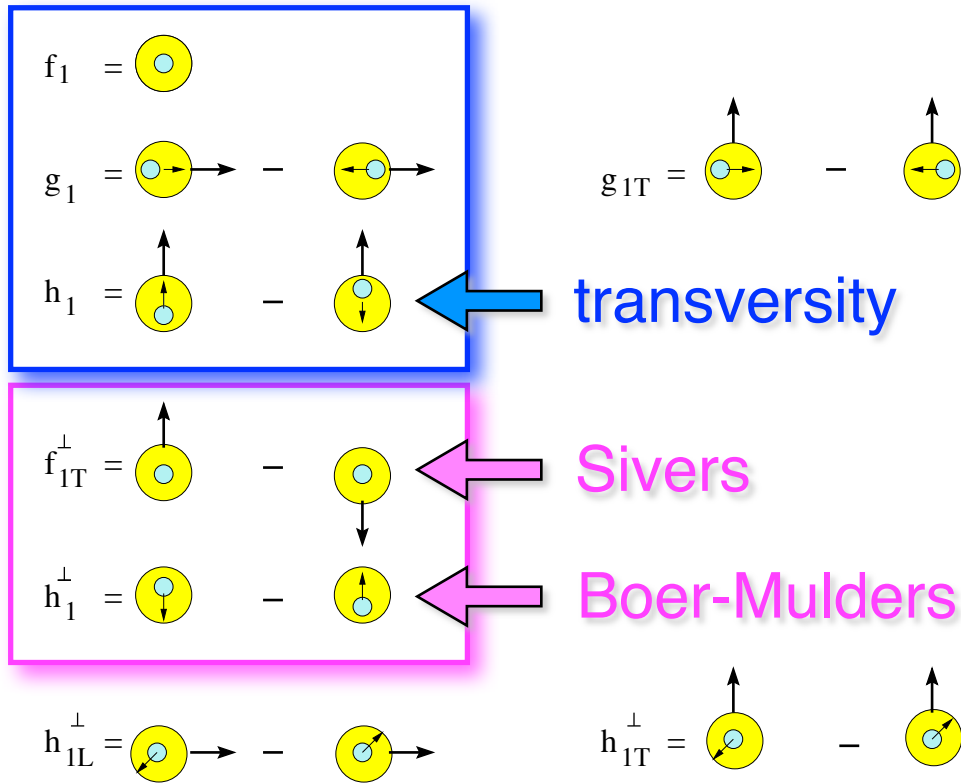


**Blue boxes:** Functions surviving on integration over transverse momentum

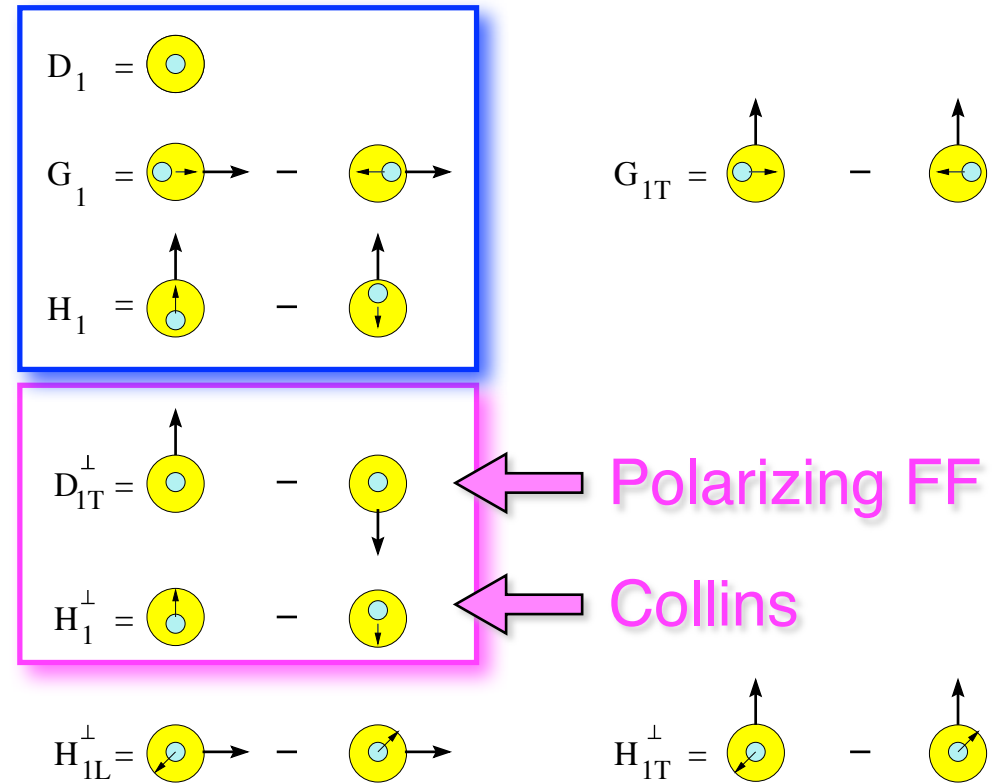
The others are sensitive to *intrinsic*  $k_T$  in the nucleon & in the fragmentation process

*Mulders & Tangerman, NPB 461 (1996) 197*

## Distribution Functions



## Fragmentation Functions



One *T-odd function* required to produce *single-spin asymmetries* in SIDIS

beam pol<sup>n</sup> target pol<sup>n</sup>

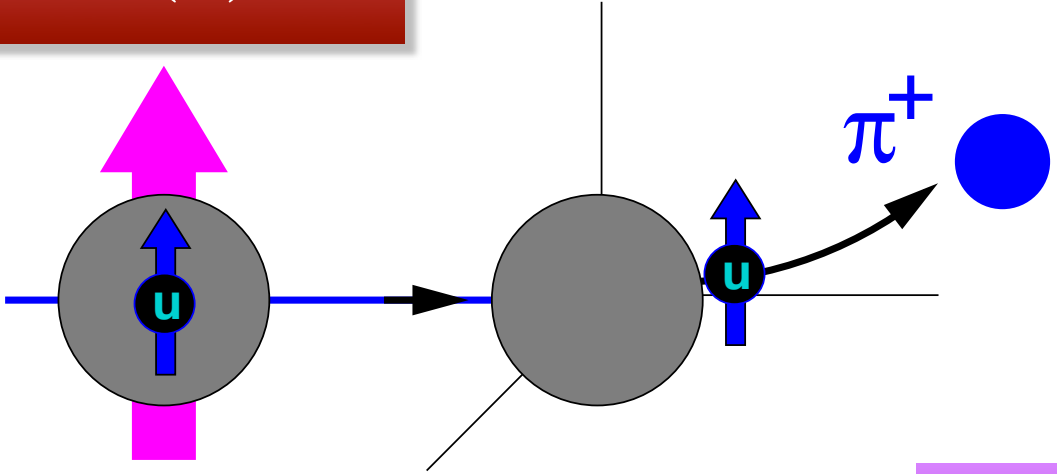
# Measuring: Azimuthal Asymmetries

*SIDIS, at leading twist*

UU	1 $\cos(2\phi_h^l)$	$\otimes f_1 = \odot$ $\otimes h_1^\perp = \uparrow - \downarrow$	$\otimes D_1 = \odot$ $\otimes H_1^\perp = \uparrow - \downarrow$
UL	$\sin(2\phi_h^l)$	$\otimes h_{1L}^\perp = \rightarrow - \rightarrow$	$\otimes H_1^\perp = \uparrow - \downarrow$
UT	$\sin(\phi_h^l + \phi_S^l)$ $\sin(\phi_h^l - \phi_S^l)$	$\otimes h_1 = \uparrow - \downarrow$ $\otimes f_{1T}^\perp = \uparrow - \odot$	$\otimes H_1^\perp = \uparrow - \downarrow$ $\otimes D_1 = \odot$
	$\sin(3\phi_h^l - \phi_S^l)$	$\otimes h_{1T}^\perp = \uparrow - \rightarrow$	$\otimes H_1^\perp = \uparrow - \downarrow$
LL	1	$\otimes g_1 = \rightarrow - \rightarrow$	$\otimes D_1 = \odot$
LT	$\cos(\phi_h^l - \phi_S^l)$	$\otimes g_{1T} = \uparrow - \leftarrow$	$\otimes D_1 = \odot$

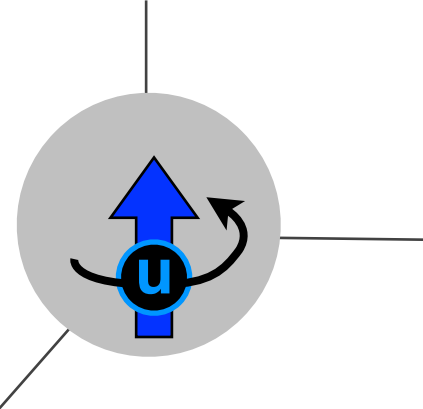
Photo-Album of our New Friends

Transversity  
 $h_1(x)$

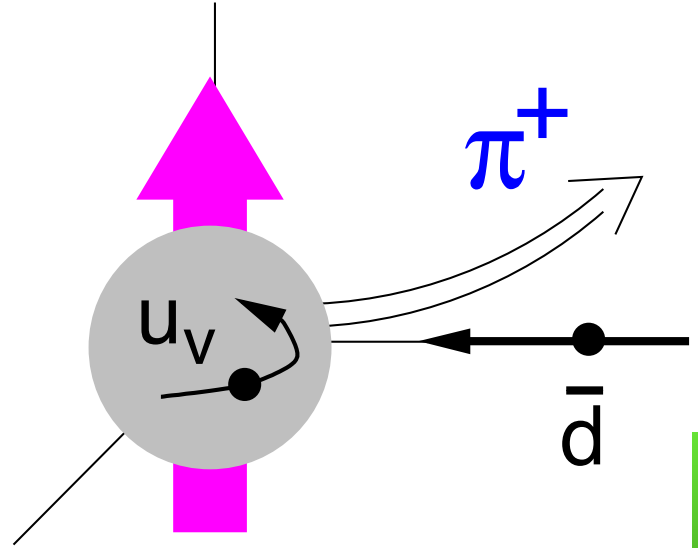


Collins  
 $H_1^\perp(z, p_T)$

Boer-Mulders  
 $h_1^\perp(x, k_T)$



Sivers  
 $f_{1T}^\perp(x, k_T)$



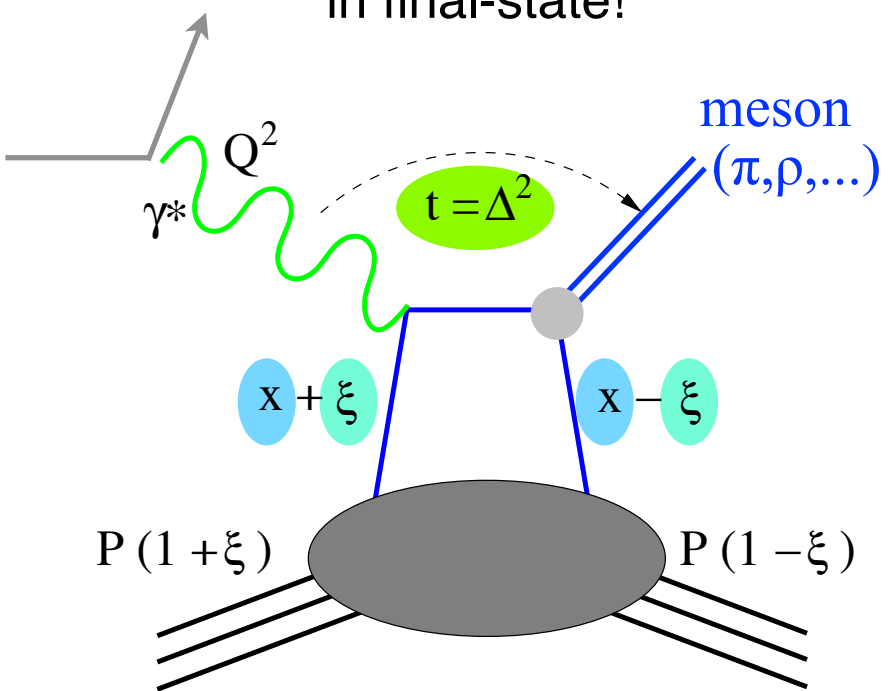
Favored / Disfavored Frag Functions  
 $D_{\text{fav}} \equiv D^{u \rightarrow \pi^+} = D^{d \rightarrow \pi^-} = \dots$   
 $D_{\text{dis}} \equiv D^{u \rightarrow \pi^-} = D^{d \rightarrow \pi^+} = \dots$

### ③ Generalized Parton Distributions

The Other Road to L

Analysis of hard exclusive processes leads to a new class of parton distributions

Scattering at high  $Q^2$  and  $W^2$   
 ... but **create only one particle**  
 in final-state!



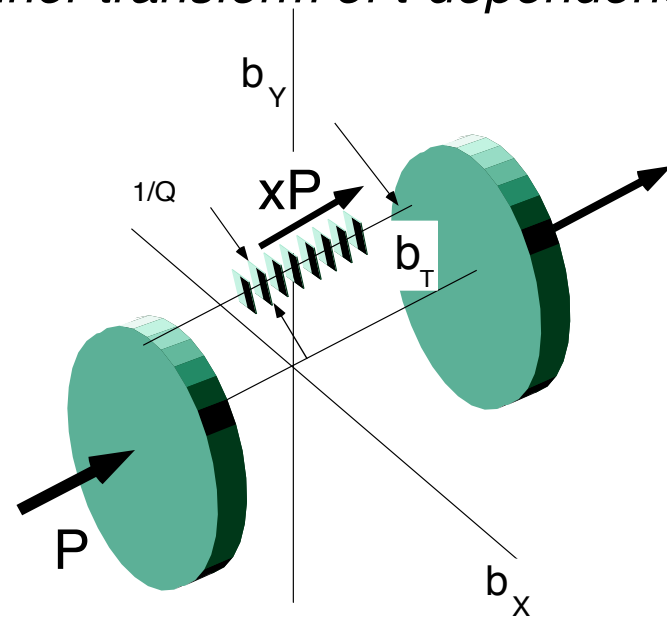
- $x$ : average quark momentum frac<sup>n</sup>
- $\xi$ : “skewing parameter” =  $x_1 - x_2$
- $t$ : 4-momentum transfer<sup>2</sup> to target

### Four new distributions = “GPDs”

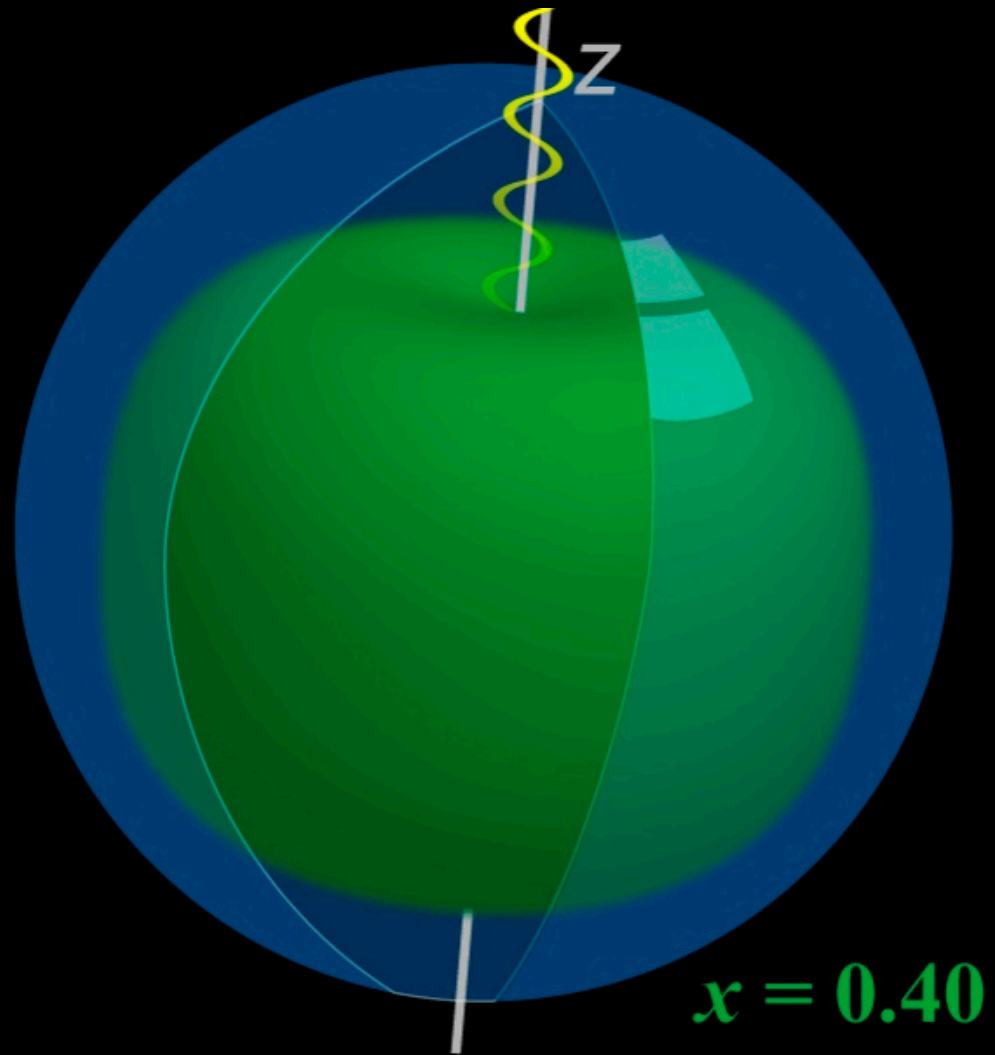
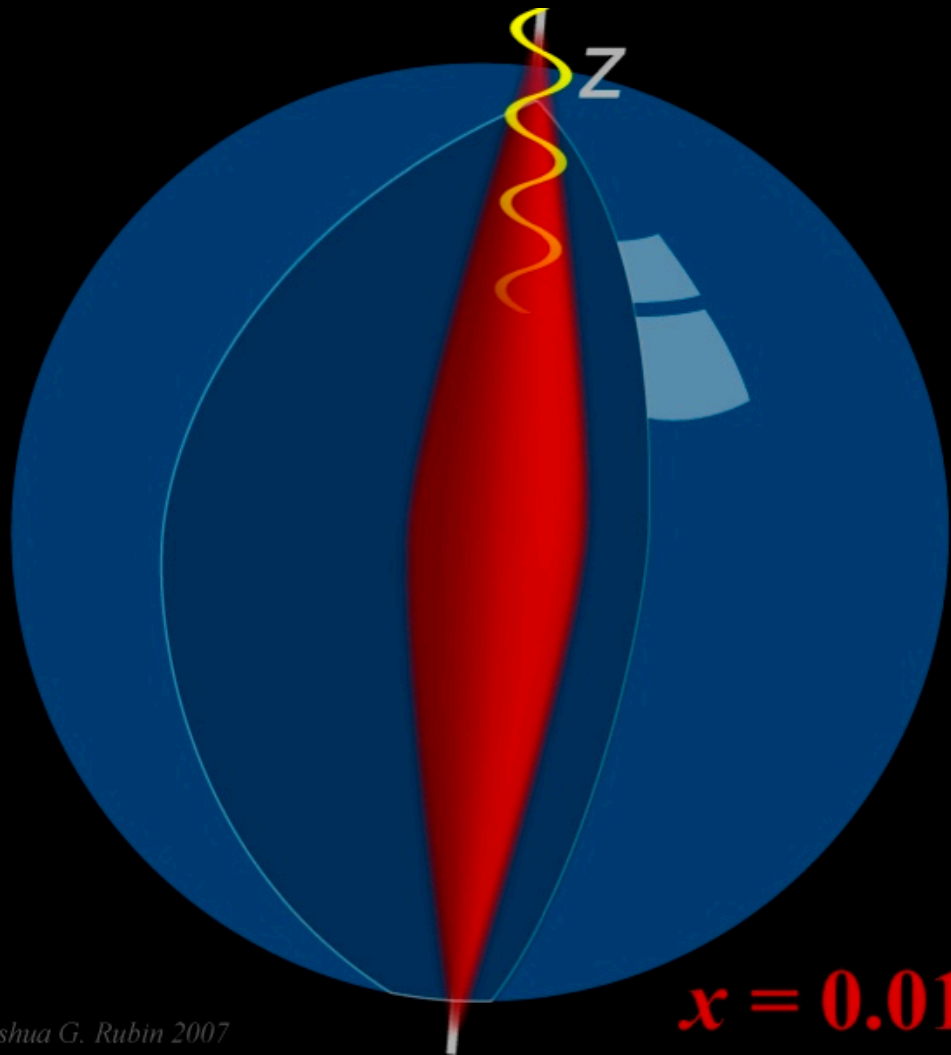
helicity conserving  $\rightarrow H(x, \xi, t), E(x, \xi, t)$   
 helicity flip  $\rightarrow \tilde{H}(x, \xi, t), \tilde{E}(x, \xi, t)$

### “Femto-photography” of the proton

Fourier transform of  $t$ -dependence ...



**spatial distribution** of partons !



Joshua G. Rubin 2007

- **DIS structure func's:**  
forward limit ( $\xi = 0, t = 0$ )

$$q(x) = H^q(x, \xi = 0, t = 0)$$

$$\Delta q(x) = \tilde{H}^q(x, \xi = 0, t = 0)$$

Connection to  
many observables

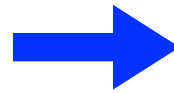
- **Elastic form factors:**  
first moments in  $x$

$$F_1^q(t) = \int_{-1}^1 dx H^q(x, \xi, t) \quad F_2^q(t) = \int_{-1}^1 dx E^q(x, \xi, t)$$

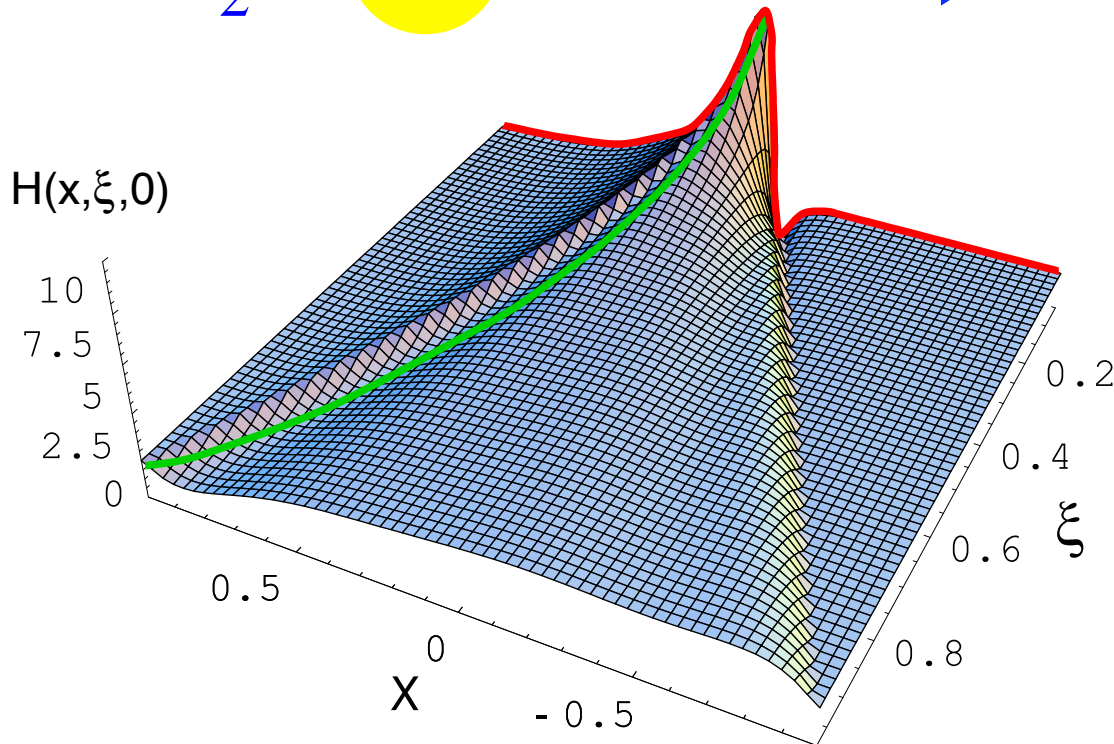
- **Ji sum rule:**

$$J^q = \frac{1}{2} \Delta \Sigma + L^q$$

$$J^q = \frac{1}{2} \int_{-1}^1 x dx [H^q(x, \xi, t = 0) + E^q(x, \xi, t = 0)]$$



**model-independent access to  $L$  !**

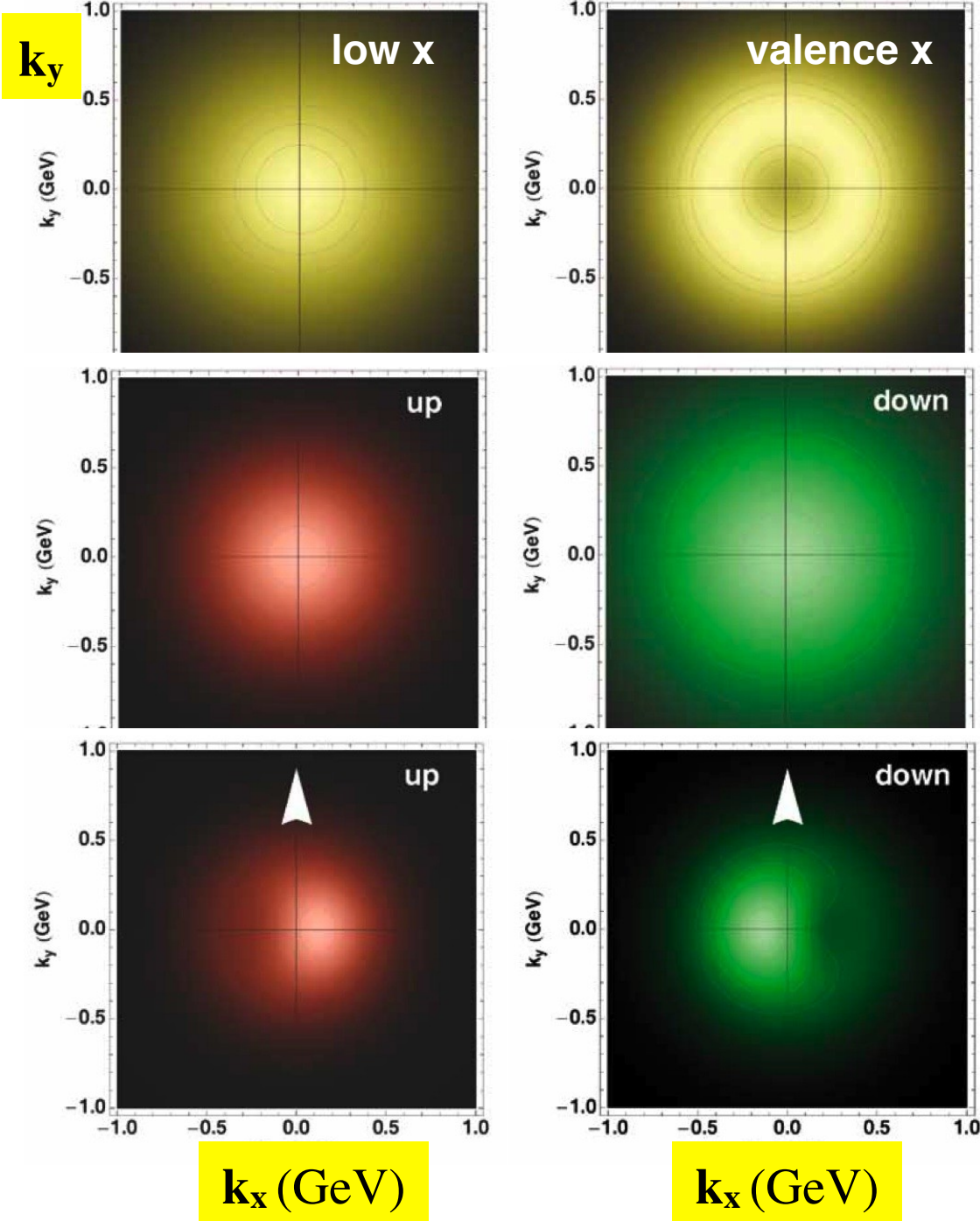


Note connection of  $H, E$  to  
Dirac, Pauli form factors ...  
and their connection to  
nucleon magnetic moment:

$$F_1^N(0) + F_2^N(0) = \mu_N$$

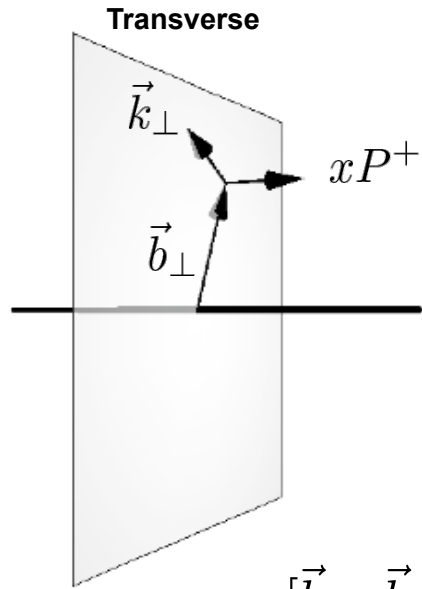


# Transverse-momentum dependent PDFs (TMDs)



- **3D-densities in momentum space** :  $(x, k_{Tx}, k_{Ty})$
- Gaussian distributions with a width of  $\sim 0.6$  GeV in  $k_T$
- **flavor dependence**: d-quark TMDs are larger than u-quark TMDs
- **transversely polarized nucleon**:
  - u-quarks (d-quarks) moving preferentially to the right (left)
  - TMDs are distorted in opposite ways for u and d-quarks

# Wigner Distributions



Longitudinal

$$\vec{b}_\perp = \frac{\vec{r}_{f\perp} + \vec{r}_{i\perp}}{2}$$

$$\vec{z}_\perp = \vec{r}_{i\perp} - \vec{r}_{f\perp}$$

Fourier conjugate

$$\vec{\Delta}_\perp = \vec{k}_{f\perp} - \vec{k}_{i\perp}$$

Fourier conjugate

$$\vec{k}_\perp = \frac{\vec{k}_{f\perp} + \vec{k}_{i\perp}}{2}$$

- [Wigner (1932)] QM
- [Belitsky, Ji, Yuan (04)] QFT (Breit frame)
- [Lorce', BP (11)] QFT (light cone)

$$[\vec{b}_\perp, \vec{k}_\perp] \neq 0$$

Heisenberg's uncertainty relations



Quasi-probabilistic

TMDs



$$\rho(x, k_x, k_y)$$

GPDs

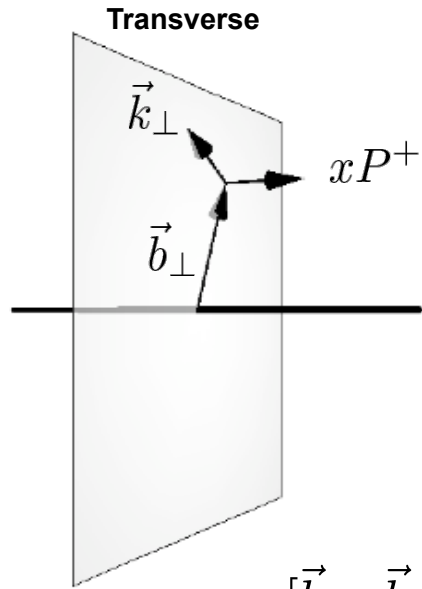


$$\rho(x, b_x, b_y)$$

- **x**: longitudinal momentum
- **k<sub>T</sub>**: transverse momentum
- **b<sub>T</sub>**: transverse position

**Impact-parameter picture of GPDs: correlation between transverse position and longitudinal momentum →  $\underline{r} \times \underline{p}$ !**

# Wigner Distributions



Longitudinal

$$\vec{b}_\perp = \frac{\vec{r}_{f\perp} + \vec{r}_{i\perp}}{2}$$

$$\vec{z}_\perp = \vec{r}_{i\perp} - \vec{r}_{f\perp}$$

Fourier conjugate

$$\vec{\Delta}_\perp = \vec{k}_{f\perp} - \vec{k}_{i\perp}$$

Fourier conjugate

$$\vec{k}_\perp = \frac{\vec{k}_{f\perp} + \vec{k}_{i\perp}}{2}$$

- [Wigner (1932)] QM
- [Belitsky, Ji, Yuan (04)] QFT (Breit frame)
- [Lorce', BP (11)] QFT (light cone)

$$[\vec{b}_\perp, \vec{k}_\perp] \neq 0$$

Heisenberg's uncertainty relations



Quasi-probabilistic

GPDs



$$\rho(x, b_x, b_y)$$

TMDs



$$\rho(x, k_x, k_y)$$

GTMDs



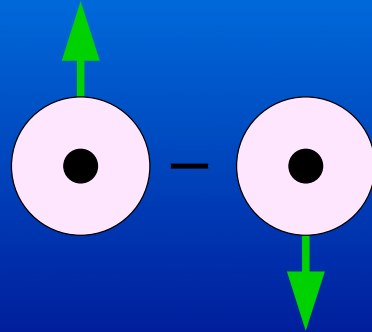
$$\rho(x, b_x, k_y)$$

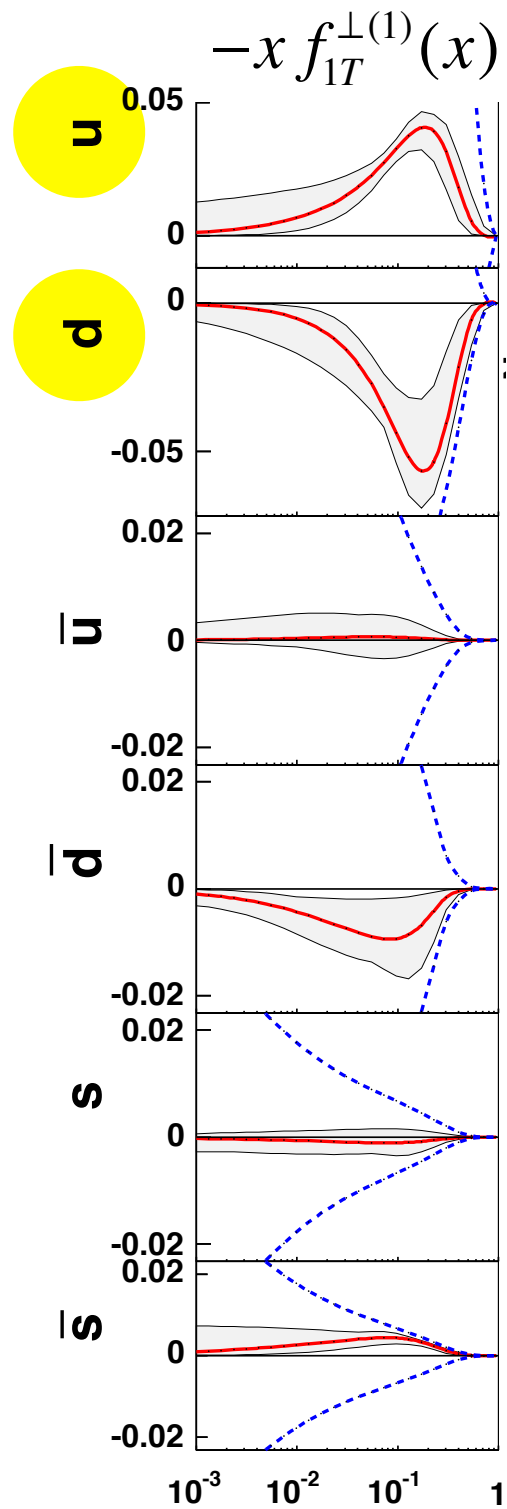
Third 3D picture with probabilistic interpretation !

No restrictions from Heisenberg's uncertainty relations

L so far : the Siviers Function

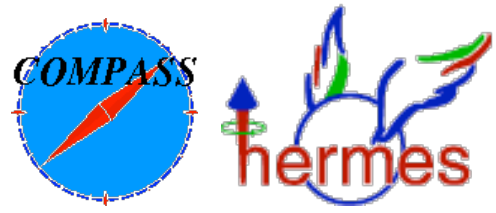
$$f_{1T}^{\perp}(x, k_T)$$



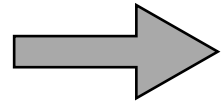


**Global Fits to SIDIS data**

← Anselmino et al, EPJA 39 (2009)



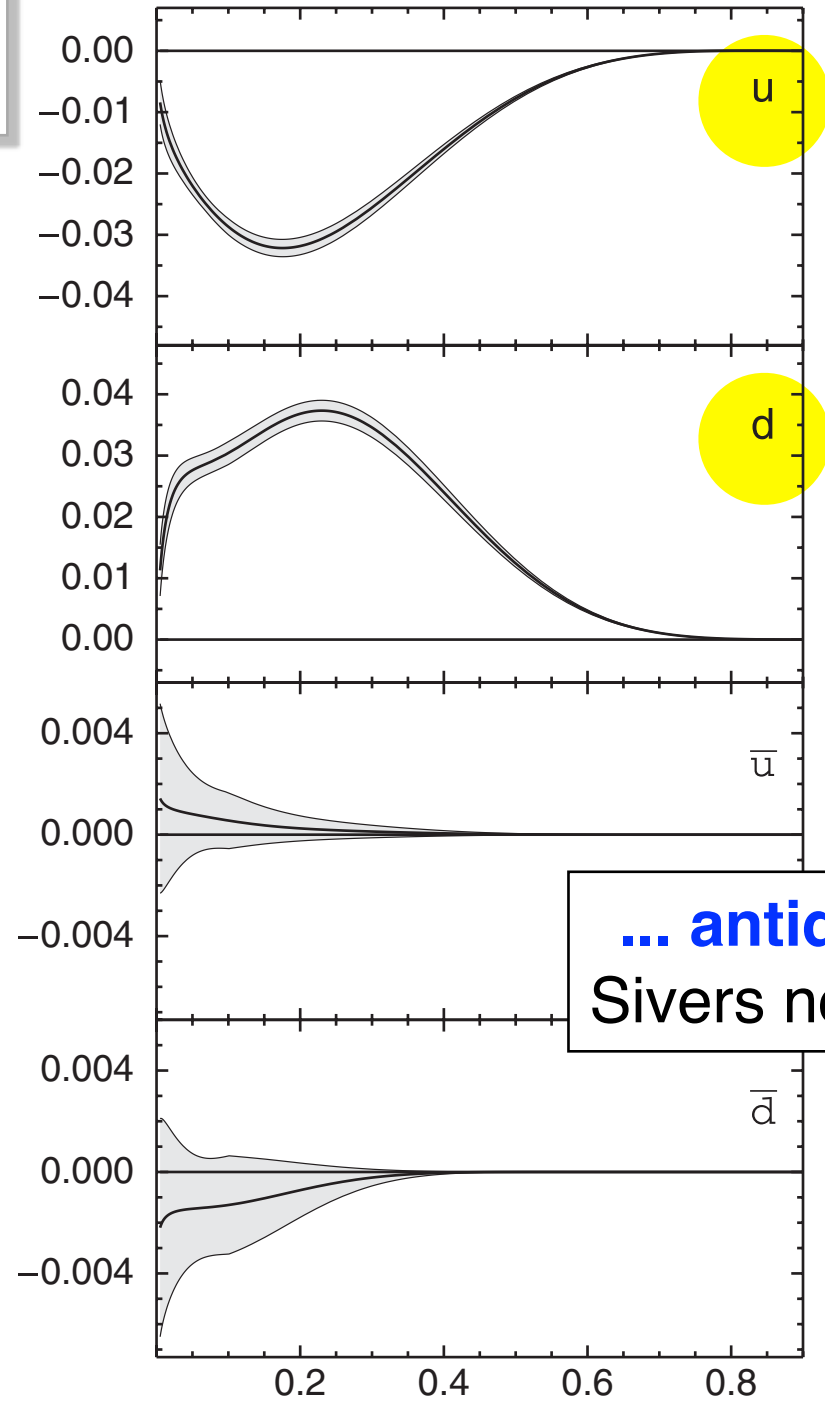
*final data*



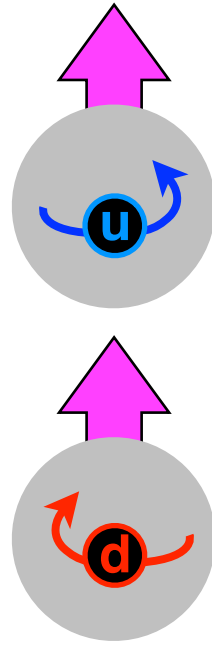
Bacchetta & Radici, PRL 107 (2011)

**antiquark orbital  $L \neq 0$  favoured**

$x f_{1T}^{\perp(1)}(x)$



**... antiquark Sivers now  $\approx 0$**

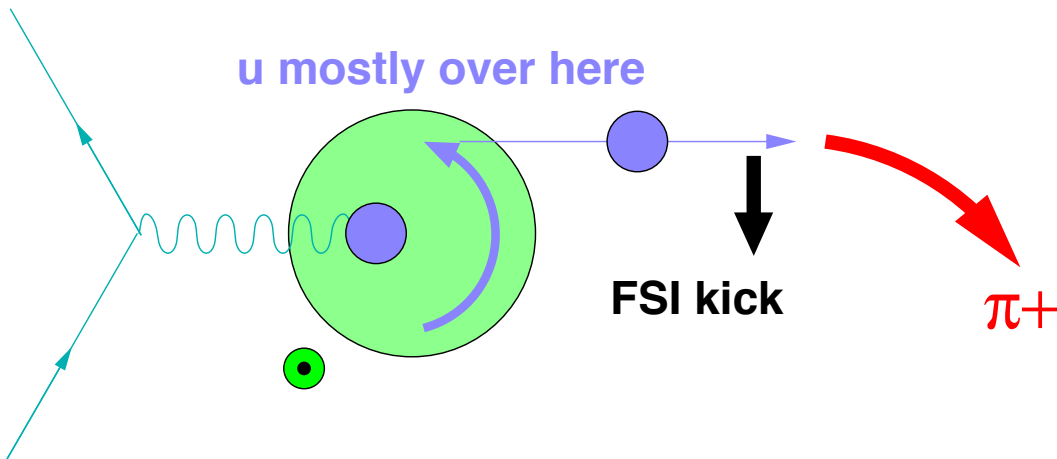


# Phenomenology: The SIGN of L

Nearly all models predict  $L_u > 0$  ...

## M. Burkardt: Chromodynamic lensing

Electromagnetic coupling  $\sim (J_0 + J_3)$  stronger for *oncoming* quarks



We observe  $\langle \sin(\phi_h^l - \phi_S^l) \rangle_{\pi^+} > 0$   
 (and opposite for  $\pi^-$ )  
 $\therefore$  for  $\phi_S^l = 0$ ,  $\phi_h^l = \pi/2$  preferred

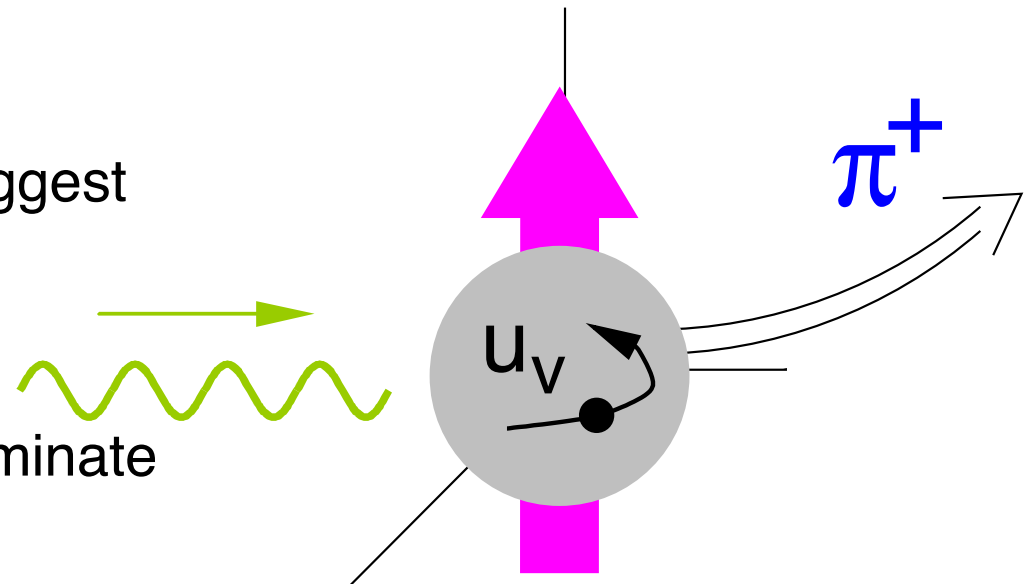
Model agrees!

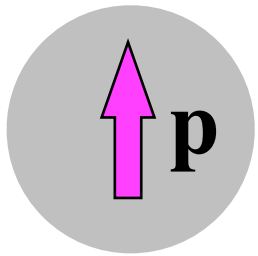
## D. Sivers: Jet Shadowing

Parton energy loss considerations suggest *quenching of jets* from "near" surface of target

→ quarks from "far" surface should dominate

Opposite sign to data ...





# Meson Cloud on an Envelope → It ORBITS

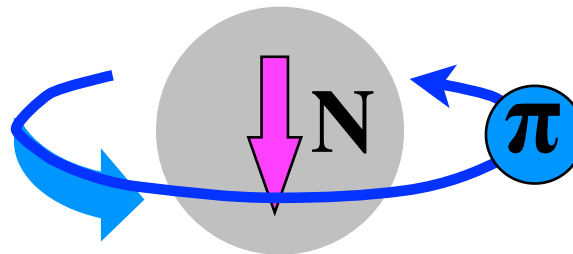
Pions have  $J^P = 0^- = \text{negative parity} \dots$

→ need  $L = 1$  to get proton's  $J^P = 1/2^+$

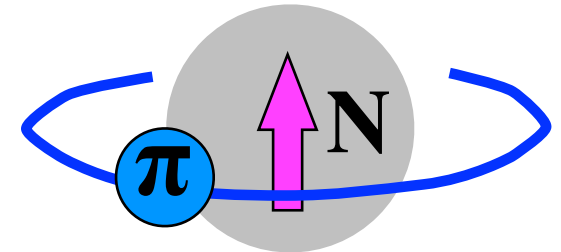
$$|p\rangle = p + N\pi + \Delta\pi + \dots$$

**N $\pi$  cloud:**

$$\begin{matrix} 2/3 & n & \pi^+ \\ 1/3 & p & \pi^0 \end{matrix} \otimes$$



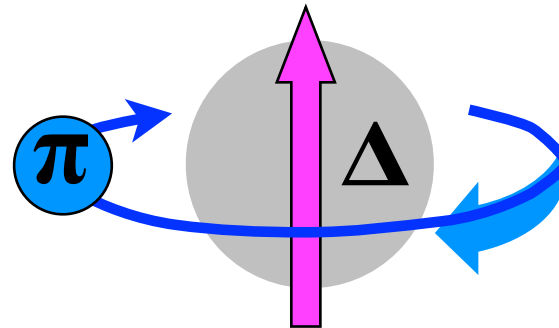
$$2/3 \quad L_z = +1$$



$$1/3 \quad L_z = 0$$

**$\Delta\pi$  cloud:**

$$\begin{matrix} 1/2 & \Delta^{++} & \pi^- \\ 1/3 & \Delta^+ & \pi^0 \\ 1/6 & \Delta^0 & \pi^+ \end{matrix} \otimes$$



$$1/2 \quad L_z = -1$$

$$1/3 \quad L_z = 0$$

$$1/6 \quad L_z = +1$$

Dominant source of:

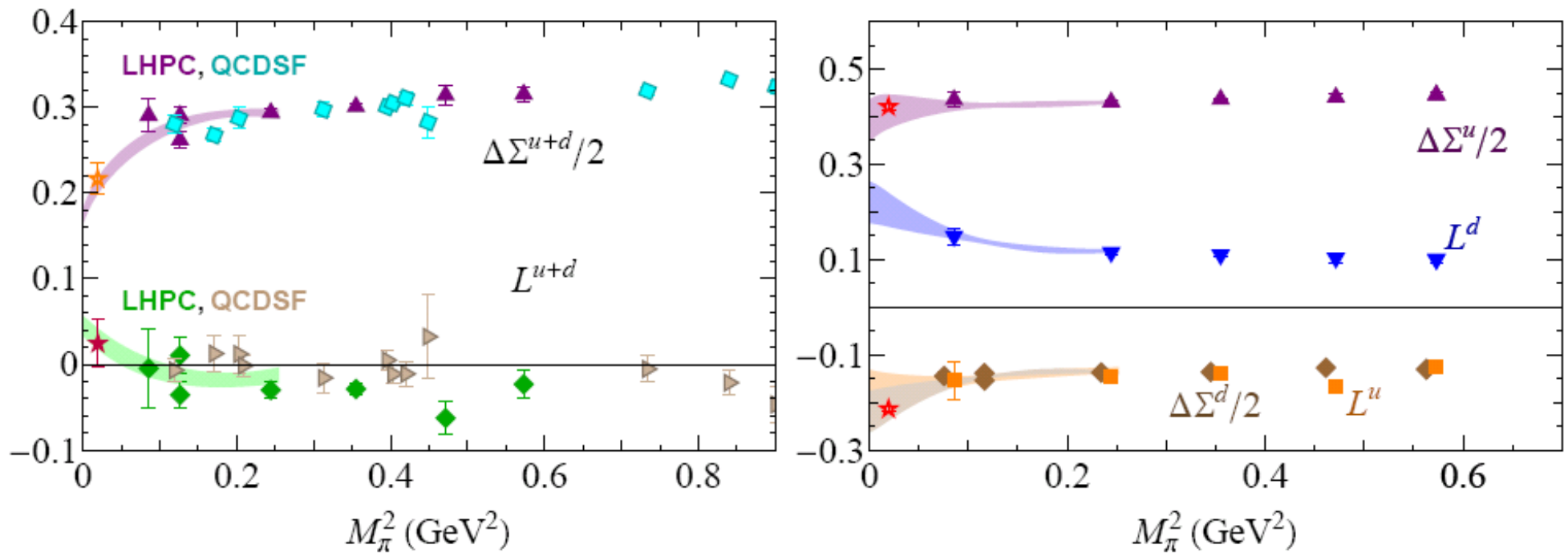
**u, dbar sea =  $n \pi^+$**  with  $L_z(\pi^+) > 0$

**d, ubar sea =  $\Delta^{++} \pi^-$**  with  $L_z(\pi^-) < 0$

*L is in the SEA*

# Quark Orbital Angular Momentum (connected insertion)

Lattice calculations :  $L(u+ubar)$  *negative* ?



LHPC, S. Syritsyn et al., [111.0718]  
QCDSF, A. Sternbeck et al, [1203.6579]

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@ SPIN 2014



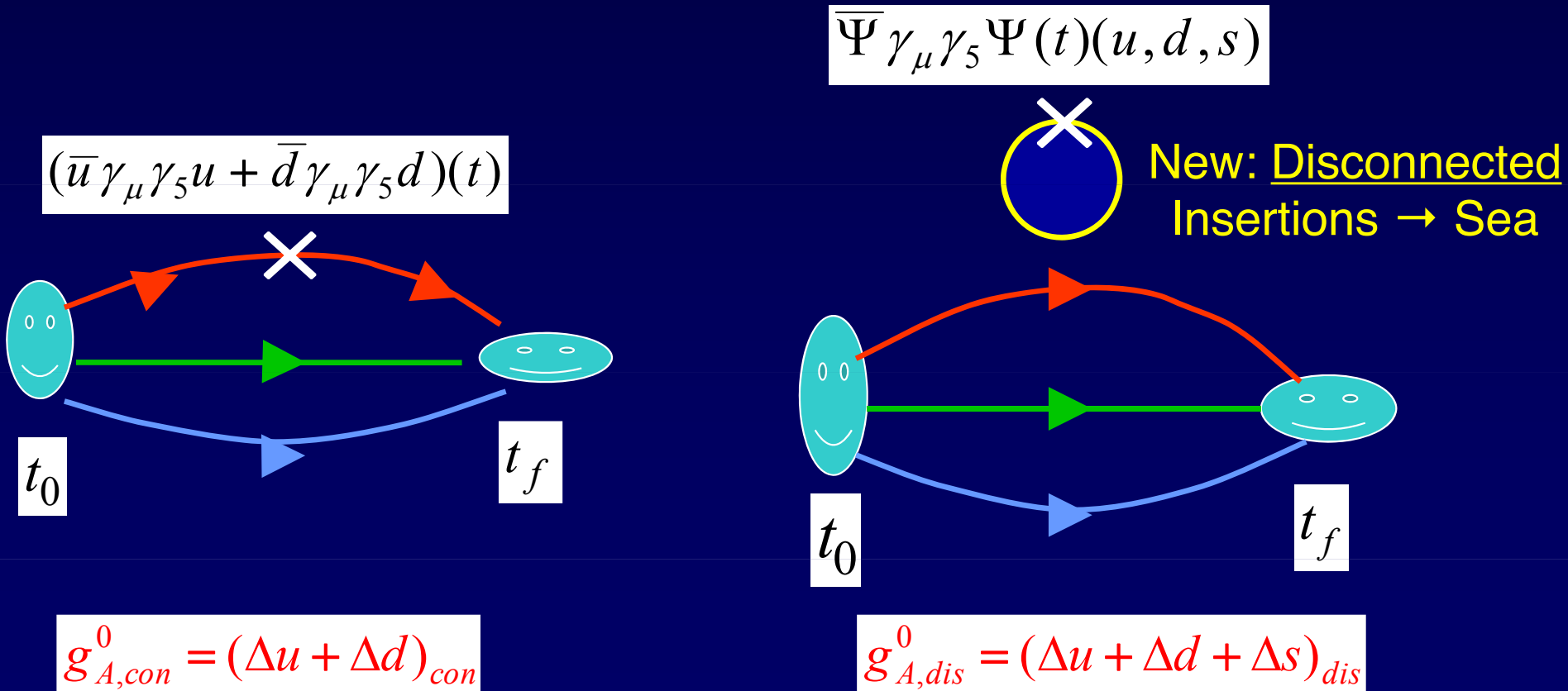
# Flavor-singlet $g_A$

KehFeh Liu,  
INT Workshop, Feb 2012

- Quark spin puzzle (dubbed 'proton spin crisis')

$$g_A^0 = \Delta u + \Delta d + \Delta s = \begin{cases} 1 & \text{NRQM} \\ 0.75 & \text{RQM} \end{cases}$$

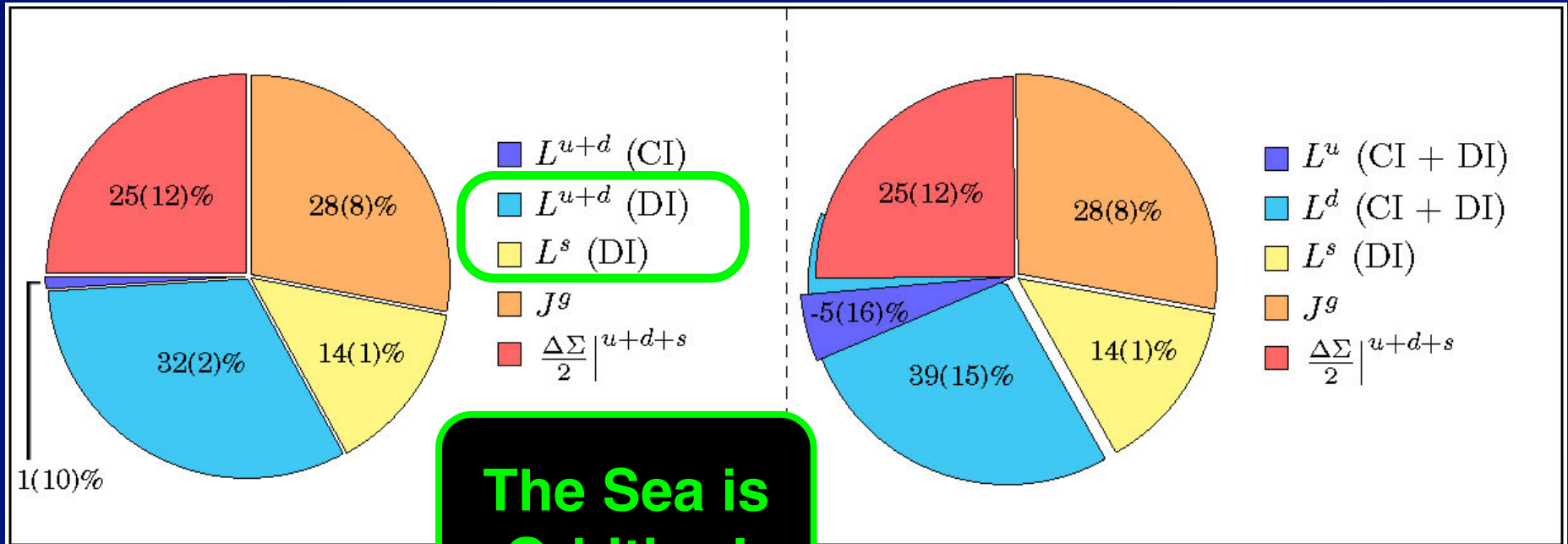
– Experimentally (EMC, SMC, ...  $\Delta\Sigma = g_A^0 \sim 0.2 - 0.3$ )



# Quark Spin, Orbital Angular Momentum, and Gule Angular Momentum (M. Deka *et al*, 1312.4816)

add Disconnected Insertions → Pure Sea

pizza cinque stagioni



**The Sea is Orbiting!**

$$\Delta q \approx 0.25;$$

$$2 L_q \approx 0.47 \text{ (0.01(CI)+0.46(DI));}$$

$$2 J_g \approx 0.28$$

Access → **Drell-Yan**  
with p or  $\pi^+$  beam &  
**polarized target**

These are quenched results so far.

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